



# PIE Tech

**POLLACHI INSTITUTE OF ENGINEERING AND TECHNOLOGY**

(Approved by **AICTE** and Affiliated to **Anna University**)

*sky is the limit*

**Department of Computer Science and Engineering**

**Regulation 2021**

**II Year – IV Semester**

**CS3452- Theory of Computation**

## Introduction

- ToC is based on mathematical computation. These computations are used to represent various mathematical models.
- This subject we will study many interesting model such as Finite automata, push down automata, turing machines.
- This subject is a fundamental subject and is very close to the subject like compilers, operating system, system S/W.

## Introduction to Formal Proofs

- The formal proof can be using deductive proof and inductive proof.

\* The deductive proof consists of sequence of statements given with logical reasoning in order to prove the first or initial statement.

- The inductive proof is a recursive kind of proof which consists of sequence of parameterized stmts.

## Various Forms of proofs

1. proofs about sets.
2. proofs by contradiction.
3. proofs by counter example.

## ②

### 1. A proof about sets

The set is a collection of elements or items. By giving proofs about the sets we try to prove certain properties of the sets.

If there are two expressions.

$$A \& B.$$

Let  $P \cup Q = Q \cup R$  if we map expression A with  $P \cup Q$  and expression B with  $Q \cup R$ .

### 2. proof by contradiction

This type of proof if A then B

if statement A is false we try to get conclusion of statement B.

$P \cup Q = Q \cup P$  is not true. That is  $P \cup Q \neq Q \cup P$

### 3. Proof by Counter Example

We need to see all possible conditions in which that statement remains true.

There is no such pair of integers

$$a \bmod b = b \bmod a$$

proof : consider  $a=2$  and  $b=3$ . Then clearly

$$2 \bmod 3 \neq 3 \bmod 2$$



## Inductive Proof

(3)

- It is special proofs based on some observations. It is used to move recursively defined objects.

1. Basis - Here we assume the lowest possible value.

- This is an initial step in the proof of mathematical induction.

2. Induction hypothesis:

Here we assign value of  $n$  to some other value  $k$ .

That means we will check whether the result is true for  $n=k$  or not.

3. Inductive step:

If  $n=k$  is true then we check whether the result is true for  $n=k+1$  or not.

If we get the same result at  $n=k+1$  then we can state that given proof is true by principle of mathematical induction.

ex: Prove by induction on  $n$  that

$$\sum_{i=0}^n i = \frac{n(n+1)}{2}$$

1) Basis of induction

Assume  $n=1$ . Then

$$\text{L.H.S} = n = 1$$

$$\text{R.H.S} = \frac{n(n+1)}{2} = \frac{1(1+1)}{2} = \frac{2}{2} = 1$$



## 2) Induction hypothesis.

Now we will assume  $n=k$  and will obtain the result for it.

$$1+2+3+\dots+k = \frac{k(k+1)}{2}$$

## 3) Inductive step

Now we assume that equation is true for  $n=k$ . And we will then check if it is also true for  $n=k+1$  or not.

Assume  $n=k+1$

$$\text{L.H.S} = 1+2+3+\dots+k+k+1$$

$$\frac{k(k+1)}{2} + k+1$$

$$= \frac{k(k+1) + 2(k+1)}{2}$$

$$= \frac{(k+1)(k+2)}{2}$$

$$= \frac{(k+1)(k+1+1)}{2}$$

$$= \text{R.H.S}$$

$$\frac{n(n+1)}{2}$$

## Basic concept of Automata Theory

It has a basic Fundamental unit called Set. The Set is used to represent the mathematical model.

Set.

- Set is defined as collection of objects
- These objects are called elements of the set.

- All the elements are enclosed within curly brackets  $\{$  and  $\}$

\* If 'a' is an element of set A then we say that  $a \in A$

\* If 'a' is not an element of A then we say that  $a \notin A$ .

### Subset :

The subset A is called subset of set B if every element of set A is present in set B but reverse is not true.

It is denoted by  $A \subseteq B$ .

ex:  $A = \{1, 2, 3\}$  &  $B = \{1, 2, 3, 4, 5\}$

then  $A \subseteq B$ .

### Empty Set :

The set having no element is it is called empty set. ex:  $A = \{\}$

### Null String

The null element is denoted by  $\epsilon$  (or)  $\emptyset$  character. But  $\epsilon$  does mean  $\phi$

### Power Set :

The power set is a set of all the subset of its elements.

$A = \{1, 2, 3\}$

Power Set  $\mathcal{P} = \{\emptyset, \{1\}, \{2\}, \{3\}, \{1, 2\}, \{1, 3\}, \{2, 3\}, \{1, 2, 3\}\}$



### Equal set:

The two sets are said to be equal ( $A=B$ ) if  $A \subseteq B$  and  $B \subseteq A$

ex:

$A = \{1, 2, 3\}$  and  $B = \{1, 2, 3\}$  then  $A=B$

$|A|$  denotes the length of set  $A$ .

$A = \{1, 2, 3, 4, 5\}$

$|A| = 5$

### Operations on set

- (i) Union (ii) Intersection (iii) Difference  
(iv) Complement.

(i)  $A \cup B$  is union operation

$A = \{1, 2, 3\}$   $B = \{1, 2, 4\}$

$A \cup B = \{1, 2, 3, 4\}$

(ii)  $A \cap B$  is intersection operation

$A = \{1, 2, 3\}$  and  $B = \{2, 3, 4\}$

$A \cap B = \{2, 3\}$  collection of common elements from both the sets

(iii)  $A - B$  is the difference operation

$A = \{1, 2, 3\}$  &  $B = \{2, 3, 4\}$

$A - B = \{1\}$  elements which are there in set  $A$  but not

(iv)  $\bar{A}$  is a complement operation. ⑦

$\bar{A} = U - A$  where  $U$  is a universal set.

ex:

if  $U = \{10, 20, 30, 40, 50\}$

$A = \{10, 20\}$

then

$\bar{A} = U - A$

$= \{30, 40, 50\}$

### Cartesian product of Two sets.

The cartesian product of two sets  $A$  and  $B$  is a set of all possible ordered pairs.

cartesian product is denoted by  $A \times B$

$\therefore A \times B = \{ \{a, b\} \mid a \in A \text{ and } b \in B \}$

ex:  $A = \{a, b\}$  and  $B = \{0, 1, 2\}$

$A \times B = \{(a, 0), (a, 1), (a, 2), (b, 0), (b, 1), (b, 2)\}$

### cardinality of sets

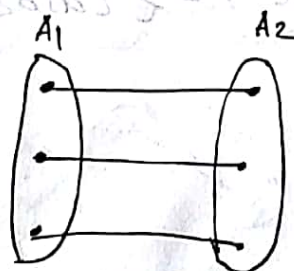
- It is nothing but the number of members in the set.

(i) one to one

(ii) one to many

(iii) many to one

(iv) many to many



cardinality of two sets.



## Relations

- Relationship is a major aspect between two objects.
  - one object can be related with the other object by a 'medium of relation'
- \* The relation  $R$  is a collection for the set  $S$  which represents the pair of elements.

## Properties of relations

1. Reflexive if  $iRi$  for all  $i$  in  $S$ .
2. Irreflexive if  $iRi$  is false for all  $i$  in  $S$ .
3. Transitive if  $iRj$  and  $jRk$  imply  $iRk$ .
4. Symmetric if  $iRj$  implies  $jRi$ .
5. Asymmetric if  $iRj$  implies that  $jRi$  is false.

ex: if  $A = \{a, b\}$  then

reflexive relation  $R$  can be  $= \{(a, a), (b, b)\}$

irreflexive  $= \{(a, b)\}$

transitive  $= \{(a, b), (b, a), (a, a)\}$

symmetric  $= \{(a, b), (b, a)\}$

asymmetric  $= \{(a, b)\}$



## Kleen closures

- It is also called as Star closure.
- This is set of strings of any length (including null string  $\epsilon$ ).
- Each string is obtained from input set  $\Sigma$  then Kleen Star of the empty language  $\phi$  is the empty string  $\epsilon$ .

## Arithmetic Expressions

It has done arithmetic operations are performed on operands.

The arithmetic operators can be denoted as  $S = \{+, -, *, /, \wedge\}$ .

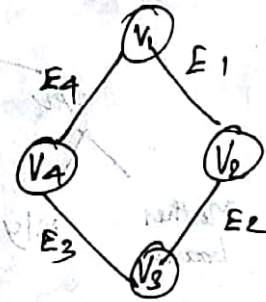
$$R_i = a+b, a-b, a*b, a/b, a^b.$$

## Graphs

A graph denoted  $G = (V, E)$  consists of finite set of vertices (or nodes)

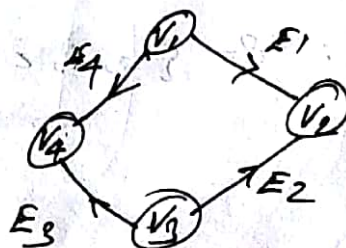
$E =$  set of edges

$V =$  vertices.



## Directed Graph

It is also a collection of vertex and edges in which the direction are mentioned along the edges.

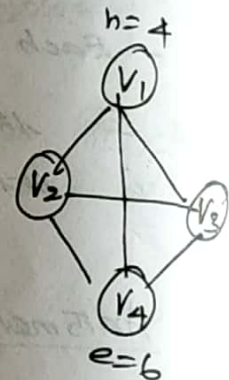
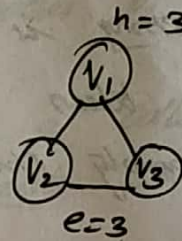
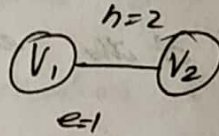
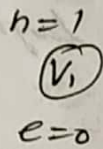




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## Complete Graph

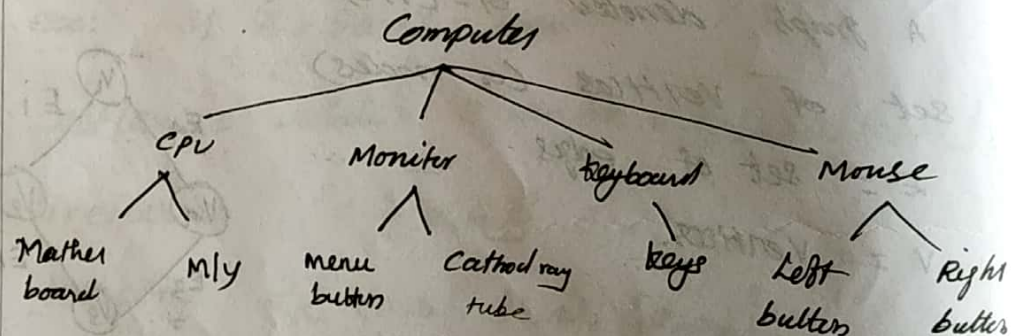
The complete graph is a simple undirected graph in which every pair of distinct vertices is connected by unique edge.



## Trees

Tree is a collection of vertices and edges

1. There is one vertex, called root which has no predecessors.
2. From this root node all the successors are ordered.



## Alphabets

An alphabet is a finite collection of symbols.

ex:  $S = \{a, b, c, \dots, z\}$

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String: String is finite collection of symbols from alphabet.

ex  $\Sigma = \{a, b\}$

$\Sigma = \{ab, aa, aag, bbb, ba, aba, \dots\}$

Symbols:

Language: The languages is a collection of appropriate strings.

$\Sigma = \{\epsilon, 0, 00, 000, \dots\}$

### Applications of Automata Theory

Automation is a kind of machine which takes some string as input.

1. Automata theory is the base for the formal language and these formal languages are useful of the programming language.
2. Automata theory plays an important role in compiler design.
3. To prove the correctness of the program automata theory is used.
4. Switching theory and design and analysis of digital circuits automata theory is applied.
5. Automata theory deals with the design finite state machines.



## Finite State Systems

- The Finite State system represents a mathematical model of a system with certain input.

- The input when is given to the machine it is processed by various states, these states are called as intermediate states.

- It is a very good design tool for the programs such as

- text editors
- lexical analyzers.

## Finite automata

It is a collection of 5-tuple  $(Q, \Sigma, \delta, q_0, F)$

-  $Q$  is a finite set of states, which is non empty.

-  $\Sigma$  is input alphabet

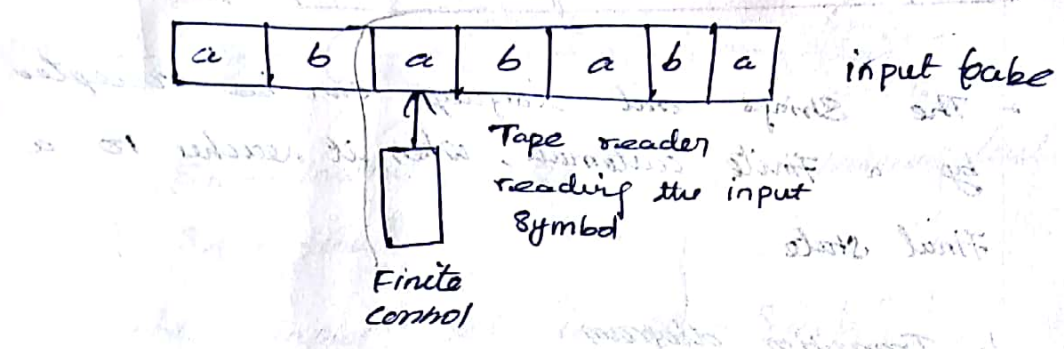
-  $q_0$  is an initial state and  $q_0$  is in

$$Q \quad q_0 \in Q$$

-  $F$  is a set of final states.

-  $\delta$  is a transition function or mapping function.

# Finite Automata Model



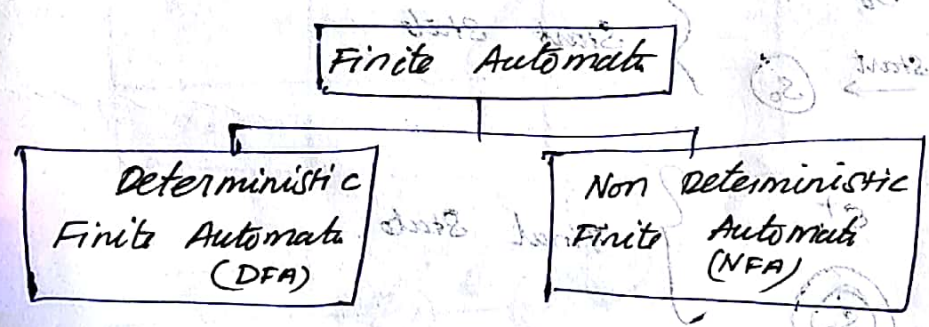
(i) input tape - It is a linear tape having some number of cells. Each i/p symbol is placed in each cell.

(ii) Finite control

It decides the next state on receiving particular input from input tape.

The tape reader reads the cells one by one from left to right and at a time only one i/p symbol is read.

## Types of Automata



(i) DFA

Here each move in this automata is uniquely determined on current i/p & current state

(ii) NFA

- It is non deterministic in nature.
- ~~A~~



(14)

## Acceptance of strings and Languages

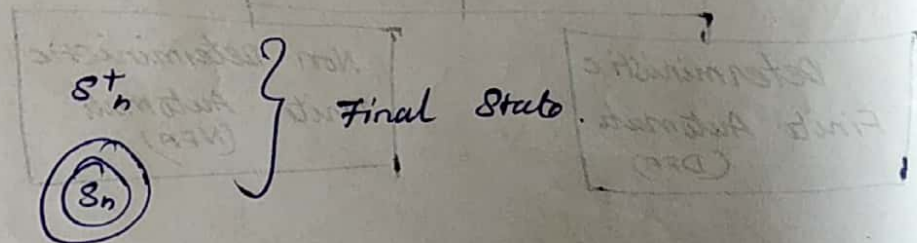
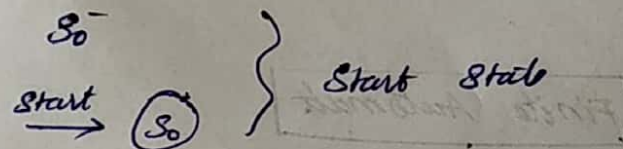
- The strings and language can be accepted by a finite automata, when it reaches to a Final state.

### 1. Transition diagram

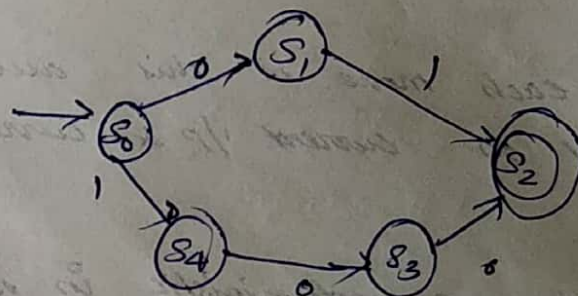
- 1) Finite set of states  $k$ .
- 2) Finite set of symbols  $\Sigma$ .
- 3) A non empty set  $s$  of  $k$ , or Start state.
- 4) A set  $F \subseteq k$  of Final states.
- 5) A transition function  $k \times A \rightarrow k$  with  $k$  as state and  $A$  as input from  $\Sigma^*$ .

$(S_1)$  - Represents the state

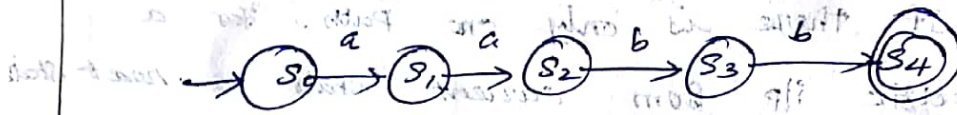
$\rightarrow$  Represents transitions from one state to another.



ex:



Another ex:



$s_0$  - initial  $s_1, s_2, s_3$  - intermediate  
 $s_4$  - final

The Input Set is  $\Sigma = \{a, b\}$

## 2. Transition table

- This is a tabular representation of finite automata.

- For transition table the transition function is used.

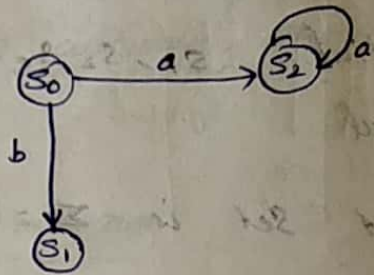
States \ I/P		
	a	b
$q_0$	$q_1$	-
$q_1$	-	$q_2$
$q_2$	$q_2$	-





## 17) DFA (Deterministic Finite Automata)

- If there is only one path for a specific input from current state to next state.



- 1) The finite set of states which can be denoted by  $Q$ .
- 2) The finite set of input symbols  $\Sigma$ .
- 3) The start state  $q_0$  such that  $q_0 \in Q$ .
- 4) A set of final states  $F$  such that  $F \subseteq Q$ .
- 5) The mapping function or transition function denoted by  $\delta$ .

ex:  $q_1 = \delta(q_0, a)$

current state  $q_0$ , with input  $a$  the next state transition is  $q_1$ .

$$A = (Q, \Sigma, \delta, q_0, F)$$



EX:

- 1) Design a FA which accepts the only input 101 over the input set  $\Sigma = \{0, 1\}$

Sol: Here only input 101 will be accepted.

- There is no other path shown for other input.



ex: Design a FA which checks whether the given binary number is even.

- The binary no is made up of 0's and 1's
- When any binary number end with 0 it is always even
- When a binary number ends with 1 it is always odd.

0100 is a even number = 4

0011 is a odd number = 3

- The Finite Automata indicates clearly  $S_1$  is a state which handles all the 1's and  $S_2$  is a state which handles all the 0's.

01000  $\Rightarrow$  0  $S_2$  1 0 0 0

0 1  $S_1$  0 0 0

0 1 0  $S_2$  0 0

0 1 0 0  $S_2$  0

0 1 0 0 0  $S_2$

Now at the end of the state  $S_2$  input is 0  
so here accept. so it is a even number.

1011  $\Rightarrow$  1  $S_1$  0 1 1

1 0  $S_2$  1 1

1 0 1  $S_1$  1

1 0 1 1  $S_1$

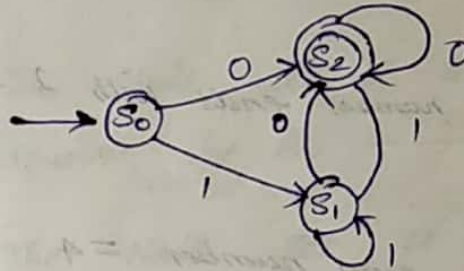
Now we are at the state  $S_1$ , which is a non Final state.



(18)

So FA with the help of transition table

State \ IP	0	1
→ S <sub>0</sub>	S <sub>2</sub>	S <sub>1</sub>
S <sub>1</sub>	S <sub>2</sub>	S <sub>1</sub>
(S <sub>2</sub> )	S <sub>2</sub>	S <sub>1</sub>



ex:

Design FA which checks whether the given unary number is divisible by 3.

- The unary number is made up of one's.
- The number 3 can be written in unary form as 111

→ 5 can be written as 11111

→ Consider a number 111111 which is equal to 6 divisible by 3.

→ So, complete scan of this number we reach to final state q<sub>3</sub>.

Start 11111

State q<sub>0</sub>

1 q<sub>1</sub> 1111

11 q<sub>2</sub> 111

111 q<sub>3</sub> 11

1111 q<sub>2</sub> 1

11111 q<sub>3</sub>

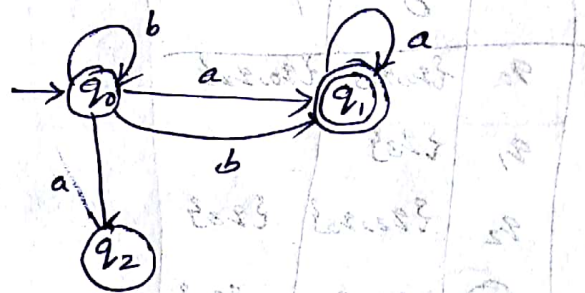
111111 q<sub>2</sub> — No we are in final state.

input	
$\rightarrow q_0$	$q_1$
$q_1$	$q_2$
$q_2$	$q_3$
$q_3$	$q_1$



## NDFA or NFA

- It is exactly reverse of DFA.
- When there exists many paths for a specific input from current state to next state.



- NFA shows from  $q_0$  for i/p 'a' there are two next states  $q_1$  and  $q_2$ .

- Similarly, from  $q_0$  for input 'b' the next states are  $q_0$  and  $q_1$ .

Consider the input string 'bba'

i/p b b a

Path  $q_0 \rightarrow q_0 \rightarrow q_1$

i/p b b a

$q_0 \rightarrow q_0 \rightarrow q_2$

i/p b b a

$q_0 \rightarrow q_1 \rightarrow q_1$



(20)  
The NFA can be formally defined as a collection of 5-tuples.

- 1)  $Q$  is a finite set of states
- 2)  $\Sigma$  is a finite set of inputs
- 3)  $\delta$  is called next state (or) transition function
- 4)  $q_0$  is initial state
- 5)  $F$  is a final state  $F \subseteq Q$ .

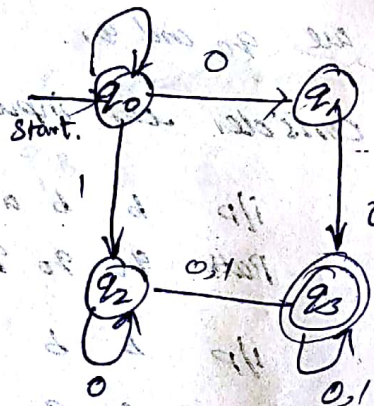
example

Design the NFA transition diagram for the transition table as given below

	0	1
$q_0$	$\{q_0, q_1\}$	$\{q_0, q_2\}$
$q_1$	$\{q_3\}$	
$q_2$	$\{q_2, q_3\}$	$\{q_3\}$
$q_3$	$\{q_3\}$	$\{q_3\}$

Here the NFA is  $N = (\{q_0, q_1, q_2, q_3\}, \Sigma, \delta, q_0, \{q_3\})$

- $\delta(q_0, 0) = \{q_0, q_1\}$   
 $\delta(q_0, 1) = \{q_0, q_2\}$   
 $\delta(q_1, 0) = \{q_3\}$   
 $\delta(q_2, 0) = \{q_2, q_3\}$   
 $\delta(q_2, 1) = \{q_3\}$   
 $\delta(q_3, 0) = \{q_3\}$   
 $\delta(q_3, 1) = \{q_3\}$



ctia

ex: Construct a transition diagram for the NDEA <sup>(21)</sup>  
 $M = (\{q_1, q_2, q_3\}, \{0, 1\}, \{q_3\})$  where  $\delta$  is given by.

$$\delta(q_1, 0) = \{q_2, q_3\}, \quad \delta(q_1, 1) = \{q_1\}$$

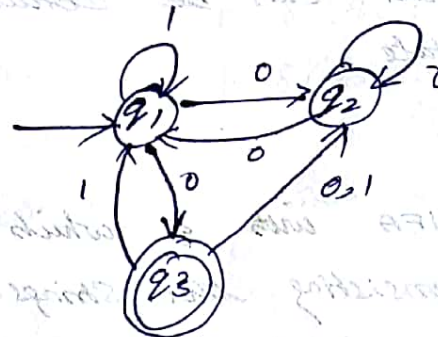
$$\delta(q_2, 0) = \{q_1, q_2\}, \quad \delta(q_2, 1) = \emptyset$$

$$\delta(q_3, 0) = \{q_2\}, \quad \delta(q_3, 1) = \{q_1, q_2\}$$

We will design a transition table using the given mapping function  $\delta$ .

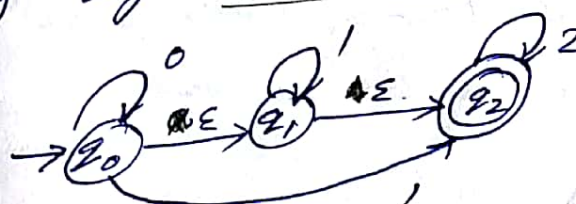
States \ I/P	0	1
$\rightarrow q_1$	$\{q_2, q_3\}$	$q_1$
$q_2$	$\{q_1, q_2\}$	$\emptyset$
$(q_3)$	$q_2$	$\{q_1, q_2\}$

The NDEA will be



### Finite Automata with $\epsilon$ MOVES

- The  $\epsilon$ -transitions <sup>epsilon</sup> in NFA are given in order to move from one state to another without having any symbol from I/P set  $\Sigma$ .





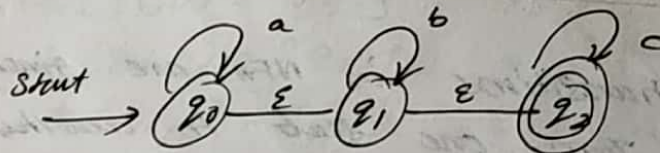
(22)

## Significance of NFA with $\epsilon$

- As construction of DFA is very difficult for certain input set, we construct NFA.
- $\epsilon$  is an empty string.
- The  $\epsilon$ -transitions are used simply to change one state to other.
- Sometimes to reach to final state we do not get proper state from start state.
- In such a case we simply want to reach to certain state which leads to final state.
- Such a transition to that specific state should be without any I/P symbol.
- So we require some  $\epsilon$ -moves by which a proper state can be obtained for reaching to final state.

ex:

construct NFA with  $\epsilon$  which accepts a language consisting the strings of any number of a's followed by any number of b's followed by any number of c's.



State \ $\gamma p$	a	b	c	$\epsilon$
$q_0$	$q_0$	$\phi$	$\phi$	$q_1$
$q_1$	$\phi$	$q_1$	$\phi$	$q_2$
$q_2$	$\phi$	$\phi$	$q_2$	$\phi$

We can parse the string aabbcc

$$\begin{aligned}
 \delta(q_0, aabbcc) &\vdash \delta(q_0, abbcc) \\
 &\vdash \delta(q_0, bbcc) \\
 &\vdash \delta(q_0, \epsilon bcc) \\
 &\vdash \delta(q_1, bcc) \\
 &\vdash \delta(q_1, cc) \\
 &\vdash \delta(q_1, \epsilon cc) \\
 &\vdash \delta(q_2, cc) \\
 &\vdash \delta(q_2, c) \\
 &\vdash \delta(q_2, \epsilon)
 \end{aligned}$$

We reach to accept state, after scanning the complete string.

### $\epsilon$ -closure

The  $\epsilon$ -closure( $p$ ) is a set of all states which are reachable from state  $p$  on  $\epsilon$ -transition

- (i)  $\epsilon$ -closure( $p$ ) =  $p$  where  $p \in Q$ .
- (ii) if there exists  $\epsilon$ -closure( $p$ ) =  $\{q\}$  and  $\delta(q, \epsilon) = r$  then  $\epsilon$ -closure( $p$ ) =  $\{q, r\}$



(24) Regular Expression - It used to denote regular language. It accepted by Finite automata.

Let  $\Sigma$  be an alphabet which is used to denote the input set. The regular expression over  $\Sigma$  can be denoted as

1.  $\phi$  is a regular expression which denotes the empty set.

2.  $\epsilon$  is denotes the set  $\{\epsilon\}$  and it is a null string.

3. If  $r$  and  $s$  are regular expressions denoting the languages  $L_1$  and  $L_2$  respectively

$r+s$  is equivalent to  $L_1 \cup L_2$  union

$rs$  is equivalent to  $L_1 L_2$  concatenation

$r^*$  is equivalent to  $L_1^*$  closure.

The  $r^*$  is known as Kleen Closure. which indicates occurrence of  $r$  for a number of time.)

ex: if  $\Sigma = \{a\}$  and we have regular expression  $R = a^*$ .

Then  $R$  is a set denoted by

$$R = \{ \epsilon, a, aa, aaa, aaaa, \dots \}$$

indicates zero no of  $a$  by using  $\epsilon$  charakt.

Q1:

Design the regular expression (r.e) for the language accepting all combinations of a's except the null string over  $\Sigma = \{a\}$ .

Language  $L = \{a, aa, aaaa, \dots\}$

We can denote r.e as

$R = a^+$  - Positive closure indicates the set of strings without null strings

Design regular expression for the language containing all the strings containing any no of a's and b's

The regular expression will be

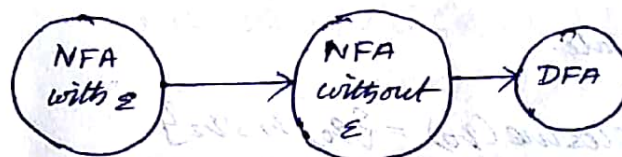
$$r.e = (a+b)^*$$

$$L = \{\epsilon, a, aa, ab, b, ba, bab, abab, \dots\}$$

The  $(a+b)^*$  means any combination with a and b even a null string.

### Equivalence of NFA and DFA

- The NFA with  $\epsilon$  can be converted to NFA without  $\epsilon$ .
- NFA without  $\epsilon$  can be converted to DFA.



Moves to DFA



## (2b) Conversion of NFA with $\epsilon$ to NFA without $\epsilon$

- Here we try to remove all the  $\epsilon$  transitions from given NFA.

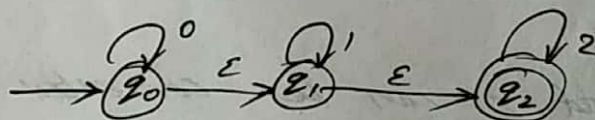
1. Find out all the  $\epsilon$  transitions from each state from  $Q$ . That will be called as  $\epsilon$ -closure  $\{q_i\}$  where  $q_i \in Q$ .
2. The  $\delta'$  transitions can be obtained. The  $\delta'$  transitions means an  $\epsilon$ -closure on  $\delta$  moves.
3. Step-2 is repeated for each input symbol and for each state of given NFA.
4. Using the resultant states the transition table for equivalent NFA without  $\epsilon$  can be built.

Rule for conversion

$$\delta'(q, a) = \epsilon\text{-closure}(\delta(\hat{\delta}(q, \epsilon), a))$$

$$\text{where } \hat{\delta}(q, \epsilon) = \epsilon\text{-closure}(q)$$

ex: convert the given NFA with  $\epsilon$  to NFA without  $\epsilon$ .



We will find out  $\epsilon$ -reachable states from current state.

$$\epsilon\text{-closure}(q_0) = \{q_0, q_1, q_2\}$$

$$\epsilon\text{-closure}(q_1) = \{q_1, q_2\}$$

$$\epsilon\text{-closure}(q_2) = \{q_2\}$$

$$\begin{aligned}
 \delta'(q_0, 0) &= \epsilon\text{-closure}(\delta(\hat{\delta}(q_0, \epsilon), 0)) \\
 &= \epsilon\text{-closure}(\delta(\epsilon\text{-closure}(q_0), 0)) \\
 &= \epsilon\text{-closure}(\delta(q_0, q_1, q_2), 0) \\
 &= \epsilon\text{-closure}(\delta(q_0, 0) \cup \delta(q_1, 0) \cup \delta(q_2, 0)) \\
 &= \epsilon\text{-closure}(q_0 \cup \phi \cup \phi) \text{ empty set} \\
 &= \epsilon\text{-closure}(q_0) = \{q_0, q_1, q_2\} \\
 \delta'(q_0, 0) &= \{q_0, q_1, q_2\}
 \end{aligned}$$

$$\begin{aligned}
 \delta'(q_0, 1) &= \epsilon\text{-closure}(\delta(\hat{\delta}(q_0, \epsilon), 1)) \\
 &= \epsilon\text{-closure}(\delta(\epsilon\text{-closure}(q_0), 1)) \\
 &= \epsilon\text{-closure}(\delta(q_0, q_1, q_2), 1) \\
 &= \epsilon\text{-closure}(\delta(q_0, 1) \cup \delta(q_1, 1) \cup \delta(q_2, 1)) \\
 &= \epsilon\text{-closure}(\phi \cup q_1 \cup \phi) \\
 &= \epsilon\text{-closure}(q_1)
 \end{aligned}$$

$$\delta'(q_0, 1) = \{q_1, q_2\}$$

$$\begin{aligned}
 \delta'(q_1, 0) &= \epsilon\text{-closure}(\delta(\hat{\delta}(q_1, \epsilon), 0)) \\
 &= \epsilon\text{-closure}(\delta(\epsilon\text{-closure}(q_1), 0)) \\
 &= \epsilon\text{-closure}(\delta(q_1, q_2), 0) \\
 &= \epsilon\text{-closure}(\delta(q_1, 0) \cup \delta(q_2, 0)) \\
 &= \epsilon\text{-closure}(\phi \cup \phi) \\
 &= \epsilon\text{-closure}(\phi) \\
 &= \phi
 \end{aligned}$$

$$\begin{aligned}
 \delta'(q_1, 1) &= \epsilon\text{-closure}(\delta(\hat{\delta}(q_1, \epsilon), 1)) \\
 &= \epsilon\text{-closure}(\delta(\epsilon\text{-closure}(q_1), 1)) \\
 &= \epsilon\text{-closure}(\delta(q_1, q_2), 1) \\
 &= \epsilon\text{-closure}(\delta(q_1, 1) \cup \delta(q_2, 1)) \\
 &= \epsilon\text{-closure}(q_1 \cup \phi) \\
 &= \epsilon\text{-closure}(q_1) \\
 &= \{q_1, q_2\}
 \end{aligned}$$



(27)

(28)

$$\begin{aligned}
 \delta(q_2, 0) &= \varepsilon\text{-closure}(\delta(\hat{\delta}(q_2, \varepsilon), 0)) \\
 &= \varepsilon\text{-closure}(\delta(\varepsilon\text{-closure}(q_2), 0)) \\
 &= \varepsilon\text{-closure}(\delta(q_2, 0)) \\
 &= \varepsilon\text{-closure}(\phi) \\
 &= \phi
 \end{aligned}$$

$$\begin{aligned}
 \delta'(q_2, 1) &= \varepsilon\text{-closure}(\delta(\hat{\delta}(q_2, \varepsilon), 1)) \\
 &= \varepsilon\text{-closure}(\delta(\varepsilon\text{-closure}(q_2), 1)) \\
 &= \varepsilon\text{-closure}(\delta(q_2, 1)) \\
 &= \varepsilon\text{-closure}(\phi)
 \end{aligned}$$

$$\delta'(q_2, 1) = \phi$$

$$\begin{aligned}
 \delta'(q_0, 2) &= \varepsilon\text{-closure}(\delta(\hat{\delta}(q_0, \varepsilon), 2)) \\
 &= \varepsilon\text{-closure}(\delta(\varepsilon\text{-closure}(q_0), 2)) \\
 &= \varepsilon\text{-closure}(\delta(q_0, q_1, q_2), 2) \\
 &= \varepsilon\text{-closure}(\delta(q_0, 2) \cup \delta(q_1, 2) \cup \delta(q_2, 2)) \\
 &= \varepsilon\text{-closure}(\phi \cup \phi \cup q_2) \\
 &= \varepsilon\text{-closure}(q_2) \\
 &= \{q_2\}
 \end{aligned}$$

$$\begin{aligned}
 \delta'(q_1, 2) &= \varepsilon\text{-closure}(\delta(\hat{\delta}(q_1, \varepsilon), 2)) \\
 &= \varepsilon\text{-closure}(\delta(\varepsilon\text{-closure}(q_1), 2)) \\
 &= \varepsilon\text{-closure}(\delta(q_1, q_2), 2) \\
 &= \varepsilon\text{-closure}(\delta(q_1, 2) \cup \delta(q_2, 2)) \\
 &= \varepsilon\text{-closure}(\phi \cup q_2) \\
 &= \{q_2\}
 \end{aligned}$$

$$\begin{aligned}
 \delta'(q_2, 2) &= \varepsilon\text{-closure}(\delta(\delta(q_2, \varepsilon), 2)) \quad (29) \\
 &= \varepsilon\text{-closure}(\delta(\varepsilon\text{-closure}(q_2), 2)) \\
 &= \varepsilon\text{-closure}(\delta(q_2, 2)) \\
 &= \varepsilon\text{-closure}(q_2) \\
 &= \{q_2\}
 \end{aligned}$$

Now we will summarize all the computed  $\delta'$  transitions.

$$\delta'(q_0, 0) = \{q_0, q_1, q_2\}, \quad \delta'(q_0, 1) = \{q_1, q_2\}$$

$$\delta'(q_0, 2) = \{q_2\}$$

$$\delta'(q_1, 0) = \phi, \quad \delta'(q_1, 1) = \{q_1, q_2\}, \quad \delta'(q_1, 2) = \{q_2\}$$

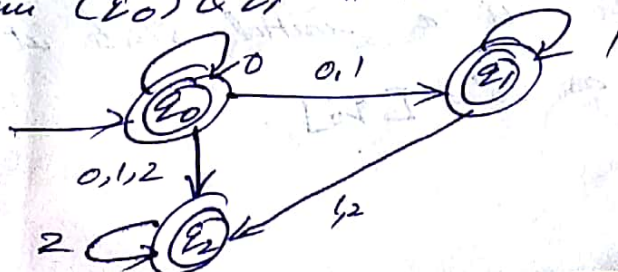
$$\delta'(q_2, 0) = \phi, \quad \delta'(q_2, 1) = \phi, \quad \delta'(q_2, 2) = \{q_2\}$$

Transition table

State \ I/P	0	1	2
$q_0$	$\{q_0, q_1, q_2\}$	$\{q_1, q_2\}$	$\{q_2\}$
$q_1$	$\phi$	$\{q_1, q_2\}$	$\{q_2\}$
$q_2$	$\phi$	$\phi$	$\{q_2\}$

NFA will be

Here  $q_0, q_1$  &  $q_2$  is a Final state because  $\varepsilon\text{-closure}(q_0)$  &  $q_1$  &  $q_2$  contain Final state  $q_2$





(30)

## CONVERSION OF NFA TO DFA

- The finite automata can either be DFA or NFA
- Who is better NFA or DFA
- Which has more power?
- Here is a theorem which tells you that any NFA can be converted to its equivalent DFA

Theorem: Let  $L$  be a set accepted by non-deterministic finite automaton. Then there exists a deterministic finite automaton that accepts  $L$ .  
(or)

Prove that "A language  $L$  is accepted by some DFA if and only if  $L$  is accepted by some NFA."

Proof: Let

$M = (Q, \Sigma, \delta, q_0, F)$  be an NFA for language  $L$ .

Then define DFA  $M'$  such that

$$M' = (Q', \Sigma, \delta', q_0', F')$$

The NFA  $Q'$  will be denoted by  $[q_1, q_2, q_3, \dots, q_n]$

The NFA  $q_0$  is a initial state it is denoted in DFA as  $q_0' = [q_0]$

(31)

$$\delta'([q_1, q_2, q_3, \dots, q_i], a) = [p_1, p_2, p_3, \dots, p_j]$$

At the current states  $[q_1, q_2, \dots, q_i]$  if we get input  $a$  and it goes to the next state  $[p_1, p_2, p_3, \dots, p_j]$ .

→ The theorem can be proved with the induction method by assuming length of i/p string  $x$ .

$$\delta'(q'_0, x) = [q_1, q_2, \dots, q_i]$$

if and only if

$$\delta(q_0, x) = \{q_1, q_2, \dots, q_i\}$$

Basis: If length of i/p string is 0

$|x| = 0$ , that means  $x$  is  $\epsilon$  then  $q'_0 = [q_0]$

Determines DFA from a given NFA.

ex: Let  $M = (\{q_0, q_1\}, \{0, 1\}, \delta, q_0, \{q_1\})$

be NFA where  $\delta(q_0, 0) = \{q_0, q_1\}$ ,  $\delta(q_0, 1) = \{q_1\}$

$\delta(q_1, 0) = \emptyset$ ,  $\delta(q_1, 1) = \{q_0, q_1\}$

Construct its equivalent DFA.

Solution:

Let the DFA  $M' = (Q', \Sigma, \delta', q'_0, F')$

The  $\delta'$  function will be computed as

$$\delta(q_0, 0) = \{q_0, q_1\} = \delta'([q_0], 0) = [q_0, q_1]$$



(32) NFA the initial state is  $q_0$ , the DFA initial state contains  $[q_0]$ .

State \ i/p	0	1
$\rightarrow q_0$	$\{q_0, q_1\}$	$\{q_1\}$
$\rightarrow q_1$	$\phi$	$\{q_0, q_1\}$

The above transition table can be used to compute  $[q_0], [q_1], [q_0, q_1]$  states for its equivalent DFA.

now we need to compute  $[q_0, q_1]$

$$\begin{aligned} \delta(\{q_0, q_1\}, 0) &= \delta(q_0, 0) \cup \delta(q_1, 0) \\ &= \{q_0, q_1\} \cup \phi \\ &= \{q_0, q_1\} \end{aligned}$$

$$\delta'([q_0, q_1], 0) = [q_0, q_1]$$

$$\begin{aligned} \delta(\{q_0, q_1\}, 1) &= \delta(q_0, 1) \cup \delta(q_1, 1) \\ &= \{q_1\} \cup \{q_0, q_1\} \\ &= \{q_0, q_1\} \end{aligned}$$

$$\delta'([q_0, q_1], 1) = [q_0, q_1]$$

now NFA  $q_1$  is a final state. Hence in the DFA whenever  $q_1$  exists that state becomes a final state.

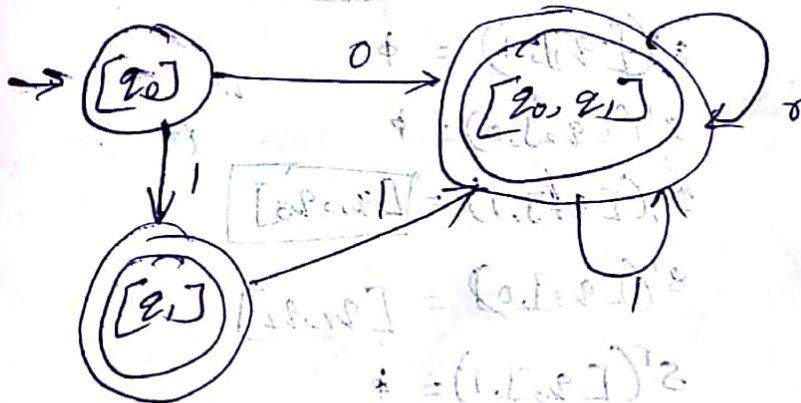
(33)

Here in the DFA Final states are  $[q_1]$   
 $[q_0, q_1]$

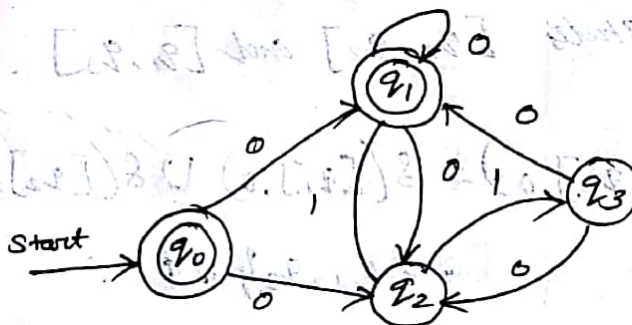
$$F = \{ [q_1], [q_0, q_1] \}$$

The equivalent DFA is:

Input	0	1
State		
$[q_0]$	$[q_0, q_1]$	$[q_1]$
$[q_1]$	$\phi$	$[q_0, q_1]$
$[q_0, q_1]$	$[q_0, q_1]$	$[q_0, q_1]$



ex: Convert the following NFA





(3A)

State \ IP	0	1
$q_0$	$\{q_1, q_2\}$	$\phi$
$q_1$	$\{q_1, q_2\}$	$\phi$
$q_2$	$\phi$	$\{q_1, q_3\}$
$q_3$	$\{q_1, q_2\}$	$\phi$

Now  $\delta(q_0, 0) = \{q_1, q_2\}$

We can write this as

$$\delta'([q_0], 0) = [q_1, q_2]$$

$$\delta'([q_0], 1) = \phi$$

$$\delta'([q_1], 0) = [q_1, q_2]$$

$$\delta'([q_1], 1) = \phi$$

$$\delta'([q_2], 0) = \phi$$

$$\delta'([q_2], 1) = [q_1, q_3]$$

$$\delta'([q_3], 0) = [q_1, q_2]$$

$$\delta'([q_3], 1) = \phi$$

Now we will obtain  $\delta'$  transitions on newly generated states  $[q_1, q_2]$  and  $[q_1, q_3]$ .

$$\begin{aligned} \delta'([q_1, q_2], 0) &= \delta([q_1], 0) \cup \delta([q_2], 0) \\ &= \{q_1, q_2\} \cup \phi \\ &= \{q_1, q_2\} \end{aligned}$$

$$\delta'([q_1, q_2], 1) = [q_1, q_3]$$

$$\begin{aligned}
 \delta'([q_1, q_2], 1) &= \delta([q_1], 1) \cup \delta([q_2], 1) \\
 &= \emptyset \cup \{q_3\} \\
 &= \{q_3\} \\
 &= [q_1, q_3]
 \end{aligned}$$

$$\begin{aligned}
 \delta'([q_1, q_3], 0) &= \delta([q_1], 0) \cup \delta([q_3], 0) \\
 &= \{q_1, q_2\} \cup \{q_1, q_2\} \\
 &= \{q_1, q_2\} \\
 &= [q_1, q_2]
 \end{aligned}$$

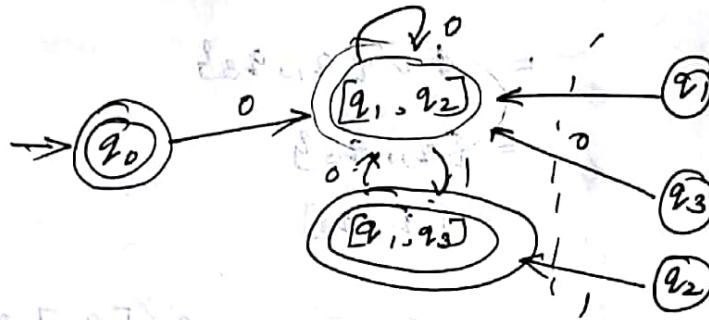
$$\begin{aligned}
 \delta'([q_1, q_3], 1) &= \delta([q_1], 1) \cup \delta([q_3], 1) \\
 &= \emptyset \cup \emptyset \\
 &= \emptyset
 \end{aligned}$$

As now no new states are getting generated.

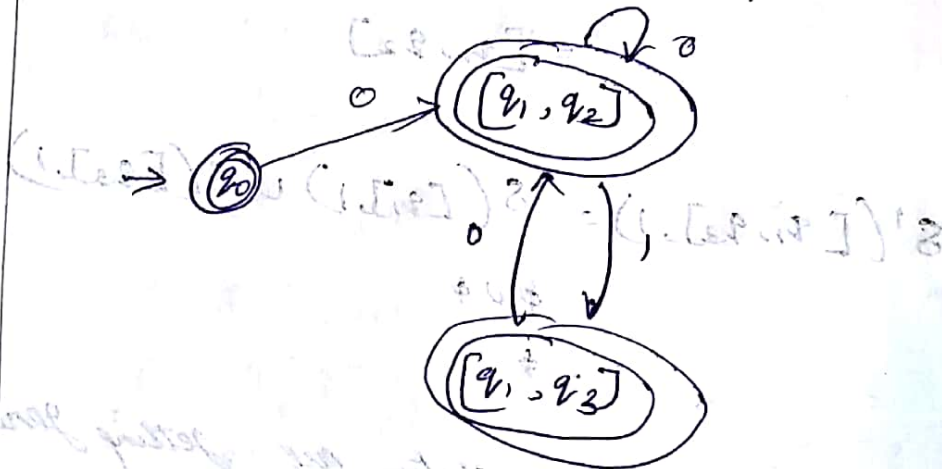
$ P$	0	1
state $[q_0]$	$[q_1, q_2]$	$\emptyset$
$[q_1]$	$[q_1, q_2]$	$\emptyset$
$[q_2]$	$\emptyset$	$[q_1, q_3]$
$[q_3]$	$[q_1, q_2]$	<del><math>[q_1, q_3]</math></del> $\emptyset$
$[q_1, q_2]$	$[q_1, q_2]$	$[q_1, q_3]$
$[q_1, q_3]$	$[q_1, q_2]$	$\emptyset$



(36) The transition diagram can be



$\rightarrow q_1, q_2, q_3$  is no use there is no path from start state to these states so we eliminate these states.



As states  $q_0$  and  $q_1$  are final states original NFA. So  $[q_1, q_2]$  and  $[q_1, q_3]$  are also final states.

### Conversion of NFA with $\epsilon$ to DFA

- In this method we first convert NFA with  $\epsilon$  to NFA without  $\epsilon$ . Then the NFA without  $\epsilon$  can be converted to its equivalent DFA.

(37)  
 Step 1: consider  $M = (Q, \Sigma, \delta, q_0, F)$  is a NFA with  $\epsilon$ . we have to convert this NFA with  $\epsilon$  to equivalent DFA denoted by.

$$M_D = (Q_D, \Sigma, \delta_D, q_0, F_D)$$

Then obtain

$\epsilon$ -closure( $q_0$ ) =  $\{P_1, P_2, P_3 \dots P_n\}$  becomes a start state of DFA.

$$\text{Now } [P_1, P_2, P_3 \dots P_n] \in Q_D$$

Step 2:

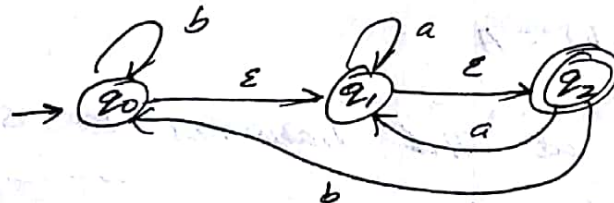
We will obtain  $\delta$  transition on  $[P_1, P_2, P_3 \dots P_n]$  for each input.

$$\delta_D([P_1, P_2 \dots P_n], a) = \epsilon\text{-closure}(\delta(P_1, a) \cup \delta(P_2, a) \cup \dots \delta(P_n, a))$$

Step 3: = The states obtained  $[P_1, P_2, P_3 \dots P_n] \in Q_D$ .

The states containing final state in  $P_i$  is a final state in DFA.

ex: Convert the following NFA with  $\epsilon$  to equivalent DFA



Solution: To convert this NFA we will first find  $\epsilon$ -closures.



(3)

 $\epsilon$ -closure  $\{q_0\} = \{q_0, q_1, q_2\}$  $\epsilon$ -closure  $\{q_1\} = \{q_1, q_2\}$  $\epsilon$ -closure  $\{q_2\} = \{q_2\}$  $\epsilon$ -closure  $\{q_0\} = \{q_0, q_1, q_2\}$  we will call the state as A.

$$S'(A, a) = \epsilon\text{-closure}(\delta(A, a))$$

$$= \epsilon\text{-closure}(\delta(q_0, q_1, q_2), a)$$

$$= \epsilon\text{-closure}\{\delta(q_0, a) \cup \delta(q_1, a) \cup \delta(q_2, a)\}$$

$$= \epsilon\text{-closure}\{q_1\}$$

$$= \{q_1, q_2\} \text{ Let us call it as state B}$$

$$S'(A, b) = \epsilon\text{-closure}(\delta(A, b))$$

$$= \epsilon\text{-closure}(\delta(q_0, q_1, q_2), b)$$

$$= \epsilon\text{-closure}\{\delta(q_0, b) \cup \delta(q_1, b) \cup \delta(q_2, b)\}$$

$$= \epsilon\text{-closure}\{q_0\}$$

$$= \{q_0, q_1, q_2\} \text{ i.e. A.}$$

$$S'(A, a) = B$$

$$S'(A, b) = A$$

Now let us find transitions for state

$$B = \{q_1, q_2\}$$

$$S'(B, a) = \epsilon\text{-closure}(\delta(q_1, q_2), a)$$

$$= \epsilon\text{-closure}\{q_2\}$$

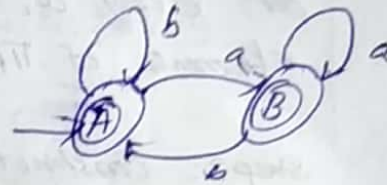
$$= \{q_1, q_2\} \text{ i.e. B}$$

$$\begin{aligned}
 S'(B, b) &= \text{E-closure}(S(q_1, q_2), b) \\
 &= \text{E-closure}(S(q_1, b) \cup S(q_2, b)) \\
 &= \text{E-closure}(\{q_0\}) \\
 &= \{q_0, q_1, q_2\} \in A
 \end{aligned}$$

$$\begin{aligned}
 S'(C, a) &= \text{E-closure}(S(q_2, a)) \\
 &= \text{E-closure}(\{q_1\}) \\
 &= \{q_0, q_1, q_2\} \in A
 \end{aligned}$$

Hence the general DFA is

	a	b
(A)	B	A
(B)	B	A
(C)	B	A



$$\begin{aligned}
 S'(C, b) &= \text{E-closure}(S(q_2, b)) \\
 &= \text{E-closure}(\{q_0\}) \\
 &= \{q_0, q_1, q_2\} \in A
 \end{aligned}$$

### Minimization of DFA

- The minimization of FSM means reducing the number of states from given FA.
- While minimizing FSM we first find out which two states are equivalent we can represent those two states by one representative state.

### Method for construction of Minimum State Automata

Step 1: we will create a set  $\pi_0$  as  $\pi_0 = \{Q_1^0, Q_2^0\}$  where  $Q_1^0$  is set of all final states and  $Q_2^0 = Q - Q_1^0$  where  $Q$  is a set of all the states in DFA.

Step 2: we will construct  $\pi_{k+1}$  from  $\pi_k$ . Let  $Q_i^k$  be any subset in  $\pi_k$ . If  $q_0$  and  $q_1$  are in  $Q_i^k$  they are  $(k+1)$  equivalent provided  $S(q_0, a)$  and  $S(q_1, a)$  are  $k$  equivalent.



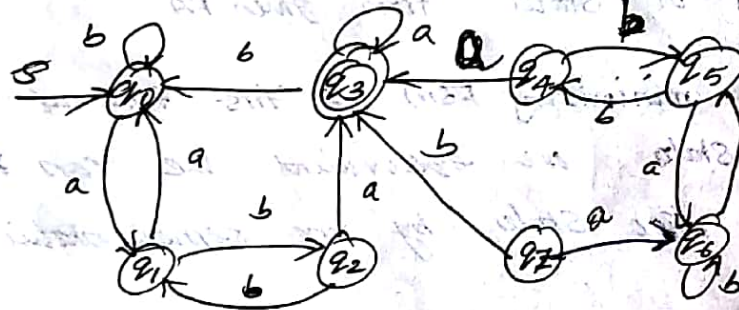
(40) Find out whether  $S(q_1, a)$  and  $S(q_2, a)$  are residing in the same equivalence class  $\pi_k$ .

Then it is said that  $q_1$  and  $q_2$  are  $(k+1)$  equivalent. Thus  $Q_i^k$  is further divided into  $(k+1)$  equivalence classes. Repeat step 2 for every  $Q_i^k$  in  $\pi_k$  and obtain all the elements of  $\pi_{k+1}$ .

Step 3: Construct  $\pi_n$  for  $n=1, 2, \dots$  until  $\pi_n = \pi_{n+1}$ .

Step 4: Then replace all the equivalent states in one equivalence class by representative state.

ex: Construct the minimum state automata for the following transition diagram.



Solution

State	a	b
q0	q1	q0
q1	q0	q2
q2	q3	q1
q3	q3	q0
q4	q3	q5
q5	q6	q4
q6	q5	q6
q7	q6	q3

There is only one final state i.e.  $q_3$ . Hence we can partition  $Q$  as  $Q_1^0$  and  $Q_2^0$  (41)

$$Q_1^0 = F = \{q_3\}$$

$$Q_2^0 = Q - Q_1^0$$

$$= \{q_0, q_1, q_2, q_4, q_5, q_6, q_7\}$$

$$\pi_0 = \{\{q_3\}, \{q_0, q_1, q_2, q_4, q_5, q_6, q_7\}\}$$

Creating  $\pi_1$ ,

Compare  $q_0$  with  $q_2$

	a	b
$q_0$	$q_1$	$q_0$
$q_2$	$q_3$	$q_1$

Under a-column of  $q_0$  &  $q_2$  we get  $q_1$  &  $q_3$  respectively. But  $q_1$  &  $q_3$  in different states.

Similarly  $q_0$  &  $q_4$

	a	b
$q_0$	$q_1$	$q_0$
$q_4$	$q_3$	$q_5$

→ Under a-column of  $q_0$  &  $q_4$  we get  $q_1$  &  $q_3$  which in different sets. Hence  $q_0$  is not 1-equivalent to  $q_4$ .

→  $q_0$  is not 1-equivalent to  $q_7$  (b-column of  $q_0$  &  $q_7$ ).

→ But  $q_0$  is 1-equivalent to  $q_1, q_5$  &  $q_6$ .

$$Q_1^1 = \{q_3\}$$

$$Q_2^1 = \{q_0, q_1, q_5, q_6\}$$

$q_2$  is 1-equivalent to  $q_4$

$$Q_3^1 = \{q_2, q_4\}$$



→ (42) Since under a-column we get  $z_3$  only &  $z_2$  &  $z_4$ .

→ Under b-column of  $z_2$  &  $z_4$  we get  $z_1$  &  $z_5$ .

→  $z_1$  &  $z_5$  lie in one set. Thus  $z_2$  &  $z_4$  are 1-equivalent.

The only element left over in  $\mathcal{Q}_2^0$  is  $z_7$ .

$$\therefore \mathcal{Q}_4^1 = \{z_7\}$$

$$\pi_1 = \{\{z_3\}, \{z_0, z_1, z_5, z_6\}, \{z_2, z_4\}, \{z_7\}\}$$

creating  $\pi_2$

$$\mathcal{Q}_1^2 = \{z_3\}$$

Now  $z_0$  is 2-equivalent to  $z_6$

	a	b
$z_0$	$z_1$	$z_0$
$z_6$	$z_6$	$z_6$

same set

but  $z_0$  is not 2-equivalent to  $z_1$  &  $z_5$

	a	b
$z_0$	$z_1$	$z_0$
$z_1$	$z_0$	$z_2$

	a	b
$z_0$	$z_1$	$z_0$
$z_5$	$z_6$	$z_4$

Not lie in same set.

$$Q_2^2 = \{20, 26\}$$

but  $2_1$  is 2-equivalent to  $2_5$

$$\text{Hence } Q_3^2 = \{2_1, 2_5\}$$

Similarly  $2_2$  is 2-equivalent to  $2_4$

$$Q_4^2 = \{2_2, 2_4\}$$

$$Q_5^2 = \{2_7\}$$

	a	b
2 <sub>1</sub>	2 <sub>0</sub>	2 <sub>2</sub>
2 <sub>5</sub>	2 <sub>6</sub>	2 <sub>4</sub>

Lie in one set

Lie in one set

$$\pi_2 = \{\{2_5\}, \{2_0, 2_6\}, \{2_1, 2_5\}, \{2_2, 2_4\}, \{2_7\}\}$$

creating  $\pi_3$

$$Q_1^3 = \{2_3\}$$

As  $2_0$  is 3-equivalent to  $2_6$

$$Q_2^3 = \{2_0, 2_6\}$$

As  $2_1$  is 3-equivalent to  $2_5$ ,

$$Q_3^3 = \{2_1, 2_5\}$$

As  $2_2$  is 3-equivalent to  $2_4$

$$Q_4^3 = \{2_2, 2_4\} \text{ \& } Q_5^3 = \{2_7\}$$

$$\therefore \pi_3 = \{\{2_3\}, \{2_0, 2_6\}, \{2_1, 2_5\}, \{2_2, 2_4\}, \{2_7\}\}$$

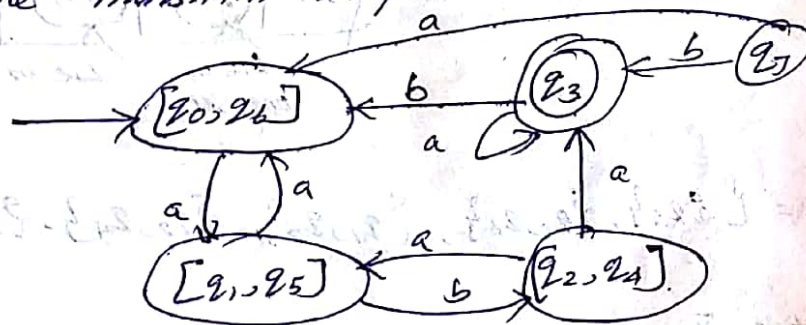
As  $\pi_3 = \pi_2$  we have got equivalence class from

$\pi_2$



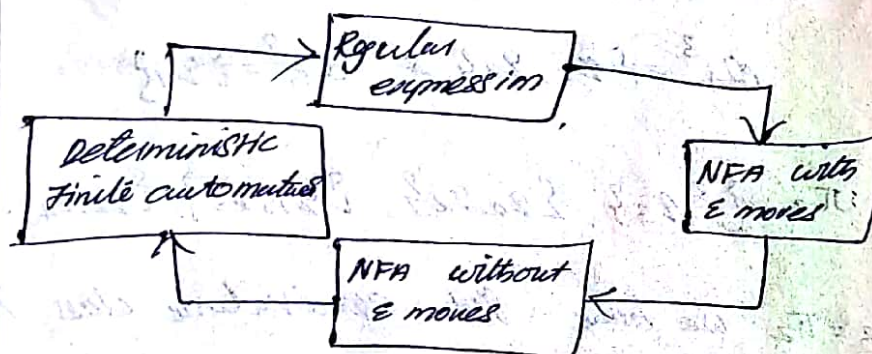
(41)	a	b
$[q_0, q_6]$	$[q_1, q_5]$	$[q_0, q_6]$
$[q_1, q_5]$	$[q_0, q_6]$	$[q_2, q_4]$
$[q_2, q_4]$	$[q_3]$	$[q_1, q_5]$
$[q_3]$	$[q_3]$	$[q_0, q_6]$
$[q_7]$	$[q_0, q_6]$	$[q_3]$

The transition diagram with minimized states is



Equivalence of Finite Automaton and Regular Expressions.

- There is a close relationship b/w a Finite automata and the regular expression



Relationship b/w FA and regular expression

# Equivalence of NFA and Regular Expression

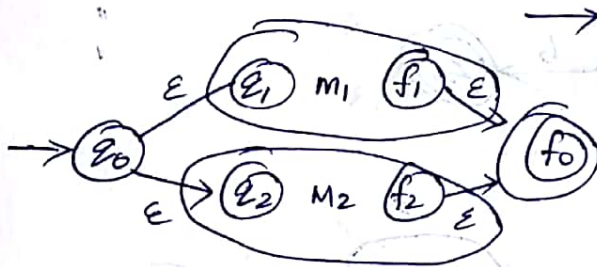
Here we are going to use three cases to construct NFA for the Regular Expression

(i) Union case

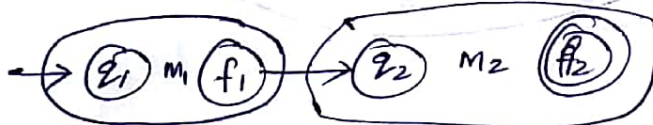


(ii) Concatenation case

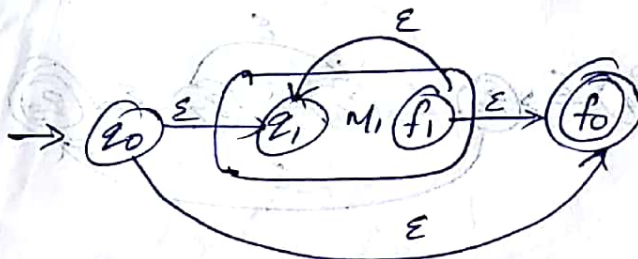
(iii) Closure case



Union



Concatenation



Closure case

ex:

Construct NFA for the regular expression  $b+ba^*$

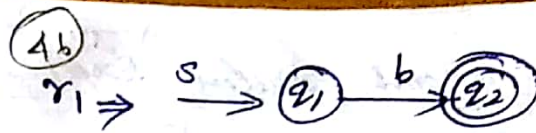
Solution: The regular expression

$r = b+ba^*$  can be broken into  $r_1$  &  $r_2$

$r_1 = b$

$r_2 = ba^*$

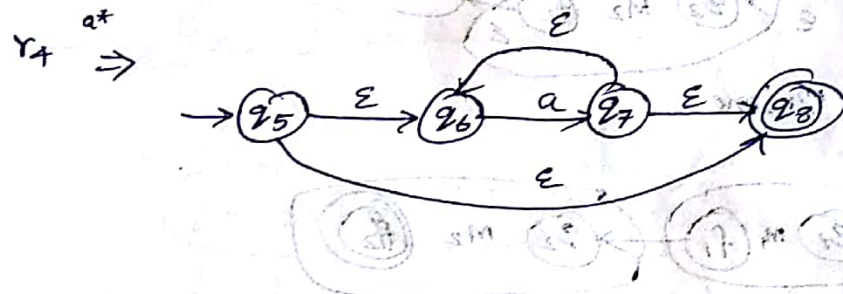
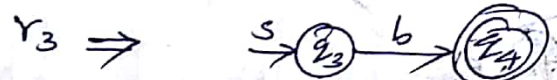




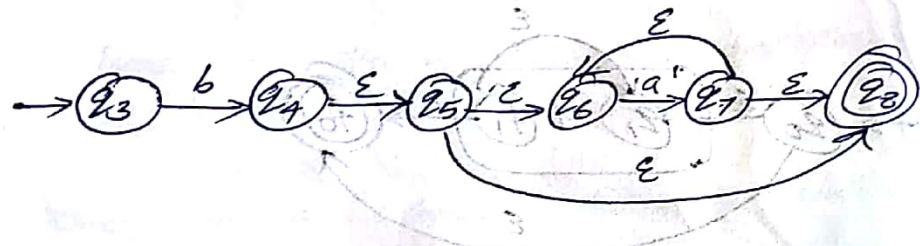
We will go for  $r_2 = ba^*$  this can be broken into  $r_3$  and  $r_4$

where  $r_3 = b$

$r_4 = a^*$

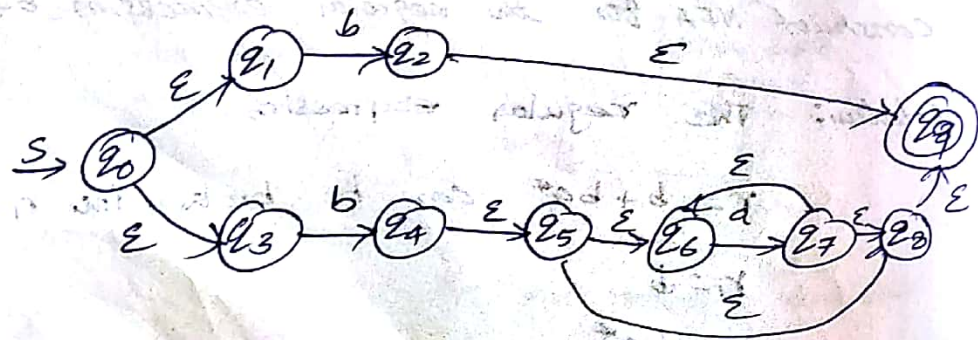


$r_2$  will be  $r_2 = r_3 \cdot r_4$  Concatenation.



Now we will draw NFA  $r = r_1 + r_2$

$r = b + ba^*$



ex:2

Construct an NFA equivalent to the following regular expression  $((10) + (0+1)^*) 01$ .

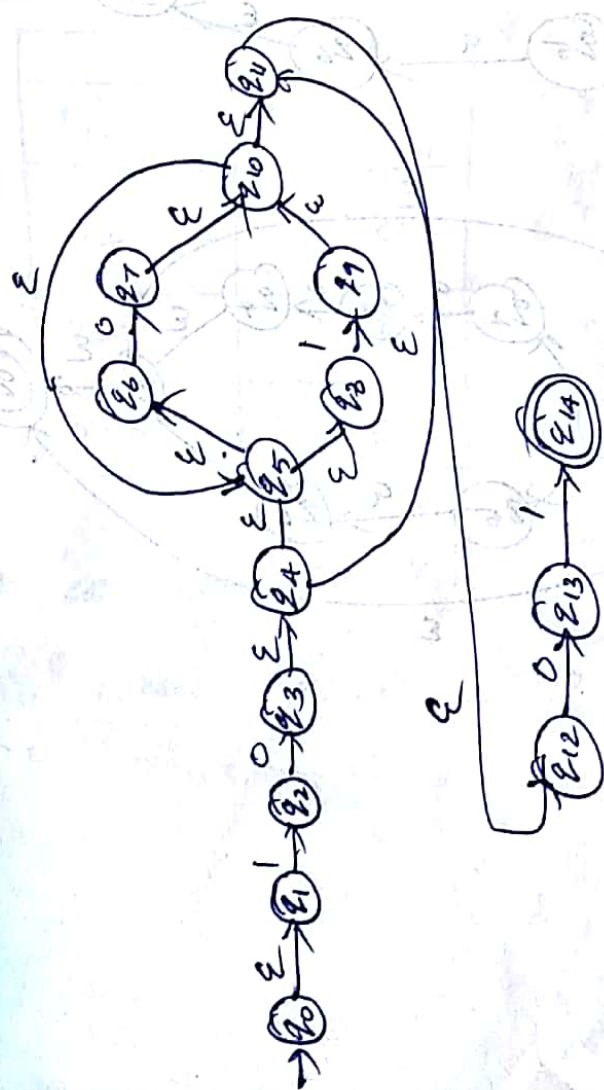
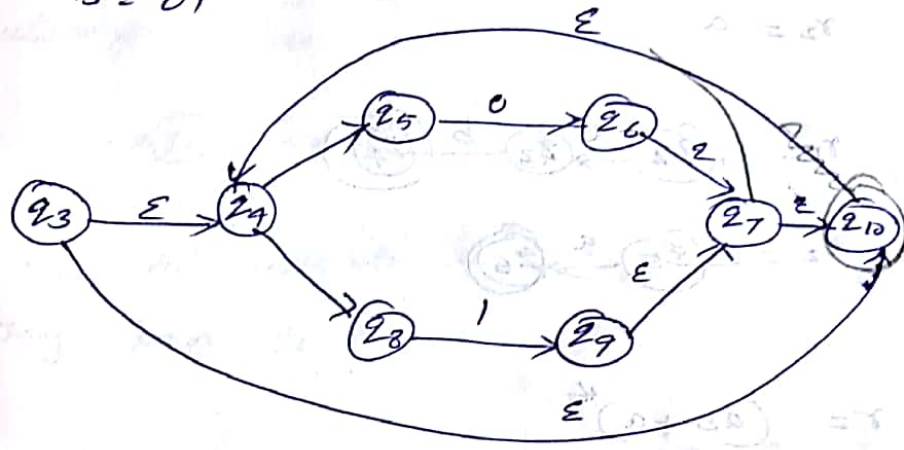
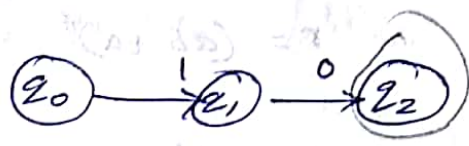
$r.e = (r_1 + r_2) r_3$

concatenation

$r_1 = 10$

$r_2 = (0+1)^*$

$r_3 = 01$





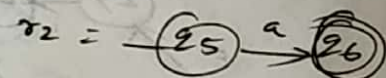
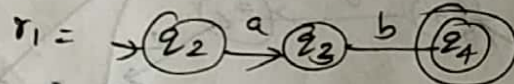
ex:3 (48)

Construct Finite Automata equivalent to the regular expression  $(ab+ a)^*$

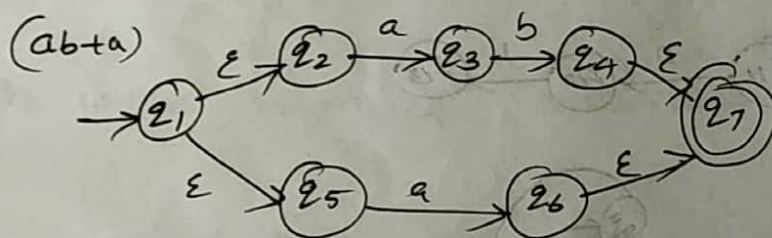
$$r = (ab + a)^*$$

$$r_1 = ab$$

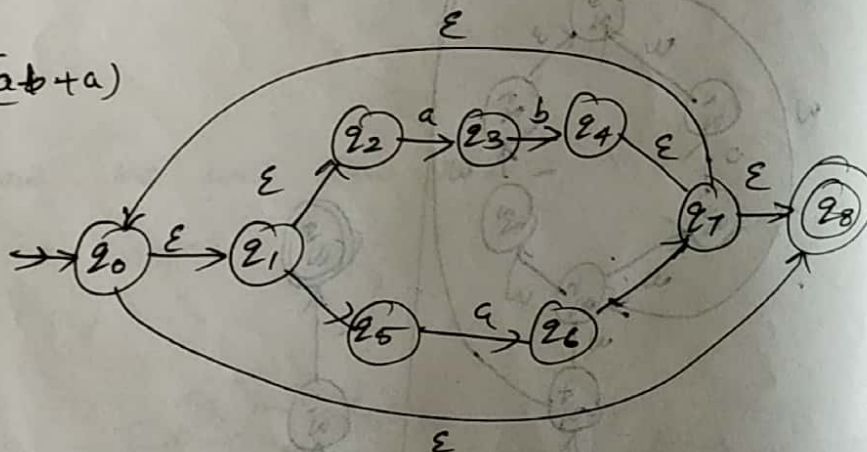
$$r_2 = a$$



$$r = (ab + a)^*$$



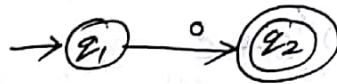
$$r = (ab + a)^*$$



# (49) Conversion of Finite Automata to Regular Expression

$$(r.e) = r_{ij}^k = (r_{ik}^{k-1}) (r_{kk}^{k-1})^* (r_{kj}^{k-1}) + r_{ij}^{k-1}$$

① Construct the regular expression for the finite automata given



→  $r_{ij}$  will indicate the set of all the i/p string from  $q_i$  to  $q_j$ .

→ if  $i=j$  then we add  $\epsilon$  with the i/p string.

→ if  $i \neq j$  and there is no path from  $q_i$  to  $q_j$  then we add  $\phi$ .

	$k=0$
$r_{11}$	$\epsilon$
$r_{12}$	$\phi$
$r_{21}$	$\phi$
$r_{22}$	$\epsilon$

$$r_{11}^0 = \epsilon$$

$$r_{12}^0 = \phi$$

$$r_{21}^0 = \phi$$

$$r_{22}^0 = \epsilon$$

Let us compute  $r_{11}^0$

$r_{11}^0$  where  $i=1, j=1, k=0$

$$\epsilon + (\phi)^* (\phi) + \epsilon =$$

$$\epsilon + \phi =$$

$$\epsilon$$



(50) Let

us build the table when  $k=1$

$r_{11}^1$

$$r_{ij}^k = r_{ik}^{k-1} (r_{kk}^{k-1})^* (r_{kj}^{k-1}) + r_{ij}^{k-1}$$

$$i=1, j=1, k=1$$

$$r_{11}^1 = r_{11}^0 (r_{11}^0)^* r_{11}^0 + r_{11}^0$$

$$= \epsilon (\epsilon)^* \epsilon + \epsilon$$

$$r_{11}^1 = \epsilon$$

$r_{12}^1$

$$i=1, j=2, k=1$$

$$r_{12}^1 = r_{11}^0 (r_{11}^0)^* (r_{12}^0) + r_{12}^0$$

$$= \epsilon (\epsilon)^* (0) + 0$$

$$= \epsilon \cdot 0 + 0$$

$$= 0 + 0$$

$$= 0$$

$$= 0$$

$\epsilon$	$\epsilon$	$0$
$\epsilon$	$\epsilon$	$0$
$\epsilon$	$\epsilon$	$0$
$\epsilon$	$\epsilon$	$0$
$\epsilon$	$\epsilon$	$0$

$r_{21}^1$

$$i=2, j=1, k=1$$

$$r_{21}^1 = r_{21}^0 (r_{11}^0)^* (r_{11}^0) + r_{21}^0$$

$$= \phi (\epsilon)^* (\epsilon) + \phi$$

$$= \phi + \phi$$

$$= \phi$$

$$r_{22}^1 \quad i=2, j=2 \quad k=1 \quad (51)$$

$$r_{22}^1 = r_{21}^0 (r_{11}^0)^* (r_{12}^0) + r_{22}^0$$

$$0 + (0) \cdot \epsilon^* (0) + \epsilon$$

$$= 0 + \epsilon$$

$$= \epsilon$$

Now let us compute for final state.

→  $r_{12}^2$  will be computed, because there are total 2 states and final state is 2 whose start is 1,

$$r_{12}^2 = (r_{12}^1) (r_{22}^1)^* (r_{22}^1) + (r_{12}^1)$$

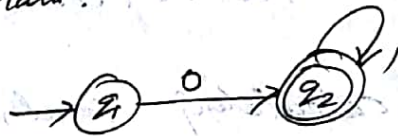
$$\boxed{\epsilon = 0}$$

$$= 0 (\epsilon)^* (\epsilon) + 0$$

$$= 0 + 0$$

$r_{12}^2 = 0$  which is a final regular expression.

② Construct regular expression from given finite automata.



Let us compute when  $k=0$

	$k=0$
$r_{11}$	$\epsilon$
$r_{12}$	$0$
$r_{21}$	$\phi$
$r_{22}$	$1 + \epsilon$



Now (52) we will calculate for  $k=1$

$$k=1$$

$$r_{11} \quad i=1, j=1, k=1$$

$$r_{11}' = r_{11}^0 (r_{11}^0)^* (r_{11}^0) + r_{11}^0$$

$$= \epsilon \cdot \epsilon \cdot \epsilon + \epsilon$$

$$r_{11}' = \epsilon$$

$$r_{12}$$

$$i=1, j=2, k=1$$

$$r_{12}' = r_{11}^0 (r_{11}^0)^* r_{12}^0 + r_{12}^0$$

$$= \epsilon \cdot 0 + 0$$

$$r_{12}' = 0$$

$$r_{21}$$

$$i=2, j=1, k=1$$

$$r_{21}' = r_{21}^0 (r_{11}^0)^* r_{11}^0 + r_{21}^0$$

$$= \phi \cdot \epsilon \cdot \epsilon + \phi$$

$$r_{21}' = \phi$$

$$i=2, j=2, k=1$$

$$r_{22}$$

$$r_{22}' = r_{21} (r_{11})^* r_{12}^0 + r_{22}^0$$

$$= \phi(\epsilon)^* \cdot 0 + (1+\epsilon) = 1+\epsilon$$

Now for calculating regular expression we should compute for the path from start state to final. That is from  $q_1$  to  $q_2$

$$1+\epsilon = 1^*$$

$$P=1, j=2, k=2$$

$$\begin{aligned} r_{12}^2 &= r_{12}' (r_{22}')^* r_{22}' + r_{12}' \\ &= (0 \cdot (1+\epsilon)^* (1+\epsilon)) + 0 \\ r_{12}^2 &= 0 \cdot 1^* + 0 \end{aligned}$$

## Arden's Method For converting DFA to RE

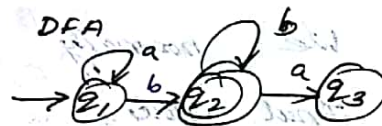
- The Arden's theorem is useful for checking the equivalence of two regular expressions as well as in conversion of DFA to RE.

### Algorithm:

1. Let  $q_1$  be the initial state.
2. There are  $q_2, q_3, q_4, \dots, q_n$  number of states. The final state may be some  $q_j$  where  $j \leq n$ .
3. Let  $\delta_{ji}$  represents the transition from  $q_j$  to  $q_i$ .
4. Calculate  $r_i$  such that
$$r_i = \delta_{ji} \cdot r_j$$
If  $q_i$  is a start state  $\epsilon$  will be added.
$$r_i = \delta_{ji} r_j + \epsilon$$
5. Similarly compute the final state which ultimately gives the regular expression  $r$ .

ex 11

Construct r.e. from given DFA



Solution:

$$r_1 = \epsilon + \epsilon$$

$q_1$  is a start state  $\epsilon$  will be added.

$$r_2 = r_1 b + r_2 b$$



Let (54) us simplify  $q_1$  first

$$q_1 = q_1 a + \epsilon$$

We can re-write it as

$$q_1 = \epsilon + q_1 a$$

$\Rightarrow$

Similar to  $R = Q + RP$

Gets reduced to  $R = QP^*$

Assuming  $R = q_1$ ,  $Q = \epsilon$ ,  $P = a$

We get

$$q_1 = \epsilon \cdot a^*$$

$$q_1 = a^*$$

Substituting value of  $q_1$  in  $q_2$

$$q_2 = q_1 b + q_2 b$$

$$q_2 = a^* b + q_2 b$$

$$R = q_2, Q = a^* b, P = b$$

$$q_2 = a^* b \cdot b^*$$

$$\text{AS } RR^* = R^+$$

$$q_2 = a^* b^+$$

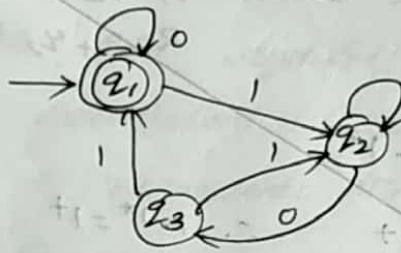
We normally calculate the equation for Final State.

$$q_2 = a^* b^+$$

ex: 2

(55)

Find out the regular expression from given DFA

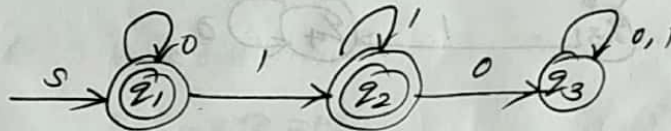


$$q_1 = q_1 0 + q_3 0 + \epsilon$$

$$q_2 = q_2 1 + q_3 1 + q_1 1$$

ex: 3

Construct r.e for the given DFA



$$q_1 = q_1 0 + \epsilon$$

$$q_2 = q_1 1 + q_2 1$$

$$q_3 = q_2 0 + q_3 (0+1)$$

Final states are  $q_1$  &  $q_2$ , we are interested in solving  $q_1$  &  $q_2$  only.

$$q_1 = \epsilon + q_1 0$$

$$R = q_1$$

$$Q = \epsilon$$

$$P = 0$$

$$R = Q + RP, R = QP^*$$

$$q_1 = \epsilon \cdot (0)^*$$

$$(0+10) q_1 = 0^*$$

$$\therefore \epsilon \cdot R = P$$

Substituting this value into  $q_2$

$$q_2 = q_1 1 + q_2 1$$

$$q_2 = 0^* 1 + q_2 1$$

$$q_2 = 0^* 1 (1)^*$$

$$Q = 0^*$$

$$P = 1$$

$$R = Q + RP \Rightarrow QP^*$$



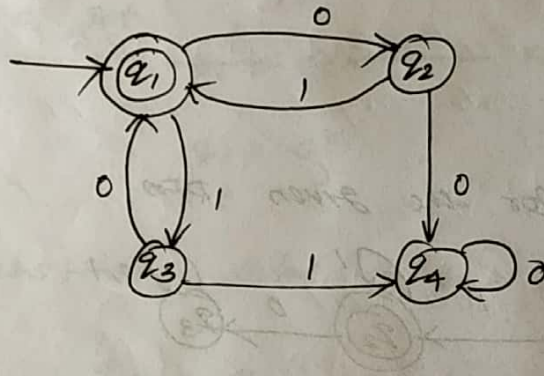
(56) The regular expression is given by  
 Here Two Final state  $q_1, q_2$   
 $R = q_1 + q_2$

$$= 0^* + 0^* 1 \cdot 1^*$$

$$R = 0^* + 0^* 1^+ \quad \therefore 1 \cdot 1^* = 1^+$$

ex: 4

Construct r.e for the DFA given in



$$q_1 = q_2' + q_3^0 + \epsilon$$

$$q_2 = q_1^0$$

$$q_3 = q_1'$$

$$q_4 = q_2^0 + q_3' + q_4^{(0+)}$$

Let us solve  $q_1$  first

$$q_1 = q_2' + q_3^{0+\epsilon}$$

$$q_1 = q_1^0 + q_1^{10+\epsilon}$$

$$q_1 = q_1^{(01+10)+\epsilon}$$

$$q_1 = \epsilon \cdot (01+10)^*$$

$$q_1 = (01+10)^*$$

$$\therefore R = Q + RP \Rightarrow QP^*$$

$$R = q_1, Q = \epsilon$$

$$P = (01+10)$$

$$\boxed{\epsilon \cdot R = R}$$

The Regular expression will be

$$R = (01+10)^*$$

# Identity Rules (57)

The two regular expressions  $P$  and  $Q$  are equivalent (denoted as  $P=Q$ ) if and only if  $P$  represents the same set of strings as  $Q$ .

$$1. \quad \epsilon R = R \epsilon = R$$

$$2. \quad \epsilon^* = \epsilon$$

$$3. \quad (\phi)^* = \epsilon$$

$$4. \quad \phi R = R \phi = \phi$$

$$5. \quad \phi + R = R$$

$$6. \quad R + R = R$$

$$7. \quad RR^* = R^*R = R^*$$

$$8. \quad (R^*)^* = R^*$$

$$9. \quad \epsilon + RR^* = R^*$$

$$10. \quad \epsilon + R^* = R^*$$

$$11. \quad \epsilon + 0^* = 0^*$$

① Prove  $(1+00^*1) + (1+00^*1)(0+10^*1)^*(0+10^*1)$   
 $= 0^*1(0+10^*1)^*$

Solution. LHS

$$(1+00^*1) + (1+00^*1)(0+10^*1)^*(0+10^*1)$$

We will take  $(1+00^*1)$  as a common factor.

$$1+00^*1 \left[ \epsilon + (0+10^*1)^*(0+10^*1) \right]$$

$$(E+R^*R) \text{ where } R=(0+10^*1)$$



(58)

$$(E + R^*R) = (E + RR^*) = R^*$$

$(1+00^*1)(0+10^*1)^*$  out of this consider

$$(1+00^*1)(0+10^*1)^*$$

↓

Taking 1 as a common factor.

$$(E + 00^*)1(0+10^*1)^*$$

$$\text{Applying } E + 00^* = 0^*$$

$$0^*1(0+10^*1)^*$$

$$= \text{RHS.}$$

ex: 2: Show that  $(ab)^* \neq (a^*b^*)$

$$\text{LHS} = (ab)^* = \{\epsilon, ab, abab, ababab, \dots\}$$

$$\text{RHS} (a^*b^*) = \{\epsilon, a, aa, aaa, b, bbb, bbb, ab\}$$

$$\text{LHS} \neq \text{RHS.}$$

ex:

$$\text{Show that } (0^*1^*)^* = (0+1)^*$$

LHS

$$= (0^*1^*)^*$$

$$= \{\epsilon, 0, 00, 1, 11, 111, 01, 10, \dots\}$$

$$\text{RHS} = (0+1)^*$$

$$= \{\epsilon, 0, 00, 1, 11, 111, 01, 10, \dots\}$$

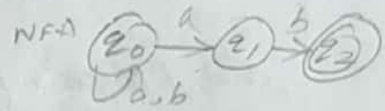
$$\text{LHS} = \text{RHS.}$$

# Pumping Lemma for Regular Sets

- This is a basic and important theorem used for checking whether given string is accepted by regular expression or not.

If 'L' is a regular language represented with an automaton with a maximum of 'n' states.

then there is a word 'in' L such that (the length)  $|z| \geq n$



We may write  $z = UVW$  in such a way that  $|U| \geq 1$ ,  $|V| \geq 1$  and for all  $i \geq 0$ ,  $UV^iW$  is in L. (looping)

Some way the string is pumped.

Ex: 1

Pumping lemma to prove

$L = \{1^p \mid p \text{ is prime number}\}$  is not regular.

Rule:  $w = \text{word (or) } 1^p \in L$

$|w| \geq n$

$w = UVW \in L$

then

$UV^iW \in L$



(b)  
Step 1: Assume given language  $L$  is regular.

Step 2:

$$Z = UVW$$

$$\text{ie } p \geq 0$$

$$|UVW| = p$$

$$|V| \geq 1$$

$$|UV^iZ|$$

$$i = p+1$$

$$|UV^{\frac{p+1}{w}}Z| = |UVW| + |V|^p$$

$$= p + p(|V|)$$

$$|UV^iZ| = p(1+|V|)$$

a prime no should not be multiple of two letters

prime no either multiple itself or 1 but here it's not 1, it's  $1 + \text{some value}$

Prove  $L = \{a^n b^n \mid n \geq 1\}$  not regular

Step 1: Assume given language  $L$  is regular

Step 2: if  $L$  is regular then  $Z = UVW$  &  $|Z| \geq n$ ,  $|V| \geq 1$  then  $UV^iW \in L$

$$\text{Step 3: } Z = \underbrace{aa \dots a}_x \underbrace{bb \dots b}_y$$

$$U = a^{n-s}$$

$$V = a^s$$

$$W = b^n$$

uv<sup>i</sup>w

$i \geq 0$

(61)

$$a^{n-s} (a^s)^i b^n \in L$$

$i=2$

$$a^{n-s} a^{2s} b^n$$

$$a^{n-s+2s} b^n$$

$$a^{n+s} b^n$$

$\therefore$  there the no of a's

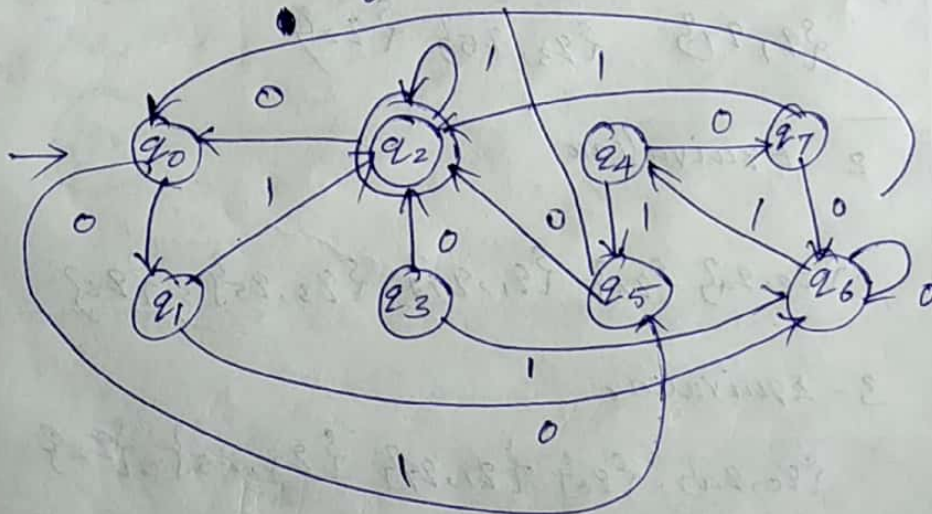
will be more no of b's. In our

language is no of a's equal to no of b's.

So here contradiction will be arise.

### Minimization of DFA

Construct a minimum DFA equivalent to the DFA description by





(62)

	0	1
→ $q_0$	$q_1$	$q_5$
$q_1$	$q_6$	$q_2$
(23)	$q_0$	$q_2$
$q_3$	$q_2$	$q_6$
$q_4$	$q_7$	$q_5$
$q_5$	$q_2$	$q_6$
$q_6$	$q_6$	$q_2$
$q_7$	$q_6$	$q_2$

0 Equivalensi

$\{q_0, q_1, q_3, q_4, q_5, q_6, q_7\} \{q_2\}$

1 Equivalensi

$\{q_0, q_4, q_6\}$

$\{q_1, q_7\} \{q_3, q_5\} \{q_2\}$

2 Equivalensi

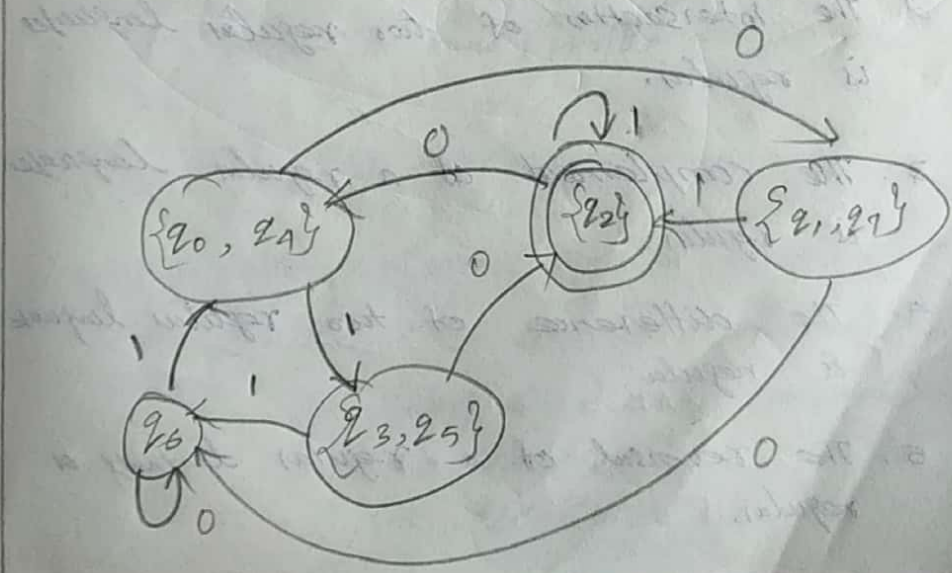
$\{q_0, q_4\} \{q_6\} \{q_1, q_7\} \{q_3, q_5\}, \{q_2\}$

3 - Equivalensi

$\{q_0, q_4\}, \{q_6\} \{q_1, q_7\} \{q_3, q_5\}, \{q_2\}$

	0	1
20	21	25
21	26	22
22	20	24
23	22	26
24	27	25
25	22	26
26	26	24
27	26	22

	0	1
{20, 24}	{21, 27}	{23, 25}
{26}	{26}	{20, 24}
{21, 27}	{26}	{22}
{23, 25}	{22}	{26}
{22}	{20, 24}	{22}





## Applications of Regular Expression

- The regular expressions can be modelled to finite automata.
- As we have solved many examples and experienced.

### (1) Text editors:

It is a program which are used for processing the text and substituting the string

$s/bbb * /b/$

### 2. Lexical analyzers

Compiler uses this program of lexical analyzer in the process of compilation.

Lexical analyzer is to scan the input program and separate out the 'tokens'.

### Closure properties of Regular Language.

1. The union of two regular languages is regular.
2. The intersection of two regular languages is regular.
3. The complement of a regular language is regular.
4. The difference of two regular languages is regular.
5. The reversal of a regular language is regular.

6. The closure operation on a regular language is regular.
7. The concatenation of regular language is regular.
8. A homomorphism of regular language is regular.
9. The inverse homomorphism of regular language is regular.

## UNIT-2

### Grammars

#### Grammar.

- Grammar expresses language.

ex:  $\langle \text{Sentence} \rangle \rightarrow \langle \text{noun phrase} \rangle \langle \text{predicate} \rangle$

$\langle \text{Sentence} \rangle \rightarrow \langle \text{noun phrase} \rangle \langle \text{predicate} \rangle$

$\langle \text{noun phrase} \rangle \rightarrow \langle \text{article} \rangle \langle \text{noun} \rangle$

$\langle \text{predicate} \rangle \rightarrow \langle \text{verb} \rangle$

$\langle \text{article} \rangle \rightarrow a, the$

$\langle \text{noun} \rangle \rightarrow boy, dog$

$\langle \text{verb} \rangle \rightarrow runs, walks.$

ex: The boy walks.

$\langle \text{Sentence} \rangle \rightarrow \langle \text{noun phrase} \rangle \langle \text{predicate} \rangle$

$\Rightarrow \langle \text{noun phrase} \rangle \langle \text{verb} \rangle$

$\Rightarrow \langle \text{article} \rangle \langle \text{noun} \rangle \langle \text{verb} \rangle$

$\Rightarrow The \langle \text{noun} \rangle \langle \text{verb} \rangle$

$\Rightarrow The boy \langle \text{verb} \rangle$

$\Rightarrow The boy walks.$



## (66) Types of Grammar

### 1. Type 3 Grammar

This type grammar generates the regular language.

Production rule

$$A \rightarrow a$$

&

$$A \rightarrow aB$$

- This grammar can be modeled using finite automata.

### 2. Type 2 Grammar

→ This grammar generates the context free languages.

Production rule

$$A \rightarrow \gamma$$

Where  $A$  is non terminal  $\gamma$  is string of non terminal, and terminal.

→ This grammar can be modeled using push down automata.

### 3. Type 1 Grammar

- This grammar generates the context sensitive language.

Production rule

$$\alpha AB \rightarrow \alpha \gamma B$$

$A$  - non terminal,  $\alpha \gamma B$  is a string of terminal & non-terminal.

This grammar can be modeled using <sup>(67)</sup> linear bounded automata.

#### 4. Type 0 Grammar

- This type of grammar generates recursively enumerable language.

Production rule

$$\alpha \rightarrow \beta$$

- This grammar can be modeled using Turing Machine.

#### Context Free Grammars and Language

- It has compute to the higher level language as a regular language
- The CFG can be formally defined as a set denoted by  $G = (V, T, P, S)$  where  $V$  and  $T$  are set of non-terminals and terminals respectively.  
 $P$  is set of production rules.  
 $S$  is a start symbol.

1. The capital letters are used to denote the non-terminals

2. The lower case letters are terminals.

$$G = (V, T, P, S)$$

$V$  = set of variables (or) Non-Terminal symbols

$T$  = Terminal symbol

$S$  = start symbol

$P$  = production rule.



## Derivations and Languages

- Production rules are used to derive certain strings.
- The generation of language using specific rules is called derivation.

ex:1

For generating a language that generates equal number of a's and b's in the form  $a^n b^n$ , the CFG will be defined as

$$G = \{ \{S, A\}, \{a, b\}, (S \rightarrow aAb, A \rightarrow aAb | \epsilon) \}$$

$$S \rightarrow aAb$$

$$\rightarrow aaAbb \text{ (by } A \rightarrow aAb \text{)}$$

$$\rightarrow aaaSbbb \text{ (by } A \rightarrow aAb \text{)}$$

$$\rightarrow aaabbb \text{ (by } A \rightarrow \epsilon \text{)}$$

$$\rightarrow a^3 b^3 \Rightarrow a^n b^n$$

ex:2 Construct CFG for the language  $L$  which has all the strings which are all palindromes over  $\Sigma = \{a, b\}$ .

→ The string "madam" is a palindrome because

read → madam ← read  
          ↑  
         same

→ We want the production rules to be build a's and b's. As  $\epsilon$  can be the palindrome.

$$G = \{1s1, \{a, b\}, P, S\}$$

(69)

P can be

$$S \rightarrow aSa$$

$$S \rightarrow bsb$$

$$S \rightarrow a$$

$$S \rightarrow b$$

$$S \rightarrow \epsilon$$

asa

absba

abasaba

abaεaba

ab aaba

which is a Palindrome.

ex:3 Try to recognize the language L for given CFG.

$$G = [\{S\}, \{a, b\}, P, \{S\}]$$

where

$$P = \left\{ \begin{array}{l} S \rightarrow aSb \\ S \rightarrow ab \end{array} \right\}$$

Solution

$S \rightarrow aSb \mid ab$  is a production rule

$\mid$  indicates the 'or' operator.

$S$   
 $aSb$   
 $\downarrow$   
 $aasbb$   
 $\downarrow$   
 $aaasbbb$   
 $\downarrow$   
 $aaaaabbbb$

→ We can have any number of a's first then equal no of b's

→ we guess the language as  $\{L = a^n b^n \text{ where } n \geq 1\}$

→ The only way to recognize the language is to try out various strings from the given production rules.

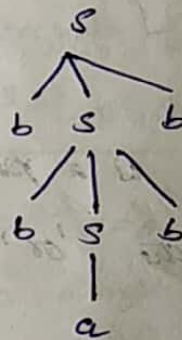


## Derivation Trees

- Derivation Tree is a graphical representation.
- It is the simple way to show how the derivation can be done.
- The derivation tree is also called parse tree.

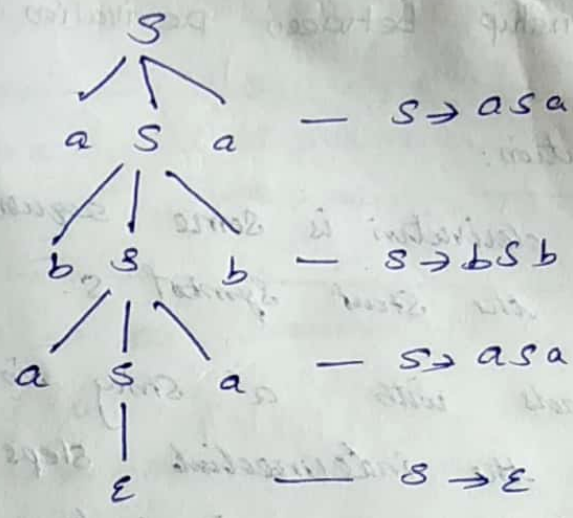
### Properties

1. The root node is always a node indicating start symbol.
2. The derivation is read from left to right.
3. The leaf nodes are always terminal nodes.
4. The interior nodes are always the non-terminal nodes.



ex: Draw a derivation tree for the string  
abaaba for the CFG given by

$$G \text{ where } P = \{ S \rightarrow aSa, \\ S \rightarrow bSb, \\ S \rightarrow a|b|c \}$$

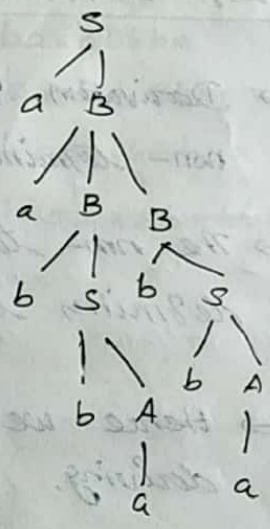


⇒ abaaba

ex:2 construct the derivation tree for the string aabbabba from the CFG given by

- $S \rightarrow aB \mid bA$
- $A \rightarrow a \mid aS \mid bAA$
- $B \rightarrow b \mid bS \mid aBB$

$S$   
 $\downarrow$   
 $aB$  —  $S \rightarrow aB$   
 $a$   $aBB$  —  $S \rightarrow aBB$   
 $aa$   $bS$   $B$  —  $B \rightarrow bS$   
 $aab$   $bA$   $B$  —  $S \rightarrow bA$   
 $aabb$   $a$   $B$  —  $A \rightarrow a$   
 $aabba$   $bs$  —  $B \rightarrow bs$   
 $aabbab$   $bA$  —  $B \rightarrow bA$   
 $aabbabba$  —  $A \rightarrow a$





## Relationship between Derivation and Derivation Trees

### Derivation:

- A derivation is some sequence that starts with the start symbol  $S$ .
- ends with a string in the language, and the intermediate steps may contain terminals and nonterminals.
- Each step in the sequence expands one nonterminal using a production rule.

### Derivation tree

A parse tree is rooted at the start symbol, has terminal symbols as leaves and the children of a node correspond to a production rule.

### Left Derivation and Rightmost Derivation

- Derivation for any string means replacement of non-terminal by its appropriate definition.
- The non-terminal should be replaced by its definition is sometimes confusing to decide.
- Hence we normally apply two methods of deriving.
- The leftmost derivation is a derivation in which the leftmost non-terminal is replaced first from the sentential form.
- The rightmost derivation is a derivation in which rightmost non-terminal is replaced first from the sentential form.

$$S \rightarrow xyx$$

$$S \rightarrow xyx$$

$$S \rightarrow ayx$$

$$S \rightarrow xya$$

$$S \rightarrow abx$$

$$S \rightarrow xba$$

$$S \rightarrow aba$$

$$S \rightarrow aba$$

ex: Let  $G$  be the Grammar

$$S \rightarrow aB / bA$$

$$A \rightarrow a / aS / bAA$$

$$B \rightarrow b / bS / aBB$$

For the string baaabbbabba. Find leftmost derivation, rightmost derivation and parse tree.

Leftmost

$S$

$bA$

$baS$

$baaB$

$baaB$

$baaaBB$

$baaabSB$

$baaabBAB$

$baaabbaB$

$baaabbaBS$

$baaabbbabA$

$baaabbbabba$

Rightmost

$S$

$bA$

$baS$

$baaB$

$baaaBB$

$baaaBBS$

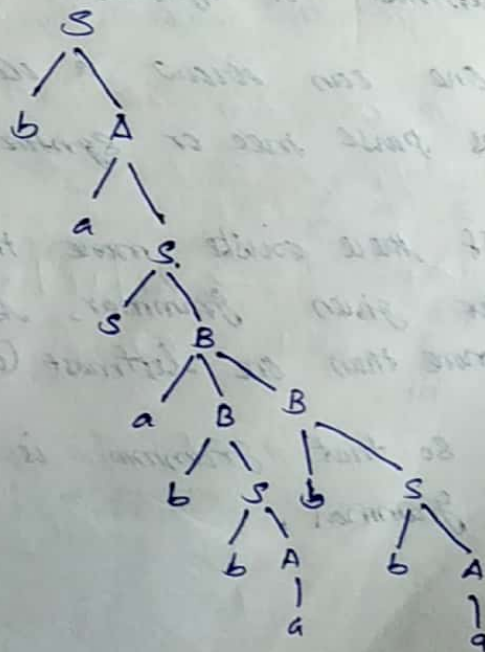
$baaaBbbA$

$baaaBbba$

$baaabSbba$

$baaabbaA bba$

$baaabbaabba$





ex: 2 (74)

Derive the string 1000111 for leftmost and rightmost derivations using CFG.

$G = (V, T, P, S)$  where.

$V = \{S, T\}$

$T = \{0, 1\}$

$P = \{ S \rightarrow T00T$

$T \rightarrow 0T \mid 1T \mid \epsilon \}$

leftmost

S  
T00T       $S \rightarrow T00T$   
1T00T       $T \rightarrow 1T$   
10T00T       $T \rightarrow 0T$   
10ε00T       $T \rightarrow \epsilon$   
1000T  
10001T       $T \rightarrow 1T$   
~~10001T~~       $T \rightarrow 1T$   
100011T       $T \rightarrow 1T$   
1000111T       $T \rightarrow 1T$   
1000111ε       $T \rightarrow \epsilon$   
1000111

Right

S  
T00T  
T001T       $T \rightarrow 1T$   
T0011T       $T \rightarrow 1T$   
T00111T       $T \rightarrow 1T$   
T00111ε       $T \rightarrow \epsilon$   
T00111  
1T00111       $T \rightarrow 1T$   
10T00111       $T \rightarrow 0T$   
10ε00111       $T \rightarrow \epsilon$   
1000111

### Ambiguity

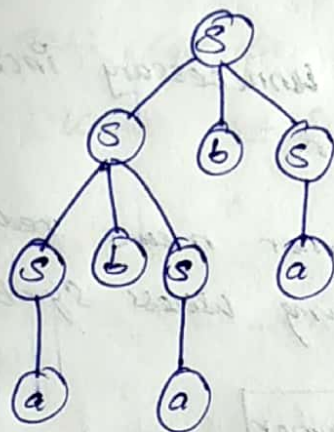
- The grammar can be derived in either leftmost or rightmost derivation.
- one can draw a derivation tree called as parse tree or syntax tree.
- If there exists more than one parse trees for given grammar, that means there could be more than one leftmost (or) rightmost possible.
- So that grammar is said to be ambiguous grammar.

① If  $G$  is the grammar  $S \rightarrow Sbs \mid a$ , show that  $G$  is ambiguous. (75)

Let  $w = ababa$  be the string such that  $w \in G$  & production rule.

$$S \rightarrow Sbs$$

$$S \rightarrow a$$



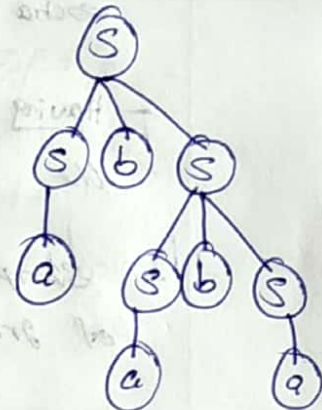
LM



ababa



RM



② The CFG given by  $G = (V, T, P, S)$  where

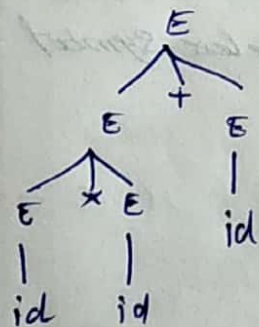
$$V = \{E\}$$

$$T = \{id\}$$

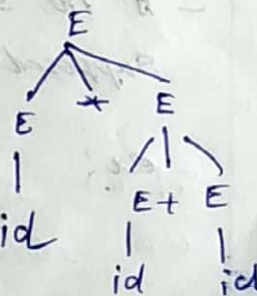
$$P = \{E \rightarrow E+E, E \rightarrow E * E, E \rightarrow id\}$$

$$S = \{E\}$$

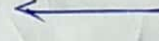
Is the grammar ambiguous?  $G = id * id + id$



or



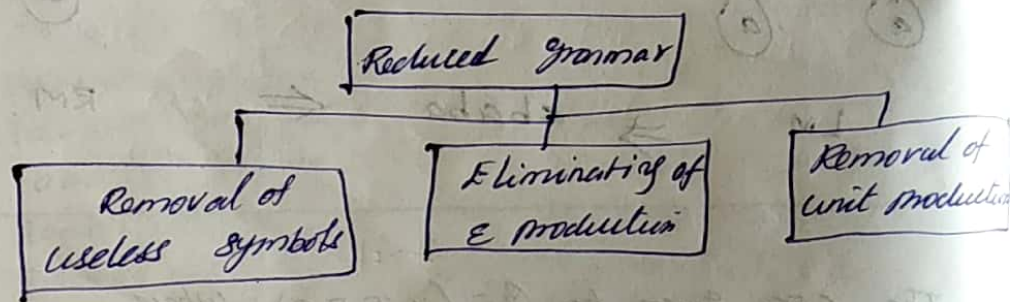
$id * id + id$





## ⑦ Simplification of CFG

- All languages can effectively be represented by CFG.
- All the grammars are not always optimal.
- That means grammar may consist of some extra symbols (non-terminals).
- Having extra symbol unnecessarily increases the length of grammar.
- Simplification of grammar means reduction of grammar by removing useless symbols.



### Removal of useless symbols

- Any symbol is useful when it appears on the right hand side in the production rule & generates some terminal string.
- If no such derivation exists then it is supposed to be an useless symbol.

ex:  $S \rightarrow OT \mid IT \mid x \mid o$

$IT \rightarrow oo$

$S \rightarrow OT$

$oooi + oioi + oioi$

$S \rightarrow IT$

$100$

(77)

if  $S \rightarrow x$  then there is no further rule as a definition to  $x$ .

- Hence we can declare that  $x$  is a useless.

$$P = \{ S \rightarrow 0T \mid 1T \mid 01 \}$$
$$T \rightarrow 00$$

① ex: Consider the CFG  $G = \{V, T, P, S\}$

Where  $V = \{S, A, B\}$   $T = \{0, 1\}$

$$P = \{ S \rightarrow A \mid B \mid 11A$$

$$S \rightarrow 1B \mid 11$$

$$A \rightarrow 0$$

$$B \rightarrow BB$$

For removing useless symbol.

$$S \rightarrow 11A$$

$$110 \quad A \rightarrow 0$$

$$S \rightarrow A \mid B \mid 11A$$

$$S \rightarrow 1B \mid 11$$

$$A \rightarrow 0$$

$$B \rightarrow BB$$

→ Here  $B$  does not give any terminal string.

$B$  is no significant string.

- Hence we can declare  $B$  as useless symbol.

② Consider the following CFG,

$$G = (V, T, R, S)$$

Where  $V = \{S, x, y\}$

$$T = \{0, 1\}$$

$$R = \{ S \rightarrow xy \mid 0, x \rightarrow 1 \}$$

Remove the useless symbol from it.

$$S \rightarrow xy$$

$$S \rightarrow 0$$

$$x \rightarrow 1$$



78

$x \rightarrow 11101111101$

post

$$S \rightarrow aS \text{ and } S \rightarrow \epsilon \rightarrow S \rightarrow \emptyset$$

$$1s \text{ and } s \rightarrow e \rightarrow s \rightarrow 1$$

Here we can rewrite the rule as

$$A \rightarrow OB, \quad |, B,$$

$$B \rightarrow OB \mid B \mid \varepsilon$$

Solution: Now the  $\epsilon$  production is  $B \rightarrow \epsilon$ .  
will delete this production.

$$A \rightarrow OB1$$

$$\Rightarrow A \rightarrow E$$

$$A \rightarrow 1B1$$

$$\parallel \Rightarrow B \rightarrow \epsilon$$

$$A \rightarrow 0B1 \mid 1B1 \mid 01 \mid 11$$

$$B \rightarrow 0B$$

$$B \rightarrow 0 \Leftrightarrow B = \epsilon$$

$$B \rightarrow 1B$$

$$B \rightarrow 1 \Leftrightarrow B = \epsilon$$

$$B \rightarrow 0B \mid 1B \mid 01 \mid 11$$

collectively, we can write

$$A \rightarrow 0B1 \mid 1B1 \mid 01 \mid 11$$

$$B \rightarrow 0B \mid 1B \mid 01 \mid 11$$

② For the CFG given below remove the  $\epsilon$  production

$$S \rightarrow aSa$$

$$S \rightarrow bSb$$

$$S \rightarrow \epsilon$$

Sol :

$$S \rightarrow aSa$$

$$\rightarrow aa \text{ if } \Rightarrow S \rightarrow \epsilon$$

$$S \rightarrow bSb$$

$$\rightarrow bb \text{ if } \Rightarrow S \rightarrow \epsilon$$

Thus Finally the rules are

$$S \rightarrow aSa \mid bSb \mid aa \mid bb$$



## 8 (80) Removing Unit Productions

- The unit productions are the productions which one non-terminal gives another non-terminal.

ex:

$$X \rightarrow Y$$

$$Y \rightarrow Z$$

$$Z \rightarrow X$$

$$\text{if } A \xrightarrow{*} B$$

$$B \rightarrow X_1, X_2, X_3, \dots, X_n$$

$$A \rightarrow X_1, X_2, X_3, \dots, X_n$$

① if the CFG is as below

$$S \rightarrow 0A \mid 1B \mid \textcircled{C}$$

$$A \rightarrow 0S \mid 00$$

$$B \rightarrow 1 \mid \textcircled{A}$$

$$C \rightarrow 01$$

then remove the unit productions

solution:

Step 1:  $S \rightarrow C$  is a unit production.

$$S \rightarrow C$$

$$01 \quad \therefore C = 01$$

$$S \rightarrow 0A \mid 1B \mid 01$$

Step 2:

$B \rightarrow A$  is also a unit production

$$B \rightarrow A$$

$$\rightarrow 0S \mid 00$$

$$A = 0S \mid 00$$

(81)

$$B \rightarrow 1|0s|00$$

Finally we can write CFG without unit production as

$$S \rightarrow 0A|1B|01$$

$$A \rightarrow 0s|00$$

$$B \rightarrow 1|0s|00$$

$$C \rightarrow 01$$

### Normal Forms

- The grammar can be simplified by reducing the  $\epsilon$  production removing, removing useless symbols, and unit production.
- There is also a need to have grammar in some specific form.
- In CFG at the right hand of the production there are only no of terminal (or) non term. symbol in any combination.
- So need the grammar in some specific format.
- There should be fixed no of terminal and non terminals.
- Here two important normal forms.

→ Chomsky's Normal Form

→ Greibach Normal Form



(82)  
Chomsky's Normal Form (CNF)

Non terminal  $\rightarrow$  Non terminal. Non terminal

Non terminal  $\rightarrow$  terminal

The given CFG should be converted in the above format.

- Before converting the grammar into CNF it should be in reduced form.

$\rightarrow$  That means remove all the useless symbols,  $\epsilon$  production and unit production.

① Context free Grammar

$S \rightarrow ABA \mid BaA \mid A$

$A \rightarrow Ba \mid S \mid \epsilon$

$B \rightarrow Ba \mid b \mid Ca$

$C \rightarrow Ca$

$D \rightarrow DaD \mid a$

$A \rightarrow BA$

$A \rightarrow a$

convert to CNF

Preliminary steps

1) Elimination of useless symbols

2) Elimination of  $\epsilon$  production

3) Elimination of unit production.

Step 1:

Elimination of useless symbols  
which can't derive any set of symbols

non generating      not reachable

non generating symbol

$S \rightarrow A \rightarrow Ba \rightarrow ba$  ✓  
 $A \rightarrow Ba \rightarrow ba$  ✓  
 $B \rightarrow b$  ✓  
 $D \rightarrow a$  ✓

(83)  
 If any symbol can generate a terminal symbol ✓  
 ✓

$S \rightarrow ABA \mid BAA \mid A$   
 $A \rightarrow Ba \mid S \mid \epsilon$   
 $B \rightarrow Ba \mid b$   
 $D \rightarrow DaB \mid a$

not reachable  $\rightarrow$  that can't be reached starting state

$S \rightarrow ABA \mid BAA \mid A$

$S \rightarrow A, B$

$A \rightarrow B, S$

$B \rightarrow B$

Here  $D \rightarrow$  is not given starting symbol so it called as not reachable symbol. So it can be eliminated from CFG

$S \rightarrow ABA \mid BAA \mid A$

$A \rightarrow Ba \mid S \mid \epsilon$

$B \rightarrow Ba \mid b$

Step: 2

eliminating

$\epsilon$  production

$A \rightarrow \epsilon$

to eliminate  $\epsilon$

$S \rightarrow ABA \rightarrow \mid BA \mid AB \mid B$

↓  
 $\epsilon$

$S \rightarrow BAA \mid Ba$

$S \rightarrow A \rightarrow \epsilon$

~~$S \rightarrow ABA \mid BAA \mid A$~~

$S \rightarrow ABA \mid BA \mid AB \mid B \mid BAA \mid Ba \mid A \mid \epsilon$

$A \rightarrow Ba \mid B$

$B \rightarrow Ba \mid b$



(84)

$$S \rightarrow \epsilon$$

$$S \rightarrow ABA \mid BA \mid AB \mid B \mid BaA \mid Ba \mid A \mid \epsilon \quad \text{demon.}$$

$$A \rightarrow Ba \mid S \mid \epsilon$$

$$B \rightarrow Ba \mid b$$

Here again  $A \rightarrow \epsilon$   
but we have already  
define  $A \rightarrow \epsilon$  so  
directly remove  $\epsilon$ .



rewrite again

$$S \rightarrow ABA \mid BA \mid AB \mid B \mid BaA \mid Ba \mid A$$

$$A \rightarrow Ba \mid S$$

$$B \rightarrow Ba \mid b$$

Step 3: unit production

$$\text{ex: } S \rightarrow B \quad A \rightarrow S \\ S \rightarrow A$$

Variable then's to Single Variable

$$S \rightarrow ABA \mid BA \mid AB \mid (B) \mid BaA \mid Ba \mid (A)$$

$$A \rightarrow Ba \mid (S)$$

$$B \rightarrow Ba \mid b$$



$$S \rightarrow ABA \mid BA \mid AB \mid \underline{Ba} \mid \underline{Ba} \mid \underline{Ba} \mid \underline{S}$$

$$A \rightarrow \underline{Ba} \mid ABA \mid BA \mid AB \mid \underline{Ba} \mid b \mid BaA$$

$$B \rightarrow Ba \mid b$$

Here  $S \rightarrow S$   
so there is no  
meaning so dir  
eliminate

Now Chomsky normal form

85

$$S \rightarrow ABA \mid BA \mid AB \mid Ba \mid b \mid BaA$$

$$A \rightarrow Ba \mid ABA \mid BA \mid AB \mid b \mid BaA$$

$$B \rightarrow Ba \mid b$$

CNF  
 $A \rightarrow BA$   
 $A \rightarrow a$

$$S \rightarrow \underline{ABA}$$

$$S \rightarrow x_1 A$$

$$x_1 \rightarrow ABA$$

$$S \rightarrow Ba$$

$$x_2 \rightarrow a$$

$$S \rightarrow Bx_2$$

$$S \rightarrow x_1 A \mid BA \mid AB \mid Bx_2 \mid b \mid x_3 A$$

$$S \rightarrow B\tilde{a}A$$

$$S \rightarrow Bx_2 A$$

$$x_3$$

$$x_3 \rightarrow Bx_2$$

$$S \rightarrow x_3 A$$

$$A \rightarrow Bx_2 \mid x_1 A \mid BA \mid AB \mid b \mid x_3 A$$

$$B \rightarrow Bx_2 \mid b$$

② Convert the grammar

$$S \rightarrow AB \mid aB$$

$$A \rightarrow aab \mid \epsilon$$

$$B \rightarrow bBA$$

into CNF

Here Step 1: Eliminate useless symbol not applicable

Step 2: Eliminating  $\epsilon$  production

$$A \rightarrow \epsilon$$

$$S \rightarrow AB \rightarrow B$$

$$S \rightarrow AB \mid B$$

$$S \rightarrow AB \mid B \mid aB$$

$$A \rightarrow aab$$

$$B \rightarrow bBA$$



(86)  
Step 3: Unit production

$S \rightarrow AB \mid \textcircled{B} \mid aB$   
 $A \rightarrow aab$   
 $B \rightarrow bbA$

$\Downarrow$

$S \rightarrow AB \mid bbA \mid aB$   
 $A \rightarrow aab$   
 $B \rightarrow bbA$

Now CNF

$S \rightarrow \overset{x}{A} \overset{x}{B} \mid \overset{x}{b} \overset{x}{b} \overset{x}{A} \mid \overset{x}{a} \overset{x}{B}$   
 $A \rightarrow \overset{x}{a} \overset{x}{a} \overset{x}{b}$   
 $B \rightarrow \overset{x}{b} \overset{x}{b} \overset{x}{A}$

$S \rightarrow AB \mid X_1 A \mid X_2 B$   
 $X_1 \rightarrow bb$   
 $X_2 \rightarrow a$   
 $A \rightarrow X_3 X_3$   
 $X_3 \rightarrow X_2 b$   
 $B \rightarrow X_1 A$

$S \rightarrow \overset{\sim}{A} B \mid X_1 X_2 \mid X_3 B$   
 $X_1 \rightarrow \overset{\sim}{a} b$   
 $X_2 \rightarrow X_1 A$   
 $X_3 \rightarrow a$   
 $A \rightarrow X_4 X_5$   
 $X_4 \rightarrow X_3 X_3$   
 $X_5 \rightarrow b$   
 $B \rightarrow X_1 X_2$

$S \rightarrow \overset{x_1}{bb} A$   
 $S \rightarrow X_1 A$   
 $X_1 \rightarrow \overset{x_1}{bb}$   
 $S \rightarrow a B$   
 $X_2 \rightarrow a$   
 $S \rightarrow X_2 B$

$S \rightarrow bbA$   
 $X_1 \rightarrow b$   
 $\overset{\sim}{X_1} \rightarrow \overset{\sim}{A}$   
 $S \rightarrow X_1 X_1 A$   
 $X_2 \rightarrow X_1 A$   
 $S \rightarrow X_1 X_2$   
 $S \rightarrow aB$   
 $X_3 \rightarrow a$   
 $S \rightarrow X_3 B$

$A \rightarrow aab$   
 $\overset{\sim}{A} \rightarrow \overset{\sim}{a} \overset{\sim}{a} \overset{\sim}{b}$   
 $X_2 \rightarrow a$   
 $A \rightarrow X_2 X_2 b$   
 $X_3 \rightarrow X_2 b$   
 $A \rightarrow X_2 X_3$   
 $A \rightarrow aab$   
 $\overset{\sim}{A} \rightarrow \overset{\sim}{a} \overset{\sim}{a} \overset{\sim}{b}$   
 $\overset{\sim}{X_4} \rightarrow \overset{\sim}{X_3} \overset{\sim}{X_3}$   
 $A \rightarrow X_3 X_3$   
 $X_4 \rightarrow X_3 X_3$   
 $X_5 \rightarrow b$   
 $A \rightarrow X_4 X_5$

$$S \rightarrow AB \mid X_1 X_2 \mid X_3 B$$

$$X_1 \rightarrow b$$

$$X_2 \rightarrow X_1 A$$

$$X_3 \rightarrow a$$

$$A \rightarrow X_4 X_1$$

$$X_4 \rightarrow X_3 X_3$$

$$B \rightarrow X_1 X_2$$

$$S \rightarrow bbA$$

$$X_1 \rightarrow b$$

$$S \rightarrow X_1 X_1 A$$

$$X_2 \rightarrow X_1 A$$

$$S \rightarrow X_1 X_2$$

$$S \rightarrow aB$$

$$X_3 \rightarrow a$$

$$S \rightarrow X_3 B$$

$$A \rightarrow aab$$

$$X_1 \rightarrow a$$

$$A \rightarrow X_1 X_1 X_1$$

$$X_2 \rightarrow X_1 X_1$$

$$A \rightarrow X_2 X_2$$

$$A \rightarrow aab$$

$$X_3 \rightarrow X_1$$

$$X_4 \rightarrow X_3 X_3$$

$$A \rightarrow X_4 X_1$$

### Greibach Normal Form

A CFG is in Greibach Normal Form if the productions are in the following forms:

$NT \rightarrow \epsilon, NT$	$A \rightarrow b$
	$A \rightarrow bC_1 C_2 \dots C_n$

where  $A, C_1, \dots, C_n$  are Non-Terminals and  $b$  is a Terminal.

Steps to convert a given CFG to GNF

Step 1: Check if the given CFG has any unit productions or null productions and remove if there are any.

Step 2: Check whether the CFG is already in Chomsky Normal Form (CNF) and convert it to CNF if it is not.

Step 3: Change the names of the Non-Terminal symbols into some  $A_i$  in ascending order of  $i$ .



Example:

$$S \rightarrow CA | BB$$

$$B \rightarrow b | SB$$

$$C \rightarrow b$$

$$A \rightarrow a$$

Replace

$$S \rightarrow A_1$$

$$C \text{ with } A_2$$

$$A \text{ with } A_3$$

$$B \text{ with } A_4$$

We get:

$$A_1 \rightarrow A_2 A_3 | A_4 A_4$$

$$A_4 \rightarrow b | A_1 A_4$$

$$A_2 \rightarrow b$$

$$A_3 \rightarrow a$$

Step 4:

Alter the rules so that the Non-Terminals are in ascending order. Such that if the production is of the form  $A_i \rightarrow A_j \dots$  then  $P < j$  and should never be  $P \geq j$ .

Check whether the Condition  $P < j$

$$A_1 < A_2 \rightarrow A_1 \rightarrow A_2 A_3 | A_4 A_4$$

$$A_1 < A_3 \rightarrow$$

$$A_1 < A_4 \rightarrow$$

$$A_4 \rightarrow b | A_1 A_4$$

$$A_4 < A_1 \rightarrow$$

$$A_4 < A_2 \rightarrow$$

$$A_4 \rightarrow b | A_2 A_3 A_4 | A_4 A_4 A_4$$

$$A_4 \rightarrow b | b A_3 A_4 | A_4 A_4 A_4$$

$$A_4 \rightarrow b \rightarrow$$

$$A_4 \rightarrow b A_3 A_3 \rightarrow$$

$$A_4 \rightarrow A_4 A_4 A_4 \rightarrow$$

$$A_2 \rightarrow b$$

$$A_3 \rightarrow a$$

Left Recursion

## Step 5: Remove Left Recursion

(89)

→ Introduce a new variable to remove the left recursion.

$$A_4 \rightarrow b | b A_3 A_4 | A_4 A_4 A_4$$

$$Z \rightarrow A_4 A_4 Z | A_4 A_4$$

$$A_4 \rightarrow b | b A_2 A_4 | b Z | b A_3 A_4 Z$$

Now the grammar is

$$\rightarrow A_1 \rightarrow \underline{A_2} A_3 | A_4 A_4$$

Here not

$$A \rightarrow E.NT$$

Now check grammar  
not top to bottom

$$A_4 \rightarrow b | b A_3 A_4 | b Z | b A_3 A_4 Z$$

$$Z \rightarrow A_4 A_4 Z | A_4 A_4$$

$$A_2 \rightarrow b$$

$$A_3 \rightarrow a$$

$$A_1 \rightarrow b A_3 | b A_4 | b A_3 A_4 A_4 | b Z A_4 | b A_3 A_4 Z A_4$$

$$A_4 \rightarrow b | b A_3 A_4 | b Z | b A_3 A_4 Z$$

$$Z \rightarrow b A_4 | b A_3 A_4 A_4 | b Z A_4 | b A_3 A_4 Z A_4 |$$

$$b A_4 Z | b A_3 A_4 A_4 Z | b Z A_4 Z | b A_3 A_4 Z A_4 Z$$

$$A_2 \rightarrow b$$

$$A_3 \rightarrow a$$

② Convert the given CFG to GNF

$$S \rightarrow CA$$

$$A \rightarrow a$$

$$C \rightarrow aB | b$$

Here  $A$  &  $C$  is GNF but  $S$  is not GNF



(40)

$$S \rightarrow CA$$

$$S \rightarrow aBA|bA$$

we can write equivalent GNF as

$$S \rightarrow aBA|bA$$

$$A \rightarrow a$$

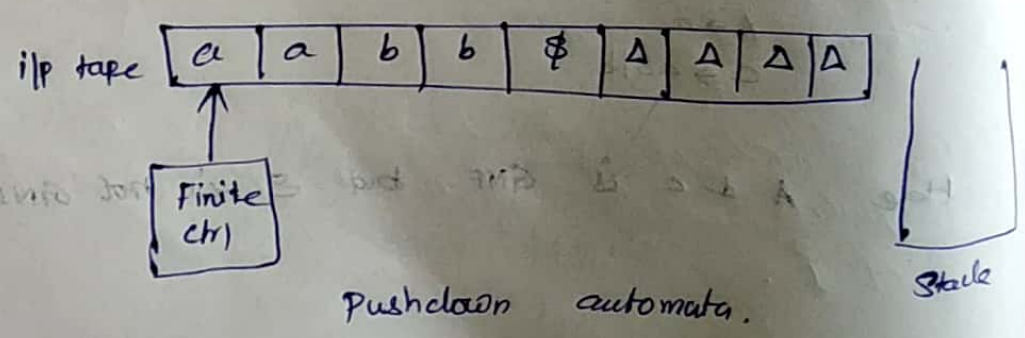
$$C \rightarrow ab|b$$

### UNIT-3

#### Pushdown Automata

#### Pushdown Automata

- The pushdown automata will have input tape, Finite control and stack.
- The input tape is divided in many cells. In each cell only one input symbol is placed. Thus certain input string is placed on tape.
- The Finite control has some pointers which point the current symbol which is to be read.
- At the end of input \$ or \$\Delta\$ (blank) symbol is placed which indicates end of input.



- (91)
- The Pushdown automata are using the Stack for storing the items temporarily. Inserting the symbol onto the stack is called push operation.
  - removing a symbol from stack is called pop operation.

### PDA Components

1. The Finite set of states  $Q$ .
2. The input set  $\Sigma$ .
3.  $\Gamma$  is a stack alphabet
4.  $q_0$  is initial state,  $q_0 \in Q$ .
5.  ~~$q_0$  is initial state,  $q_0 \in Q$ .~~
6.  $z_0$  is a start symbol which is in  $\Gamma$ .
7. Set of Final states  $F \subseteq Q$ .
8.  $\delta$  is mapping function used for moving from current state to next state.

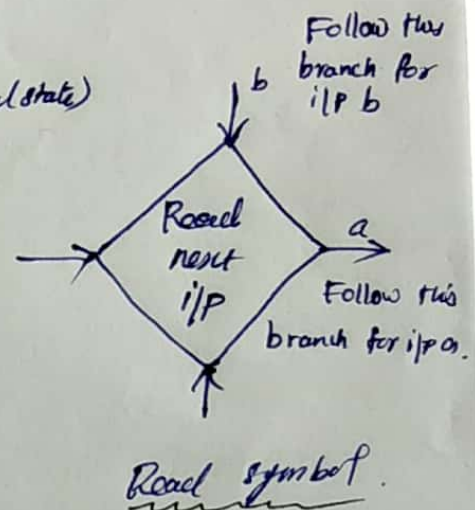
(Start) Start symbol

(Accept) Accept state  
(which is always is final state)

(Reject) Reject state

↓  
connecting edge

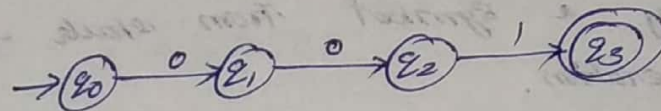
Symbol used in PDA



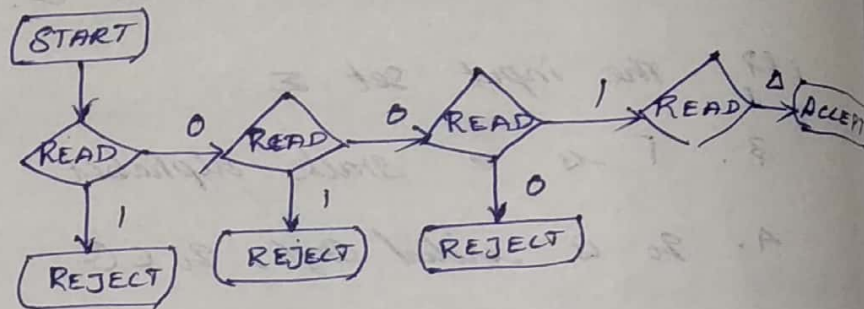


(92)  
① Design PDA for the language  $L = \{001\}$

The simple FA for this language is



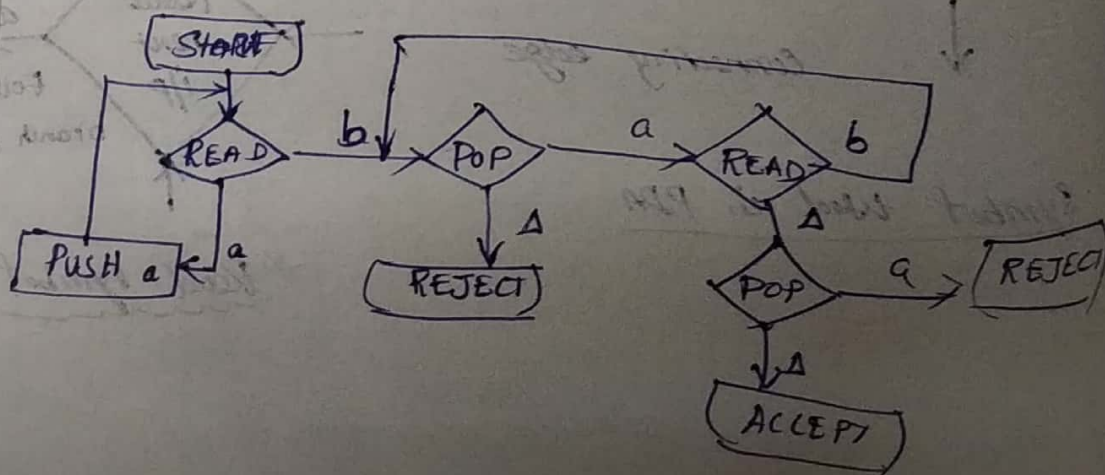
Where  $q_0$  is a start state &  $q_3$  is a accept state.  
We can draw an equivalent PDA as



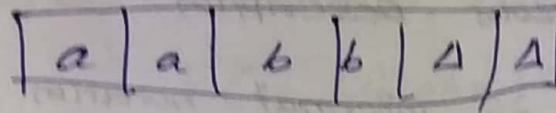
② Design a PDA for the language  $L = \{a^n b^n\}$   
Where  $n \geq 1$

Here we can't draw Finite automata for this problem because here the condition is that when many no of a's are occurring there many no's b's.

if  $n=5$  then string will be  $a a a a a b b b b b$   
So here no memory in FA



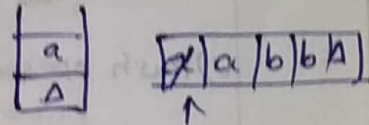
Input tape for  $a^n b^n$  now  $n=2$



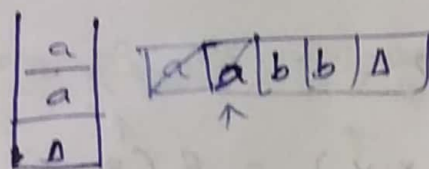
Step 1: We will start with start symbol

Step 2: We will reach to a read state, we can read first a and push it onto the stack

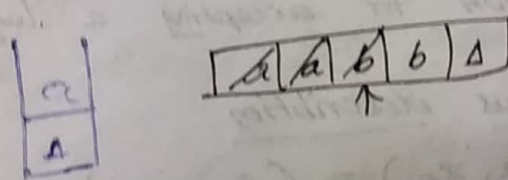
The stack initially contains  $\Delta$  that means it is empty.



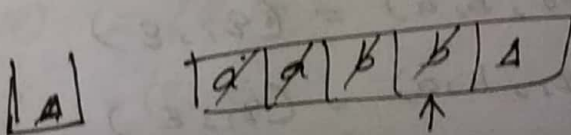
Step 3: Read next symbol from I/p tape.



Step 3: Read b from the tape and pop from the stack a.



After reading second b we pop one more a



both tape and stack are empty.



## Instantaneous Description

- It is an informal notation
- How PDA computes a input string and make a decision that string is accepted or not
- there is an effective use of stack as a memory.

### (i) Push operation

$$\delta(q_0, x, z_0) = \delta(q_1, x, z_0)$$

read I/P on the input tape  
change state from  $q_0$  to  $q_1$

### (ii) Pop operation

$$\delta(q_0, x, y) = \delta(q_1, \epsilon)$$

change I/P on tape  
pop the stack  
change state from  $q_0$  to  $q_1$

- ① Design a PDA for accepting a language  $\{L = a^n b^n / n \geq 1\}$

### Instantaneous description

$$\delta(q_0, a, z_0) = (q_0, a z_0)$$

$$\delta(q_0, a, a) = (q_0, a a)$$

$$\delta(q_0, b, a) = (q_1, \epsilon)$$

$$\delta(q_1, b, a) = (q_1, \epsilon)$$

$$\delta(q_1, \epsilon, z_0) = (q_2, \epsilon)$$

Where  $q_0$  is a start state and  $q_2$  is accept state.

PDA

(95)

$(q_0, aabb, z_0) \vdash (q_0, abb, az_0)$

$\vdash (q_0, bb, aaz_0)$

$\vdash (q_1, b, aaz_0)$

$\vdash (q_1, \epsilon, z_0)$

$\vdash (q_2, \epsilon)$

Accept state.

② Construct PDA for the language  $L = \{a^n b^{2n} \mid n \geq 1\}$

- Here number of a's followed by  $2n$  number of b's.
- If we read single 'a' we will push two a's onto the stack.
- If we read 'b' then for every single 'b' only one 'a' should get popped from the stack.

$\delta(q_0, a, z_0) = (q_0, aaz_0)$

$\delta(q_0, a, a) = (q_0, aaa)$

$\delta(q_0, b, a) = (q_1, \epsilon)$

$\delta(q_1, b, a) = (q_1, \epsilon)$

$\delta(q_1, \epsilon, z_0) = (q_2, \epsilon)$

Let us simulate this PDA for some input string "aaabbbbbbb"

$\delta(q_0, aaabbbbbbb, z_0) \vdash (q_0, aabbbbbbb, aaz_0)$

$\vdash (q_0, abbbbbbb, aaaaaz_0)$

$\vdash (q_0, bbbbbbb, aaaaaaz_0)$



(96)

$\vdash (q_1, bbbbb, aaaaaa z_0)$

$\vdash (q_1, bbbb, aaaa z_0)$

$\vdash (q_1, bbb, aaa z_0)$

$\vdash (q_1, bb, aa z_0)$

$\vdash (q_1, b^*, a z_0)$

$\vdash (q_1, \epsilon, z_0)$

$\vdash (q_2, \epsilon)$

Final state (or) Accept state.

③ Construct the PDA for  $L = \{ww^R \mid w \text{ is in } (a+b)^+\}$

It is like a Palindrom why mean  $ww^R$   
 $R$  is a Reverse process

$\rightarrow ww \leftarrow R$

Palindrom

NOON

- ④

MADAM

- ⑤

123321

- ⑥

abba

- ④

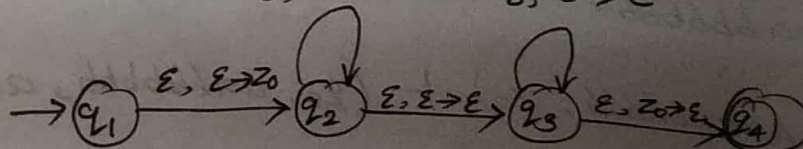
Even Palindrom

+ closure - It may not contain empty symbol  
 have a one Symbol

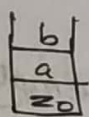
\* closure - It may contain empty symbol.

$a, \epsilon \rightarrow a$   
 $b, \epsilon \rightarrow b$

$a, a \rightarrow \epsilon$   
 $b, b \rightarrow \epsilon$



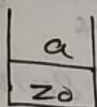
a b | b a



Push

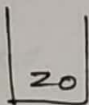
(97)

a b | b a



Pop

a b | b a



$z_0 \rightarrow \epsilon$



→ stack is empty

so It Accepted

$\delta(q_1, abba, z_0) \vdash \delta(q_2, abba, az_0)$

$\vdash \delta(q_2, bba, baz_0)$

$\vdash \delta(q_3, ba, baz_0)$

$\vdash \delta(q_3, a, az_0)$

$\vdash \delta(q_3, \epsilon, z_0)$

$\vdash \delta(q_4, \epsilon, z_0)$

ACCEPT.

Languages of PDA

1. Acceptance by Final State

2. Acceptance by empty Stack.

1. Acceptance by Final State.

The PDA accepts its input by consuming it and then it enters in the Final State.



2. Acceptance by empty stack

On reading the input string from initial configuration for some PDA, the stack of PDA gets empty.

④ Design PDA to accept  $\{0^n 1^n \mid n > 1\}$ . Draw the transition diagram for the PDA. Show by instantaneous description that the PDA accepts the string 0011.

- Push all 0's onto the stack
- Then on reading every single 1, each 0 is popped
- Thus we read all 1's and pop all 0's one by one.
- When the stack is empty then the string is said to be accepted.

$$\delta(q_0, 0, z_0) = (q_0, 0z_0)$$

$$\delta(q_0, 0, 0) = (q_0, 00)$$

$$\delta(q_0, 1, 0) = (q_1, \epsilon)$$

$$\delta(q_1, 1, 0) = (q_1, \epsilon)$$

$$\delta(q_1, \epsilon, z_0) = (q_2, \epsilon)$$

Simulation of the string 0011

$$(q_0, 0011, z_0) \vdash (q_0, 011, 0z_0)$$

$$\vdash (q_0, 11, 00z_0)$$

$$\vdash (q_1, 1, 0z_0)$$

$$\vdash (q_1, \epsilon, z_0)$$

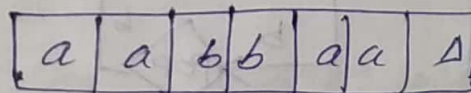
$$\vdash (q_2, \epsilon) \text{ ACCEPT}$$

# Non Deterministic Pushdown Automata (NPDA) <sup>79</sup>

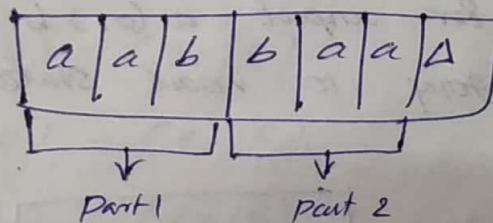
- (i) NPDA is very much similar to NFA
- (ii) The CFG which accepts deterministic PDA accepted non deterministic PDA's as well.
- (iii) There are some CFG's which can be accepted only by NPDA and not by DPDA.
- (iv) Thus NPDA is more powerful than DPDA.

① Construct a NPDA for the language  $L$  containing all the strings which are palindromes over  $\Sigma = \{a, b\}$ .

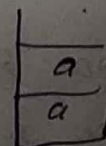
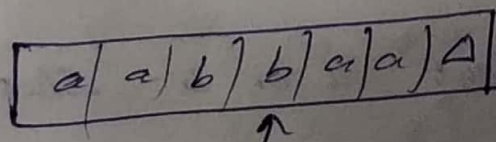
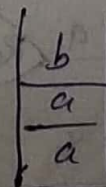
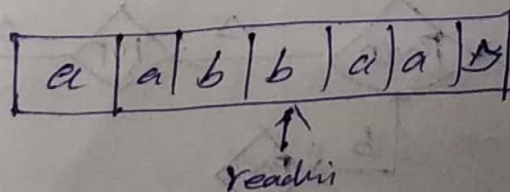
if the string is



Now the list can be divided in two halves

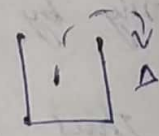
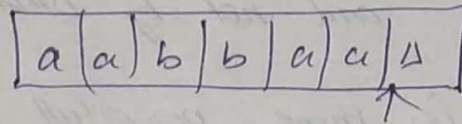
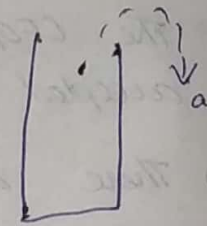
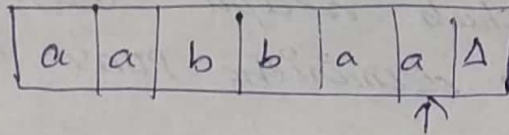
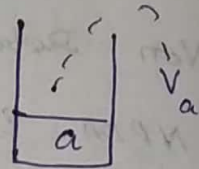
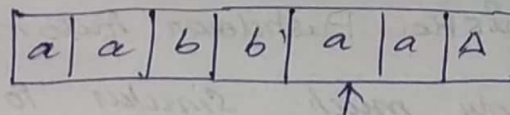


We will push all the elements of part 1 onto the stack

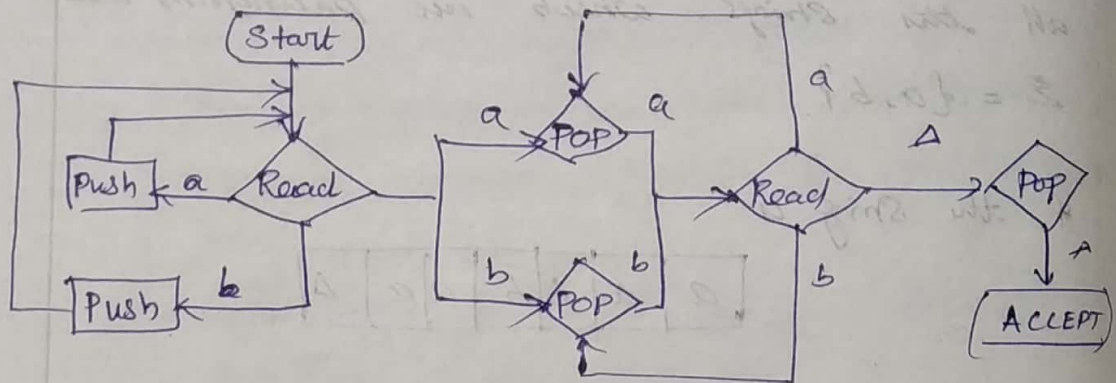




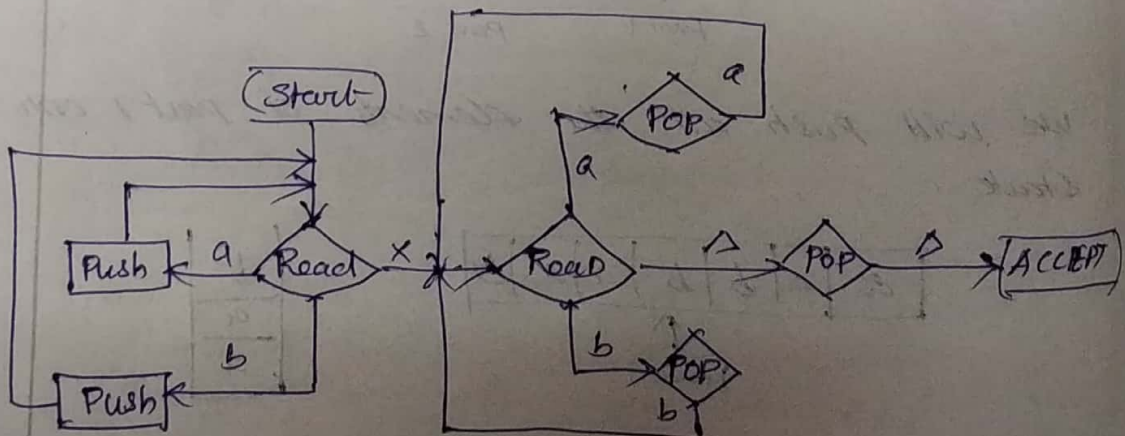
(100)



NPDA



The NPDA for input a (or) b there are multiple paths for going to next state.



The DPDA for input a or b there are multiple paths for going to next state.

## Deterministic Pushdown Automata

101

DPDA is transit to next state one input and one path only at a time.

DPDA can be defined as a collection of

$$P = (Q, \Sigma, \Gamma, \delta, q_0, z_0, F)$$

$Q$  is a finite set of states

$\Sigma$  is a finite set of input

$\Gamma$  is a finite set of stack symbols

$\delta$  is a mapping function

$q_0$  is initial state

$z_0$  is a initial symbol in stack

$F$  is a finite set of final states.

① Design DPDA for  $L = a^n b^n$  where  $n \geq 1$

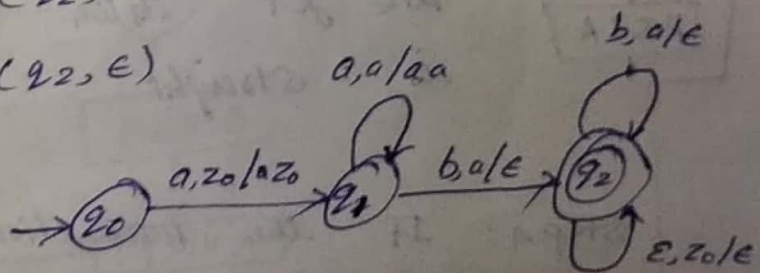
$$\delta(q_0, a, z_0) = (q_1, az_0)$$

$$\delta(q_1, b, a) = (q_2, \epsilon)$$

$$\delta(q_2, b, a) = (q_2, \epsilon)$$

$$\delta(q_2, \epsilon, z_0) = (q_2, \epsilon)$$

Simulation



$$\delta(q_0, aabb, z_0) \vdash (q_1, abb, az_0)$$

$$\vdash (q_1, bb, az_0)$$

$$\vdash (q_2, b, az_0)$$

$$\vdash (q_2, \epsilon, z_0)$$

$$\vdash (q_2, \epsilon)$$



## (102) Equivalence of Pushdown Automata and CFL

→ Construction of PDA from CFG

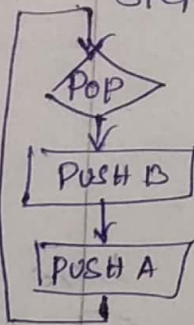
→ Construction of CFG from PDA

### Construction of PDA from CFG

Step 1: Convert the given CFG to Chomsky normal form.

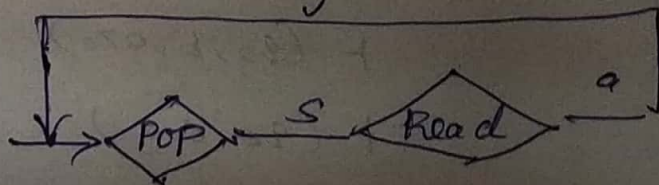
Step 2: The PDA should start by pushing start symbol onto the stack. To derive further production rules for the start symbol we immediately perform the POP operation.

Step 3: If the production is of the form  $S \rightarrow AB$ , we push A and B onto the stack in reverse order. We get after popping the reverse order is straight.



Step 4: If the production is of the form  $S \rightarrow a$  we design as

This means replacing a nonterminal S by a.



Step 5: Finally when the complete <sup>103</sup> i/p is read from the tape, we encounter with  $\Delta$ .

Popping  $\Delta$  we get ensured with the fact that stack is also empty.

1) Construct PDA for following grammar

$S \rightarrow AB$   
 $A \rightarrow CD$   
 $B \rightarrow b$   
 $C \rightarrow a$   
 $D \rightarrow a$

NT, T or T

ex: CNF  $\rightarrow$  AB  
a  
b

Step 1: The grammar already chomsky's normal form

Step 2: (i)  $S \rightarrow AB$

$S \rightarrow AB$   
 $\swarrow \searrow$   
 $CD \ b$   
 $| \ | \ |$   
 $a \ a \ b$

(Start)

PUSH S

POP

PUSH B

PUSH A

(ii)  $A \rightarrow CD$

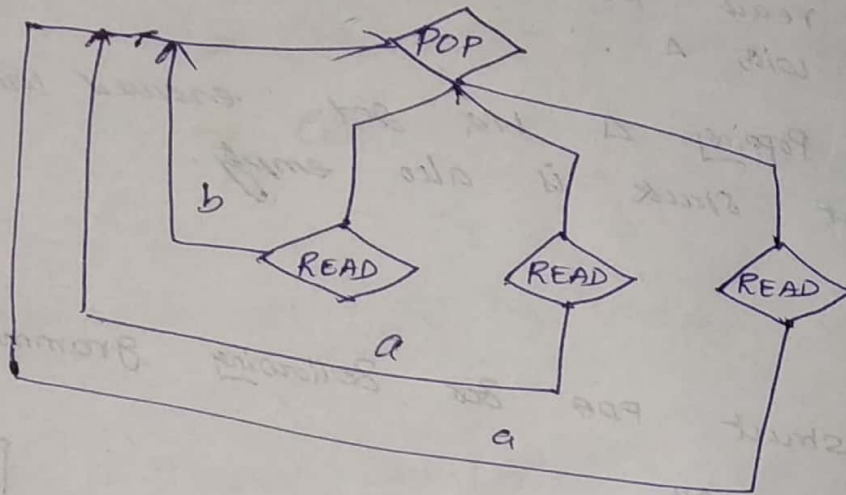
POP

PUSH D

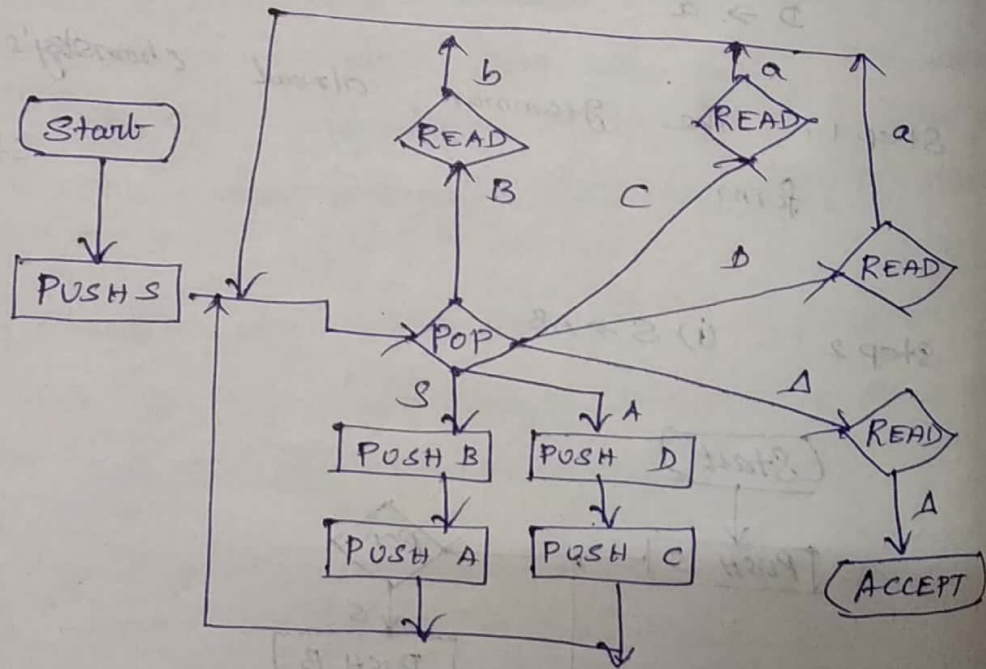
PUSH C



(iii)  $B \rightarrow b, C \rightarrow a, D \rightarrow a$



Finally We could draw the complete PDA as



$$\delta(q_0, \epsilon, z_0) = (q, s z_0)$$

Where  $W$  is some

$$\delta(q, W, S) = (q, AB)$$

$$\delta(q, W, A) = (q, CD)$$

$$\delta(q, b, B) = (q, \epsilon)$$

$$\delta(q, a, C) = (q, \epsilon)$$

$$\delta(q, a, D) = (q, \epsilon)$$

We Will now simulate the string

(105)

"aab"

$$\delta(q, \epsilon, z_0) = (q, \epsilon z_0)$$

$$\delta(q, aab, S) \vdash (q, aab, AB)$$

$$\vdash (q, \underline{a}ab, \underline{C}DB)$$

$$\vdash (q, \underline{a}b, \underline{D}B)$$

$$\vdash (q, \underline{b}, \underline{B})$$

$$\vdash (q, \epsilon)$$

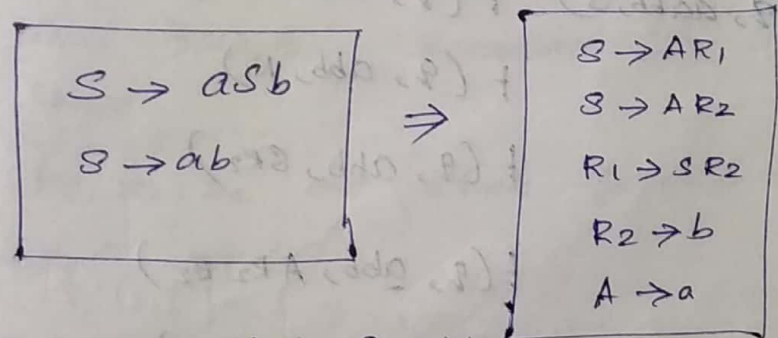
ACCEPTED

② Construct PDA for the given CFG

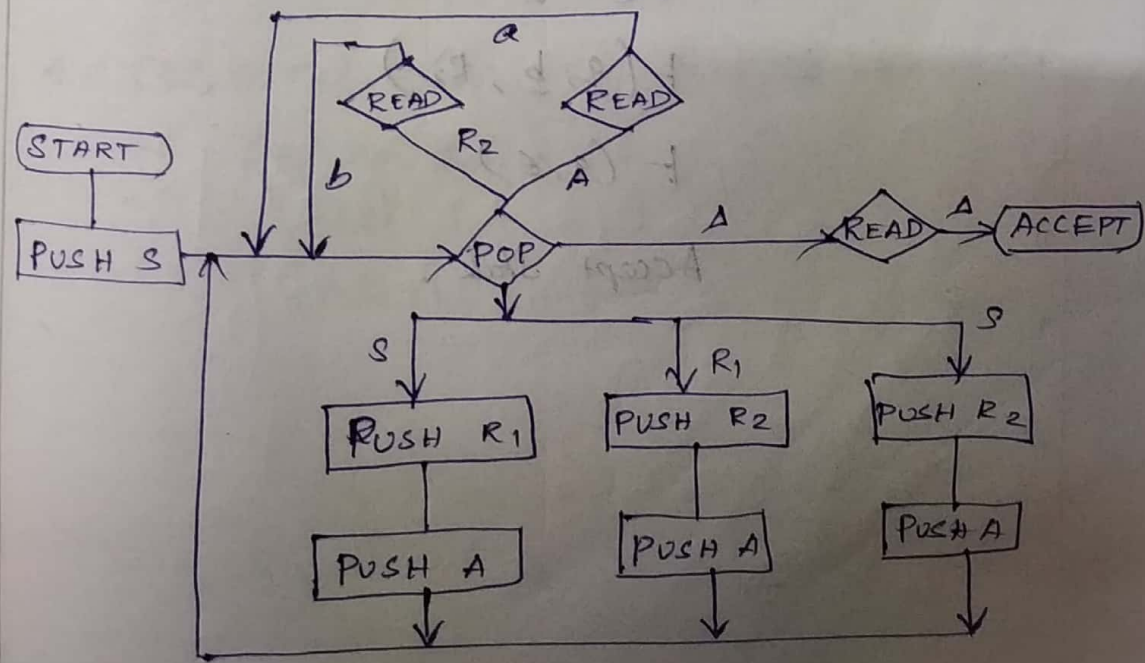
$$S \rightarrow aSb$$

$$S \rightarrow ab$$

Step 1: Convert this CFG to CNF.



The PDA can be graphically represented as





(106)

The Graphical PDA is

$$\delta(q, \epsilon, z_0) = (q, sz_0)$$

Now place string  $w$  on input tape

$$\delta(q, w, s) = (q, AR_1)$$

$$\delta(q, w, s) = (q, AR_2)$$

$$\delta(q, w, R_1) = (q, SR_2)$$

$$\delta(q, b, R_2) = \delta(q, \epsilon)$$

$$\delta(q, a, A) = \delta(q, \epsilon)$$

Consider now input "aabb" for simulation

$$(q, \epsilon, z_0) \vdash (q, sz_0)$$

$$(q, aabb, s) \vdash (q, aabb, AR_1)$$

$$\vdash (q, abb, R_1)$$

$$\vdash (q, abb, SR_2)$$

$$\vdash (q, \underline{a}bb, AR_2 R_2)$$

$$\vdash (q, \underline{b}b, R_2 R_2)$$

$$\vdash (q, \underline{b}, R_2)$$

$$\vdash (q, \epsilon)$$

Accept Stack.

(107)

Construct of CFG to PDA

$P = (Q, \Sigma, F, \delta, q_0, z_0, q_n)$  is a PDA there exists CFG  $G$  which is accepted by PDA  $P$ .

$$G = \{V, T, P, S\}$$

Algorithm

1. If  $q_0$  is start state in PDA and  $q_n$  is final state of PDA then  $[q_0 z_0 q_n]$  becomes start state of CFG.

2. The production rule for the ID of the form  $\delta(q_i, a, z_0) = (q_{i+1}, z_1, z_2)$  can be obtained as

$$S(q_i z_0 q_{i+k}) \rightarrow a(q_{i+1} z_1 q_m) (q_m z_2 q_{i+k})$$

→ Where  $q_{i+k}, q_m$  represents the intermediate states

→  $z_0, z_1, z_2$  are stack symbols and  $a$  is input symbol.

3. The production rule for the ID of the form

4.  $\delta(q_i, a, z_0) = (q_{i+1}, \epsilon)$  can be converted as  $(q_i z_0, q_{i+1}) \rightarrow a$



① Obtain CFG for the PDA given as below

$A = (\{q_0, q_1\}, \{0, 1\}, \{A, Z\}, \delta, Z, \{q_1\})$  where  $\delta$  is as given below

$$\delta(q_0, 0, Z) = (q_0, AZ)$$

$$\delta(q_0, 1, A) = (q_0, AA)$$

$$\delta(q_0, 0, A) = (q_1, \epsilon)$$

Solution:

$$1. S \rightarrow [q_0, Z, q_0]$$

$$2. S \rightarrow [q_0, Z, q_1]$$

$$\underline{\delta(q_0, 0, Z) = (q_0, AZ)} \text{ can be converted using rule 2.}$$

$$\delta(q_i, a, z_0) = (q_{i+1}, z_1 z_2) \text{ gives}$$

$$\delta(q_i, z_0, q_{i+k}) \rightarrow a(q_{i+1}, z_1, q_m)(z_m z_2, q_{i+k})$$

Here  $(q_i = q_0, a = 0, z_0 = Z) \Rightarrow (q_{i+1} = q_0, z_1 = A, z_2 = Z)$

$$\delta(q_0, 0, Z) = (q_0, AZ) \text{ is}$$

$$3. (q_0, Z, q_0) \rightarrow 0(q_0, A, Z_0)(q_0, Z, q_0) \mid 0(q_0, A, Z_1)(q_1, Z_1)$$

$$4. (q_0, Z, q_1) \rightarrow 0(q_0, A, Z_0)(q_0, Z, q_1) \mid 0(q_0, A, Z_1)(q_1, Z_1)$$

$$\delta(q_0, 1, A) = (q_0, AA)$$

Here

$$(q_i = q_0, a = 1, z_0 = A) \Rightarrow (q_{i+1} = q_0, z_1 = A, z_2 = A)$$

$$5. (q_0 A q_0) \Rightarrow 1(q_0 A q_0)(q_0 A q_0) \mid 1(q_0 A q_1)(q_1 A q_0)$$

$$6. (q_0 A q_1) \rightarrow 1(q_0 A q_0)(q_0 A q_1) \mid 1(q_0 A q_1)(q_1 A q_1)$$

$$8. (q_0, 0, A) = (q_1, \epsilon) \quad \text{Here Apply rule 3}$$

$$\text{if } \delta(q_i, a, z_0) = (q_{i+1}, \epsilon) \text{ then } (q_i z_0 q_{i+1}) \rightarrow a$$

$$q_i = q_0, a = 0, z_0 = A, q_{i+1} = q_1, \epsilon = \epsilon$$

$$7. (q_0 A q_1) \rightarrow \epsilon$$

To summarize equivalent CFG as

$$S \rightarrow [q_0, z, q_0] \quad S \rightarrow [q_0, z, q_1]$$

$$(q_0 z q_0) \rightarrow 0(q_0 A q_0)(q_0 z q_0) \mid 0(q_0 A q_1)(q_1 z q_0)$$

$$(q_0 z q_1) \rightarrow 0(q_0 A q_0)(q_0 z q_1) \mid 0(q_0 A q_1)(q_1 z q_1)$$

$$(q_0 A q_0) \rightarrow 1(q_0 A q_0)(q_0 A q_0) \mid 1(q_0 A q_1)(q_1 A q_0)$$

$$(q_0 A q_1) \rightarrow 1(q_0 A q_0)(q_0 A q_1) \mid 1(q_0 A q_1)(q_1 A q_1)$$

$$(q_0 A q_1) \rightarrow \epsilon$$



Convert PDA to CFG. PDA is given by

$P = (\{P, q\}, \{0, 1\}, \{x, z\}, \delta, q, z)$ ,  $\delta$  is defined by

$$\delta(P, 1, z) = \{(P, xz)\}$$

$$\delta(P, \epsilon, z) = \{(P, \epsilon)\}$$

$$\delta(P, 1, x) = \{(P, xx)\}$$

$$\delta(q, 1, x) = (q, \epsilon)$$

$$\delta(P, 0, x) = (q, x)$$

$$\delta(q, 0, z) = (P, z)$$

Solution:

For the start state the production rule can be.

$$1) S \rightarrow [P, z, P]$$

$$2) S \rightarrow [P, z, q]$$

$$\delta(P, 1, z) = (P, xz)$$

$$\delta(q_i, a, z_0) = (q_{i+1}, z_1, z_2) \text{ gives}$$

$$\delta(q_i, z_0, z_{i+k}) \rightarrow a(q_{i+1}, z_1, z_m)(z_m, z_2, z_{i+k})$$

$$\boxed{q_i = P, a = 1, z_0 = z, q_{i+1} = P, z_1 = x, z_2 = z}$$

$$2) \delta(P, z, P) \rightarrow 1(P, x, P)(P, z, P) \mid 1(P, x, z)(q, z)$$

$$4) \delta(P, z, q) \rightarrow 1(P, x, P)(P, z, q) \mid 1(P, x, z)(q, z)$$

$$S(P, e, z) = (P, e)$$

(11)

$$S(q_i, a, z_0) = (q_{i+1}, e) \text{ then}$$

$$(q_i, z_0, q_{i+1}) \rightarrow a$$

$$q_i = P, a = e, z_0 = z, q_{i+1} = P, e \rightarrow e$$

$$5) (P, z, P) \rightarrow e$$

$$S(P, 1, x) = (P, x, x)$$

$$q_i = P, a = 1, z_0 = x, q_{i+1} = P, z_1 = x, z_2 = x$$

$$6) (P, x, P) \rightarrow 1 (P, x, P) (P, x, P) \mid 1 (P, x, q) (q, x, P)$$

$$7) (P, x, q) \rightarrow 1 (P, x, P) (P, x, q) \mid 1 (P, x, q) (q, x, q)$$

$$S(q, 1, x) = (q, e)$$

$$S(q_i, a, z_0) = (q_{i+1}, e) \text{ then}$$

$$(q_i, z_0, q_{i+1}) \rightarrow a$$

$$q_i = q, a = 1, z_0 = x, q_{i+1} = q, e \rightarrow e$$

$$8) (q, x, q) \rightarrow 1$$

$$S(P, 0, x) = (q, x)$$

$$q_i = P, a = 0, z_0 = x, q_{i+1} = q, z_1 = x$$

$$9) (P, x, P) \rightarrow 0 (q, x, P)$$

$$10) (P, x, q) \rightarrow 0 (q, x, q)$$

$$S(q, 0, z) = (P, z)$$

$$11) (q, z, P) \rightarrow 0 (P, z, P)$$

$$12) (q, z, q) \rightarrow 0 (P, z, q)$$



(11)

## Pumping Lemma for CFL

- The pumping lemma for regular sets states that every sufficiently long string in a regular set contains a short substring that can be pumped.
- If a long string is given and if we pump any number of substrings in any number of times then we always get a regular set.

(9.1.1) Lemma.

Let  $L$  be any context free language, then there is a constant  $n$ , which depends only upon  $L$ , such that there exist a string  $w \in L$  and  $|w| \geq n$  where  $w = pqrst$  such that

$$1. |qs| \geq 1$$

$$2. |pqs| \leq n$$

$$3. i \geq 0, p q^i r s^i t \text{ is in } L$$

- ① Use Pumping lemma to prove that the following is not CFL

$$L = \{ a^n b^m a^n b^{n+m} \mid m, n \geq 0 \}$$

Solution:

$$L = \{ a^n b^m a^n b^{n+m} \} \text{ can be written as}$$

$$L = \{ a^n b^m a^n b^n b^m \}$$

Case 1:

(113)

$$z = a^n b^m a^n b^n b^m \in L$$

We will map  $z = p r s t$  to given  $z$

$$z = \underbrace{a \dots a}_p \underbrace{b \dots b a \dots a b \dots b}_{r s} \underbrace{b \dots b}_t$$

by pumping lemma,  $z = p r^i s t \in L$

Let  $i=2$  then  $z$  becomes

$$z = p r r s t$$

$$z = a^n b^{m+t} a^{n+u} b^{n+v} b^m \in a^n b^m a^n b^n b^m$$

Hence  $z \notin L$

Case 2: Strings of  $a$ 's only

$$z = \underbrace{a \dots a}_{p r s} \underbrace{b \dots b a \dots a b \dots b}_{t}$$

Pumping lemma  $z = p r^i s t$ . If  $i=2$  then  $z = p r^2 s t$ .

$$z = a^n b^m a^n b^n b^m \neq a^n b^{m+t+u} a^n b^n b^m$$

$$z = a^{n+t+u} b^m a^n b^n b^m \neq a^n b^m a^n b^n b^m$$



case 3: strings of b's only

$$z = \overbrace{a \dots a}^n \overbrace{b \dots b}^m \overbrace{a \dots a}^n \overbrace{b \dots b}^n \overbrace{b \dots b}^m$$

↓  
b

2rst

$z = pq^i r s^i t$ . if  $i=2$  then  $z = pq^2 r s^2 t$

$$z = a^n b^m a^n b^n b^{m+b+0} \notin a^n b^m a^n b^n b^m$$

② Show that  $L = \{a^n b^n c^n \mid n \geq 0\}$  is not a context free language

$$w = a^n b^n c^n$$

$$w = \overbrace{a \dots a}^n \overbrace{b \dots b}^n \overbrace{c \dots c}^n$$

Case 1:

$$w = \overbrace{a \dots a}^n \overbrace{b \dots b}^n \overbrace{c \dots c}^n$$

↓                  ↓                  ↓  
p                  qrs                  t

$w = pq^i r s^i t \in L$  when  $i \geq 0$

if we assume  $i=0$

$$w = \overbrace{a \dots a}^n \overbrace{b \dots b}^n \overbrace{c \dots c}^n$$

↓                  ↓                  ↓  
p                   $q^0 r s^0$                   t

↓  
r

$$w = a^n b^{n-m-k} c^n \notin a^n b^n c^n$$

Hence  $w \notin L$

case 2:

$$w = \overbrace{a \dots a}^n \overbrace{b \dots b}^n \overbrace{c \dots c}^n$$

$\underbrace{\hspace{1.5cm}}_{pqrs} \quad \quad \quad \downarrow$

$$w = p q^i r s^i t \in L \quad \text{if } i=2$$

$$w = \overbrace{a \dots a}^{n+m+k} \overbrace{b \dots b}^n \overbrace{c \dots c}^k$$

$\underbrace{\hspace{1.5cm}}_{pq^2rs^2} \quad \quad \quad t$

$$w = a^{n+m+k} b^n c^k \notin L$$

### Closure Properties of Context Free Languages

1. The context free languages are closed under union
2. The context free languages are closed under concatenation
3. The context free languages are closed under Kleene closure
4. The context free languages are not closed under intersection
5. The context free languages are not closed under complement.

1) Pump a substring so that we are getting a new string and checking new string is present in a language or not.

1. String  $Z = pqrst$
2.  $|Z| \geq p$
3.  $|qs| \geq 1$



$$4. |zrs| \leq n$$

$$5. p \overset{i}{2} r \overset{c}{s} t \notin L$$

$$1) L = \{a^p \mid p \text{ is a prime number}\} \text{ is not CFL}$$

Solution

Prime numbers are 2, 3, 5, 7, 11, 13

$$\text{Take } L = \{a^2, a^3, a^5, a^7, \dots\}$$

$$L = \{aa, aaa, aaaaa, aaaaaaa, \dots\}$$

Apply the rules.

$$1. \text{ Take any string } z = pqrst$$

$$p=a, q=a, r=a, s=a, t=a$$

$$z = aaaaaa$$

Here  $n$  is 5

$$2. |z| \geq n$$

$$|aaaaaa| \geq 5$$

$$5 \geq 5$$

$$3. |zs| \geq 1 \quad q=a, s=a$$

$$|aa| \geq 1$$

$$|2| \geq 1$$

$$4. |zrs| \leq n$$

$$|aaa| \leq n$$

$$3 \leq 5$$

5.  $P^i r s^i t \notin L$

(117)

$a^i a^i a$

$i=2$

$a a^2 a a^2 a$

$\wedge \quad \wedge$   
 $a a a a a a$

$a^i \in L$  it is CFL

$a a^3 a a^3 a$

$i=3$

$\swarrow \quad \searrow$   
 $a a a a a a a$

$a^i \notin L$

Hence proved.

## UNIT-4

### TURING MACHINE

#### Turing Machine

- Alan Turing is father of a model which has computing capability of general purpose computer.

Features.

1. It has external memory which remembers arbitrarily long sequence of input
2. It has unlimited memory capability
3. The model has a facility by which the input at left or right on the tape can be read easily.



- (118)
4. The machine can produce certain output based on its input.

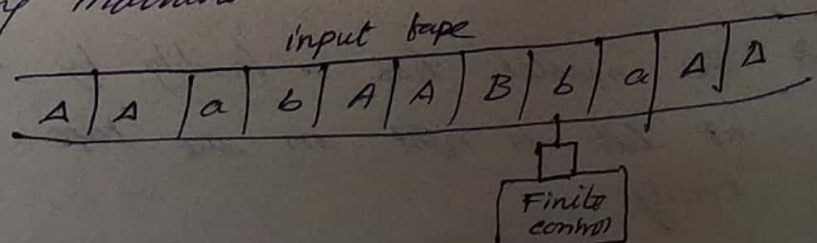
Turing Machine is a collection of components.

$$M = (Q, \Sigma, \Gamma, \delta, q_0, A \text{ or } B, F)$$

1.  $Q$  is a finite set of states
2.  $\Gamma$  is finite set of external symbols
3.  $\Sigma$  is a finite set of input symbols
4.  $A$  or  $b$  or  $B$  or  $\Delta$  blank symbol
5.  $\delta$  is a transition or mapping function
6.  $q_0$  be the initial state.
7.  $F$  is a set of final state.

### Basic Model

1. The i/p tape having infinite number of cells, each cell containing one i/p symbol. The empty tape is filled by blank character.
2. The finite control and the tape head which is responsible for reading the current i/p symbol. The will move left or right.
3. Finite set of symbols called external symbols which are used in building the logic of Turing machine.



## Techniques of Turing Machine Construction (119)

- Turing machine is a process of writing out the complete set of states and next move function.
- This is a totally conceptual phenomenon.
- The Turing machine can be designed with the help of some conceptual tools.

### Storage in Finite Control

The Finite control can be used to hold some amount of information.

The Finite automata stores the information in pairs of elements such as the current state and the current symbol pointed by the tape head.

$$\delta([q_0, 0], 1) \rightarrow ([q_1, 1], A, R)$$

→ initial state  $q_0$  and stores the current symbol  
• if it reads the symbol 1 then the machine goes to next state  $q_1$  replace that 1 by A moves to right.

### Multiple Tracks

If the input tape is divided into multiple tracks.

#	1	1	1	1	1	\$
B	B	B	B	1	1	B
B	1	1	1	B	B	B

↑  
Finite control



- (120)
- The input tape has multiple tracks on the first track the input which is placed is surrounded by # and \$.
  - The unary number equivalent to 5 is placed on the tape on the first track.
  - On the second track unary 2 is placed.
  - If we construct a TM which subtracts 2 from 5 then we get the answer on the third track and that is 3 in unary form.

### checking off symbols

- It is an effective way of recognizing the language by TM.
- The symbols are to be placed on the input tape.
- The symbol which is read is marked by any special character.
- The tape head can be moved to the right or left.

① Construct a Turing machine  $M = (Q, \Sigma, \Gamma, \delta, q_0, B, F)$  which recognizes the language  $L = \{wcw \mid w \in (a+b)^+\}$

- This language the input set is  $\Sigma = \{a, b\}$ .
- The string which when will be placed on the input tape it will have two distinct parts separated by letter c.

a b a c a b a Δ Δ... (12)

a b a c a b a Δ

Read a  
and mark it

\* b a c a b a Δ

\* b a c a b a Δ

\* b a c a b a Δ

\* b a c a b a Δ

It is same as  
marked one

\* b a c \* b a Δ

\* b a c \* b a Δ

\* b a c \* b a Δ

\* b a c \* b a Δ

\* \* a c \* b a Δ

Mark it

\* \* a c \* b a Δ

\* \* a c \* b a Δ

\* \* a c \* b a Δ

\* \* a c \* b a Δ

It is same as  
marked one

\* \* a c \* \* a Δ

\* \* a c \* \* a Δ

\* \* a c \* \* a Δ

\* \* a c \* \* a Δ

\* \* a c \* \* a Δ

\* \* a c \* \* a Δ

\* \* a c \* \* a Δ

\* \* a c \* \* a Δ

Mark it

\* \* \* c \* \* a Δ

\* \* \* c \* \* a Δ

\* \* \* c \* \* a Δ

\* \* \* c \* \* a Δ

\* \* \* c \* \* a Δ

Same as marked  
one

\* \* \* c \* \* a Δ

\* \* \* c \* \* a Δ

\* \* \* c \* \* a Δ

\* \* \* c \* \* a Δ

\* \* \* c \* \* a Δ

Now machine goes accept state



## Subroutine

In the high level languages use of subroutines build the modularity in the program development process.

The same type of concept can be introduced in construction of TM.

Construct a TM for the Subroutine  $f(a,b)=a+b$

Where  $a$  and  $b$  are unary numbers.

The unary numbers are represented by 1's.

$$a=2 \text{ \& } b=3$$

1	1	0	1	1	1	0	B	B
---	---	---	---	---	---	---	---	---

1	1	0	1	1	1	0	B	
---	---	---	---	---	---	---	---	--

Moving to right upto 0

X	1	0	1	1	1	0	B	
---	---	---	---	---	---	---	---	--

X	1	0	1	1	1	0	B	
---	---	---	---	---	---	---	---	--

X	1	0	1	1	1	0	B	
---	---	---	---	---	---	---	---	--

X	1	0	1	1	1	0	B	
---	---	---	---	---	---	---	---	--

X	1	0	1	1	1	0	B	
---	---	---	---	---	---	---	---	--

X	1	0	1	1	1	0	B	
---	---	---	---	---	---	---	---	--

X	1	0	1	1	1	0	B	
---	---	---	---	---	---	---	---	--

X	1	0	1	1	1	0	B	
---	---	---	---	---	---	---	---	--

X	1	0	Y	1	1	0	1	B
---	---	---	---	---	---	---	---	---

X	1	0	Y	1	1	0	1	B
---	---	---	---	---	---	---	---	---

X	1	0	Y	1	1	0	1	B
---	---	---	---	---	---	---	---	---

X	1	0	Y	1	1	0	1	B
---	---	---	---	---	---	---	---	---

X	1	0	Y	1	1	0	1	B
---	---	---	---	---	---	---	---	---

X	1	0	Y	1	1	0	1	B
---	---	---	---	---	---	---	---	---

X	1	0	Y	1	1	0	1	B
---	---	---	---	---	---	---	---	---

X	1	0	Y	1	1	0	1	B
---	---	---	---	---	---	---	---	---

X	1	0	Y	1	1	0	1	B
---	---	---	---	---	---	---	---	---

X	1	0	Y	Y	1	0	1	1	B
---	---	---	---	---	---	---	---	---	---

X	1	0	Y	Y	1	0	1	1	B
---	---	---	---	---	---	---	---	---	---

X	1	0	Y	Y	1	0	1	1	B
---	---	---	---	---	---	---	---	---	---

X	1	0	Y	Y	1	0	1	1	B
---	---	---	---	---	---	---	---	---	---

X	1	0	Y	Y	1	0	1	1	B
---	---	---	---	---	---	---	---	---	---

x 1 0 y y 1 0 1 1 B  
 ↑  
 x 1 0 y y y 0 1 1 1 B

(123)  
 Mark this 1 as y and copy this 1 at the right end

Now move to left upto y

x 1 0 y y y 0 1 1 1 B  
 ↑

Now head is pointing to y next y is 0 that means all the 1's are over we will convert these y's to 1's and repeat the same procedure.

x 1 0 1 1 1 0 1 1 1  
 ↑  
 x x 0 1 1 1 0 1 1 1  
 ↑

Convert this 1 to x move to right upto after the 0 symbol

x x 0 1 1 1 0 1 1 1

Mark it y and copy this 1 to rightmost end

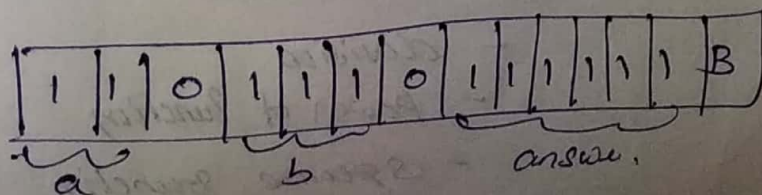
x x 0 y 1 1 0 1 1 1  
 ↑  
 x x 0 y 1 1 0 1 1 1  
 ↑ ↑

move to left upto y

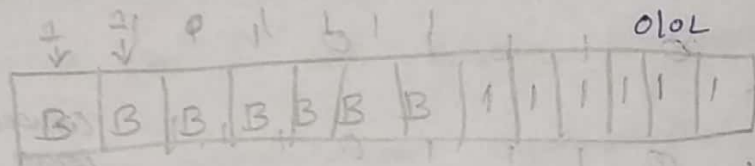
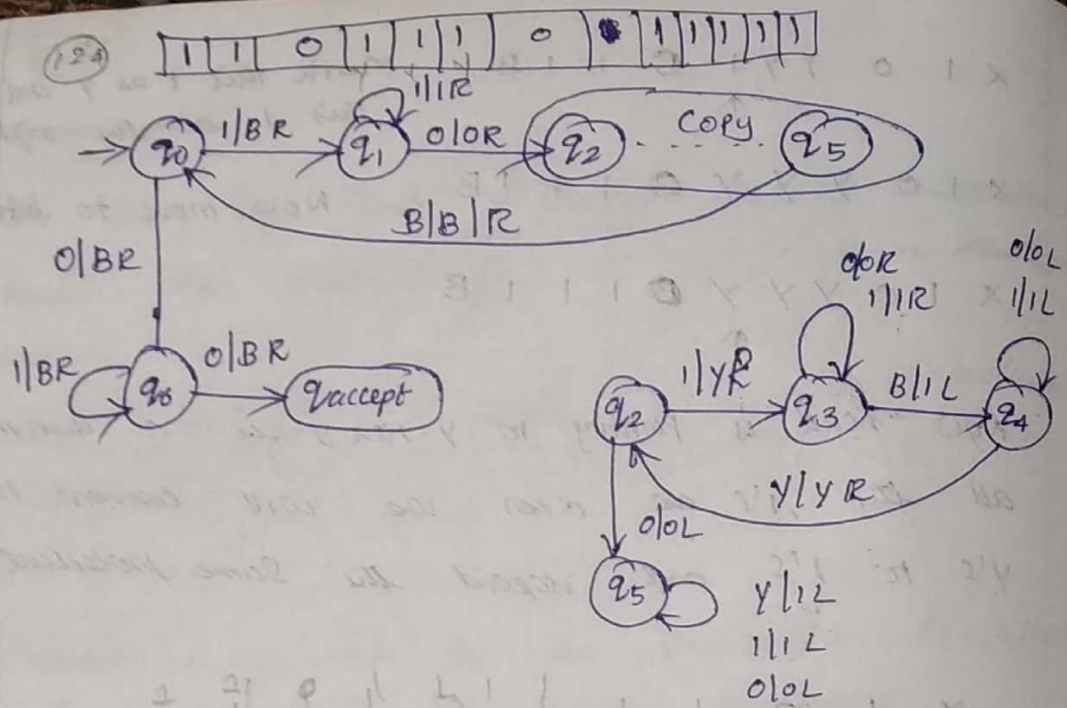
x x 0 y y 1 0 1 1 1 1  
 ↑  
 x x 0 y y 1 0 1 1 1 1  
 ↑

x x 0 y y y 0 1 1 1 1 1

Now we will move to left by converting all y's and x's to 1's.







TM is accepted.

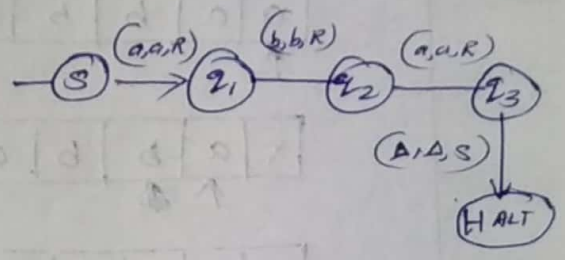
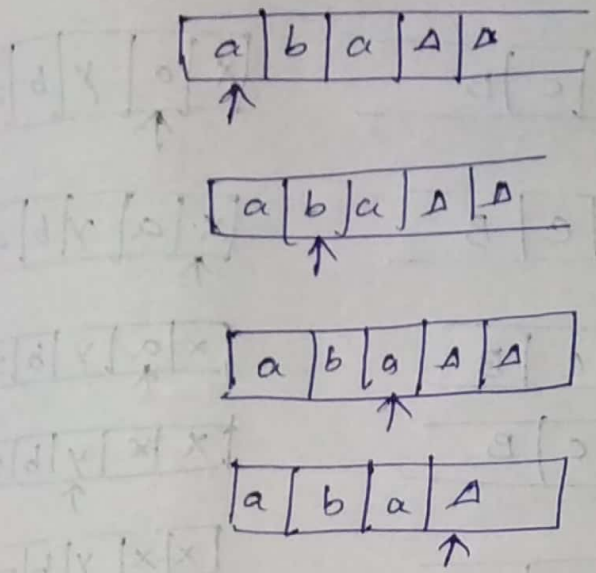
## Computational Languages and Functions

- The Turing machine accepts all the languages even though there are recursively enumerable.
- Recursive means repeating the same set of rules for any number of times.
- Enumerable means a list of elements.
- The TM also accepts the computable function.
  - Addition
  - multiplication
  - Subtraction
  - division
  - Power of function
  - Square function

① construct a turing machine which accepts the language of aba over  $\Sigma = \{a, b\}$ .

solution:

TM is only for  $L = \{aba\}$



	a	b	Δ
Start	$(q_1, a, R)$		
$q_1$		$(q_2, b, R)$	
$q_2$	$(q_3, a, R)$		
$q_3$			$(HALT, \Delta, S)$
HALT	-	-	-



② Construct TM for the language  $L = \{a^n b^n c^n \mid n \geq 1\}$

$\therefore n \geq 1 \Rightarrow abc, aabbcc, aaabbbccc$

$n=2$

a a b b c c B

x a y b z c B

x a b b c c B

x a y b z c B

x a b b e c B

x a y b z c B

x a ~~y~~ b e c B

x x y b z c B

x a y b c c B

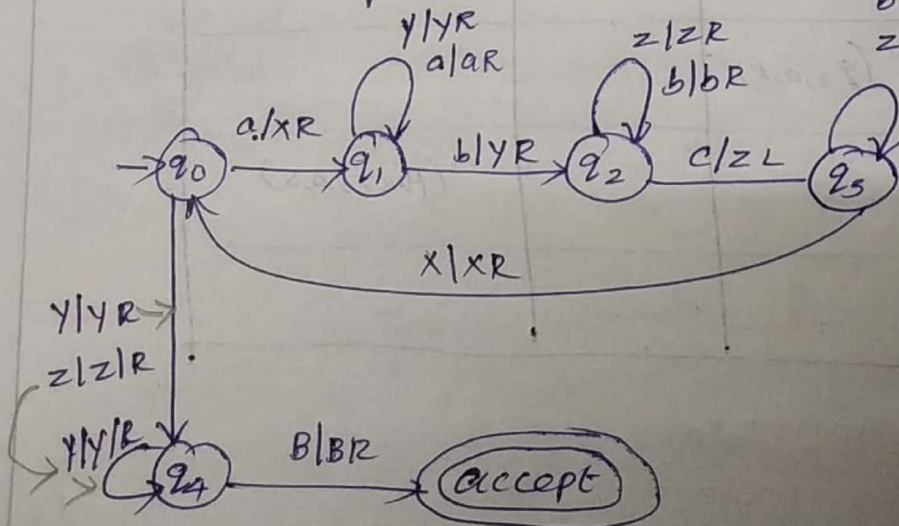
x x y b z c B

x a y b z c B

x x ~~y~~ z c B

x a y b z c B

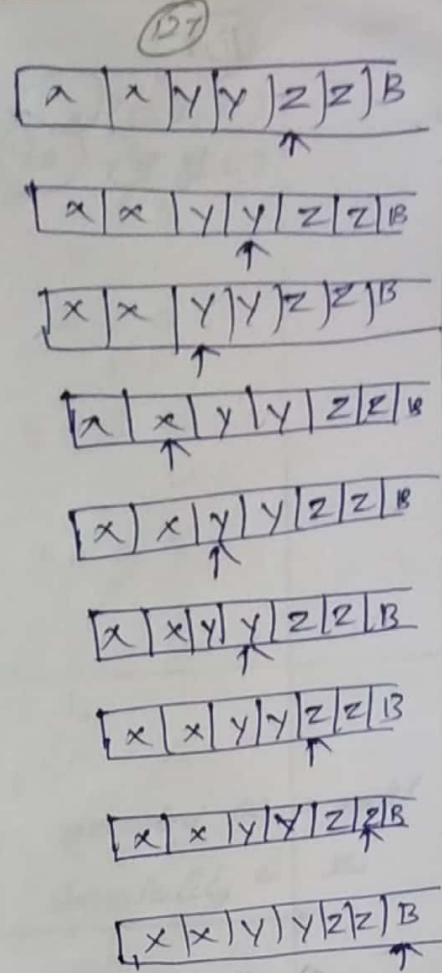
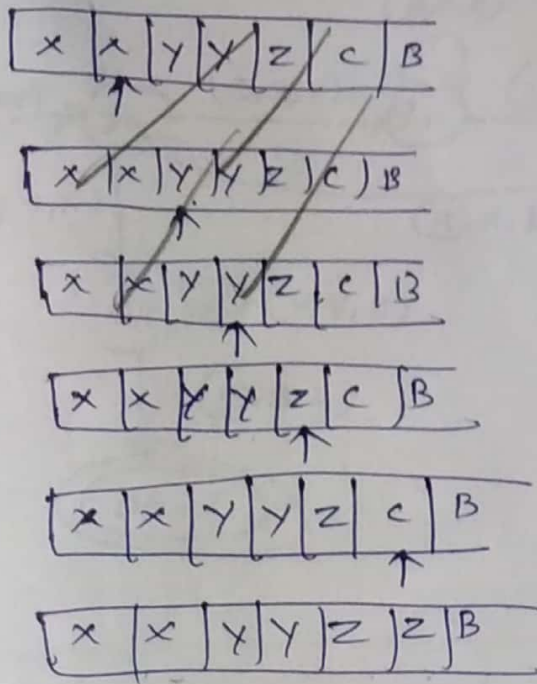
a/aL  
y/yL  
b/bL  
z/zL



$$\delta(q_1, b) = (q_{\text{reject}}, b, R)$$

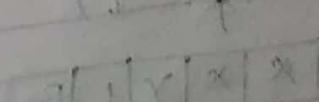
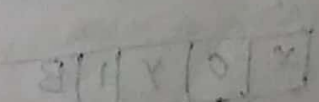
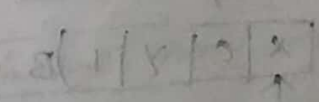
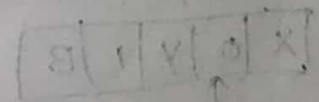
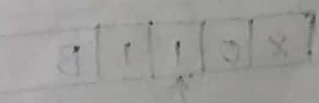
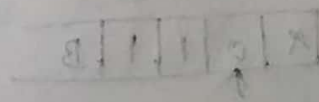
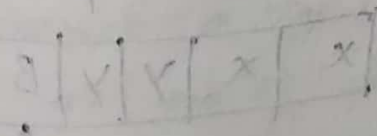
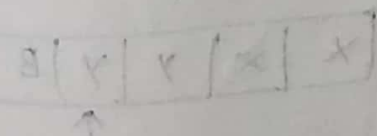
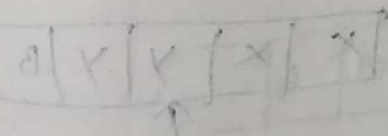
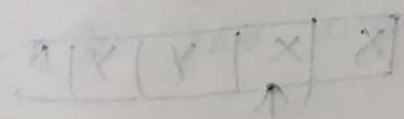
$$\delta(q_1, c) = (q_{\text{reject}}, c, R)$$

$$\left. \begin{array}{l} \delta(q_1, z) \\ \delta(q_1, c) \\ \delta(q_1, B) \end{array} \right\} = q_{\text{reject}}$$

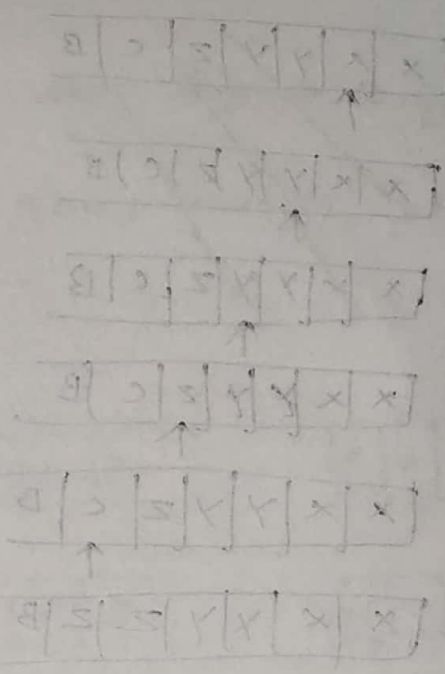
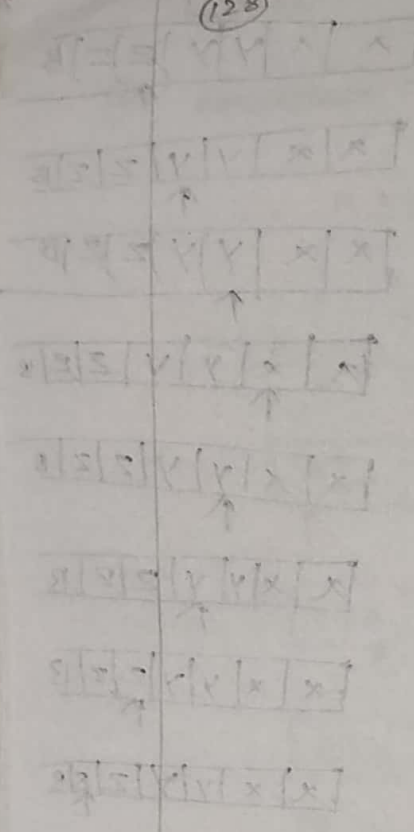


Accepted.

③ Design a Turing machine which recognizes the input language having a substring of 101 and replaces every occurrence of 101 by 110

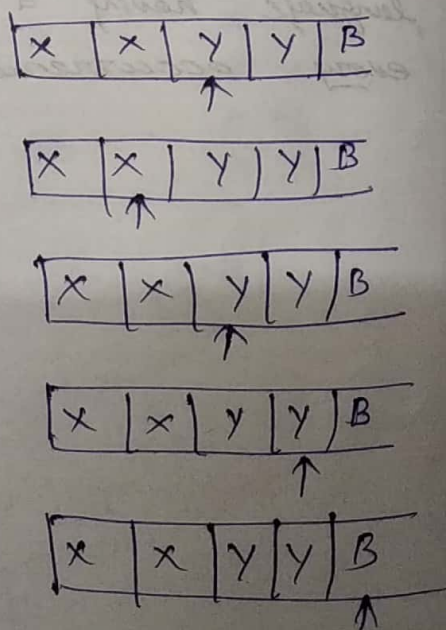
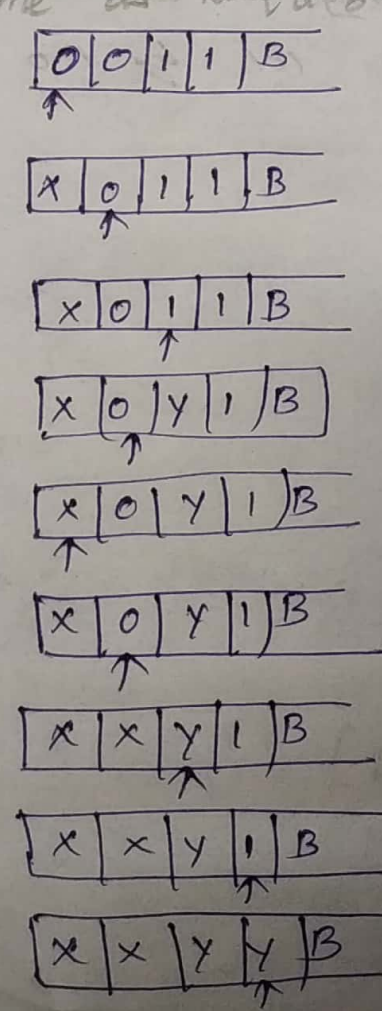




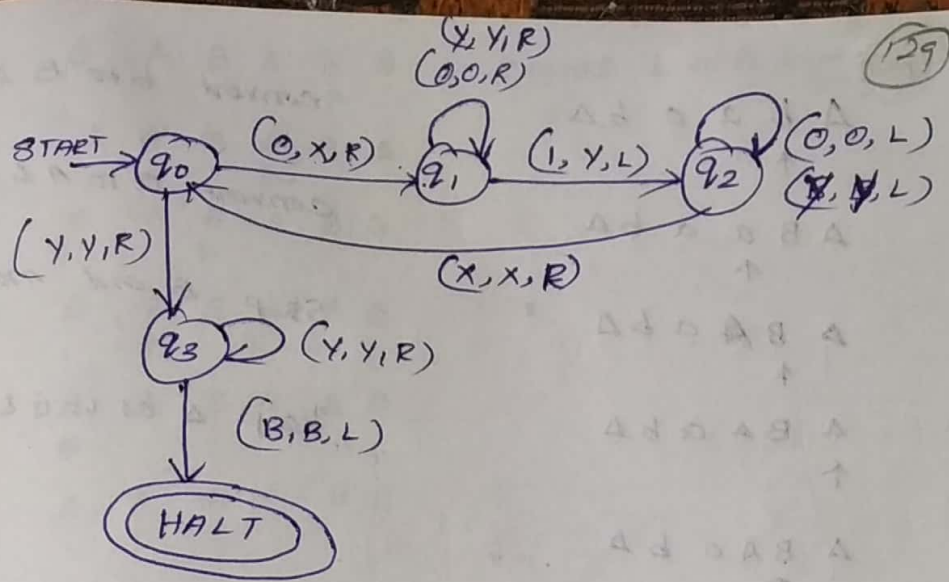


Q. Design a Turing Machine to accept the language  $L = \{0^n 1^n \mid n \geq 1\}$  and simulate its action on the input 0011

Q. Design a Turing Machine to accept the language  $L = \{0^n 1^n \mid n \geq 1\}$  and simulate its action on the input 0011

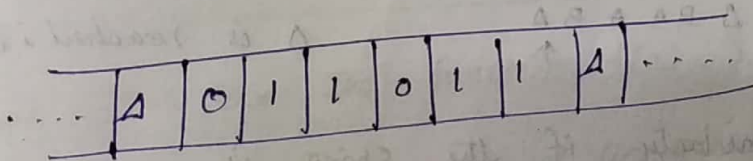


ACCEPTED



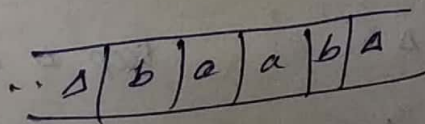
## TWO WAY TURING MACHINE

- The turing machine is widely accepted as a model of Computation because of its versatility in the Power of Computation.
- The TM can perform string operations, arithmetic computations, recognizing languages either regular or non regular language.
- The Two way TM is more powerful.



① Construct a TM for a language having equal number of a's and b's in it over the input set  $\Sigma = \{a, b\}$

Solution:





$\Delta b a a b \Delta$

↑

$\Delta B a a b \Delta$

↑

$\Delta B A a b \Delta$

↑

$\Delta B A a b \Delta$

↑

$\Delta B A a b \Delta$

↑

$\Delta B A a b \Delta$

↑

$\Delta B A a b \Delta$

↑

$\Delta B A A b \Delta$

↑

$\Delta B A A b \Delta$

↑

$\Delta B A A b \Delta$

↑

$\Delta B A A b \Delta$

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$\Delta B A A b \Delta$

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$\Delta B A A b \Delta$

↑

$\Delta B A A b \Delta$

↑

$\Delta B A A B \Delta$

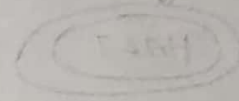
↑

convert b to B & move right

convert a to A & move left

skip B and move left

keep  $\Delta$  as it is & move right

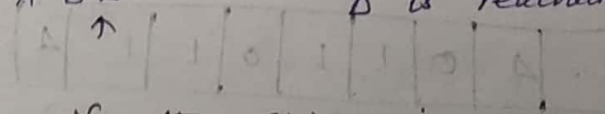


convert a to A & move left

keep  $\Delta$  as it is & move right

convert b to B & move right

$\Delta$  is reached, goto HALT state.



Similarly if the string is

$\Delta a' b a b \Delta$

↑

$\Delta A b a b \Delta$

↑

$\Delta A B a b \Delta$

↑

$\Delta A B a b \Delta$

↑

$\Delta A B a b \Delta$

↑

$\Delta A B a b \Delta$

↑

$\Delta A B a b \Delta$

↑

convert a to A move right

convert b to B move left

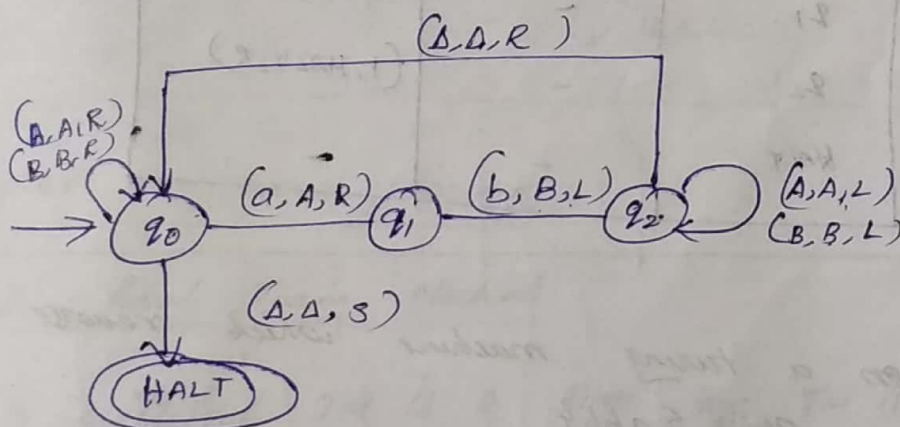
keep  $\Delta$  as it is & move right

convert a to A & move right

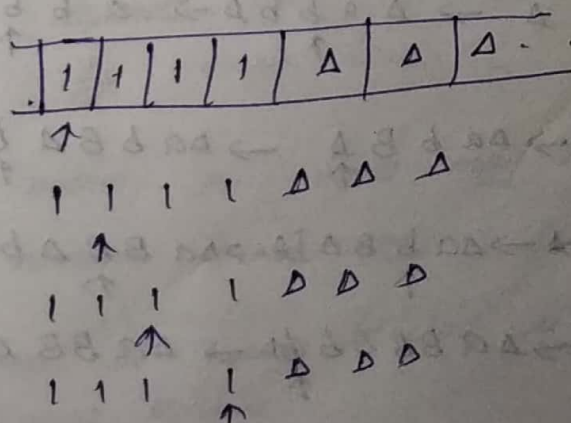
$\Delta$  A B A b  $\Delta$   
 $\Delta$  A B A B  $\Delta$   
 $\Delta$  A B A B  $\Delta$   
 $\Delta$  A B A B  $\Delta$   
 $\Delta$  A B A B  $\Delta$   
 $\Delta$  A B A B  $\Delta$   
 $\Delta$  A B A B  $\Delta$   
 $\Delta$  A B A B  $\Delta$   
 $\Delta$  A B A B  $\Delta$   
 $\Delta$  A B A B  $\Delta$

convert b to B & move 3 left

$\Delta$  is reached goto Halt state.



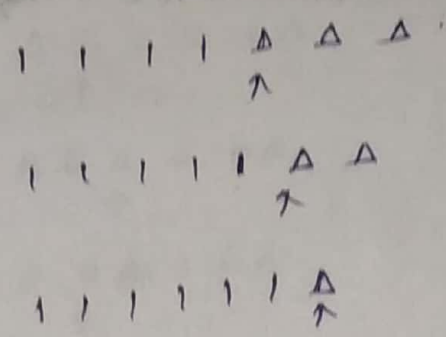
- 2) Construct TM for the function  $f(x) = x + 3$   
 we will represent  $x$  using unary number.  
 The input set  $\Sigma = \{1\}$ . If we assume  $x = 4$ .



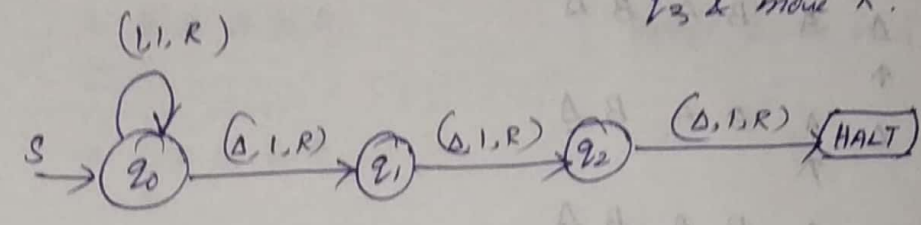
move right



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Replace  $\Delta$  by 1 go to state  $q_1$  & move right  
 Replace  $\Delta$  by 1 go to state  $q_2$  & move right  
 Replace  $\Delta$  by 1 go to state  $q_3$  & move R.

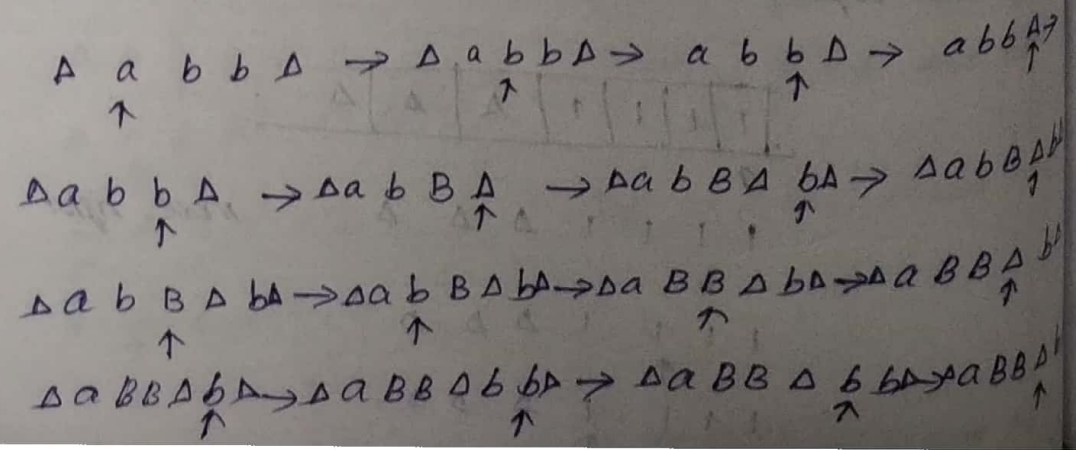


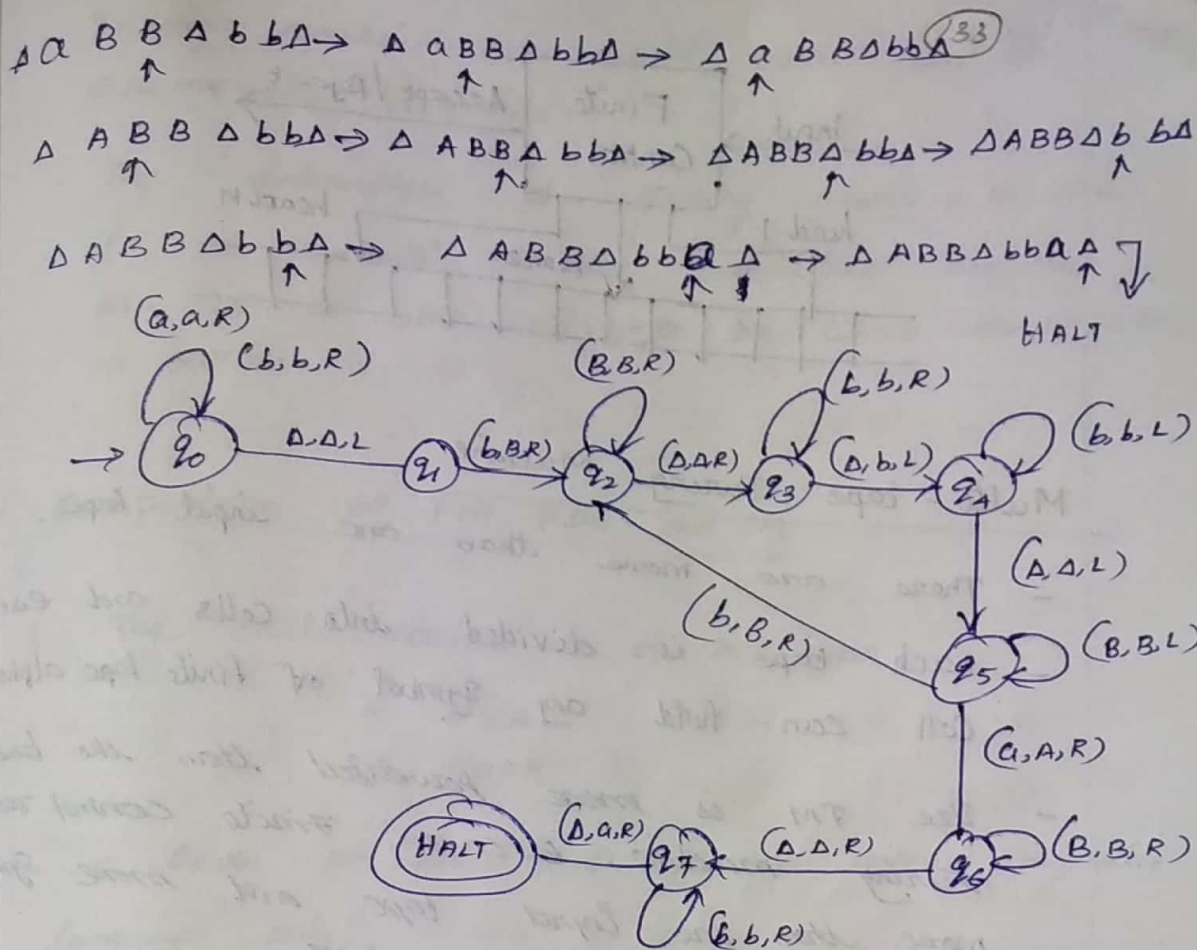
State	1/P	
$q_0$	$(1, q_0, R)$	$(\Delta, q_1, R)$
$q_1$	-	$(\Delta, q_2, R)$
$q_2$	-	$(\Delta, HALT, R)$
HALT	-	-

③ Design a Turing machine which reverse the given string {abb}.

Solution:

The I/P head will move right at the end of the string. Then copy each letter from left to right at the end after  $\Delta$ .



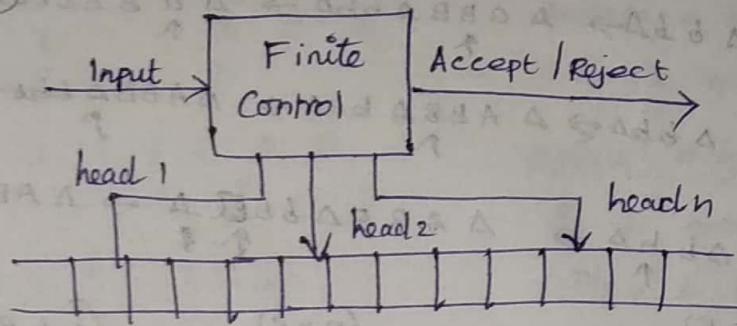


## TYPES OF TURING MACHINE

### Multi Head Turing Machine

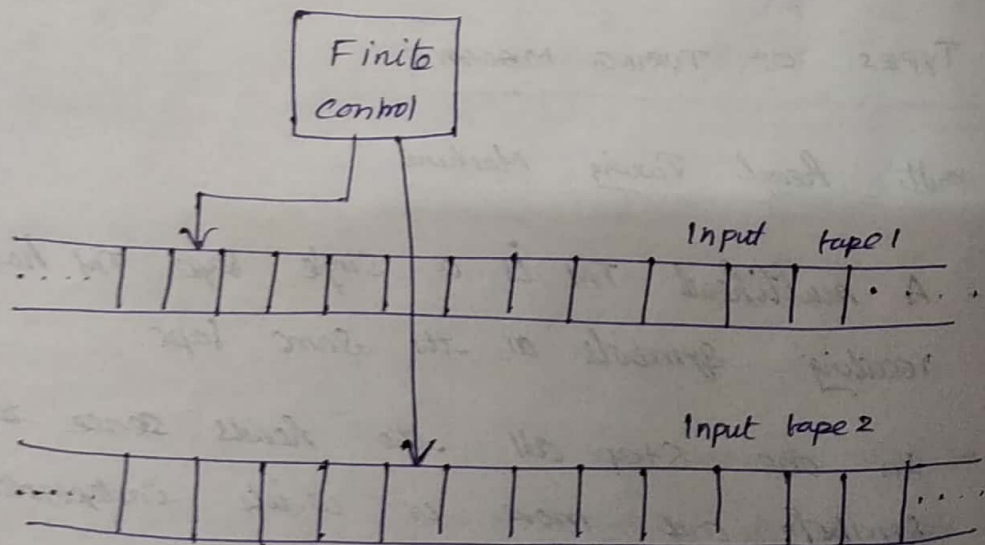
- A multihead TM is a single tape TM having  $n$  heads reading symbols on the same tape.
- In one step, all the heads sense the scanned symbols and move or write independently.
- The heads are numbered 1 through  $n$  and move of TM depends upon the state and the symbol scanned by each head.
- one move the heads may move left, right or remain stationary.
- This type of Turing machine is as powerful as one tape Turing machine.





### Multi-tape Turing Machine

- There are more than one input tapes.
- Each tape is divided into cells and each cell can hold any symbol of finite tape alphabet.
- The TM is more powerful than the basic Turing machine. Because Finite control reads more than one input tape and more symbols can be scanned at a time.



### Power of Turing Machine

- The Turing machine has a great computational capabilities.
- It can be used as a general mathematical model for modern computers.

- (135)
- Turing machine can model even recursive/enumerable languages.
  - The advantage of Turing machine is that it can model all the computable functions as well as the languages for which the algorithm is possible.

### Comparison of FM, PDA and TM

1. The Finite machine is of two types -

\* DFA

\* NFA

- Both of these DFA & NFA accept regular language only.

- Both of the machines have equal power.

$$DFA = NFA$$

2. The Push down automata consists of two types

\* Deterministic PDA

\* Non deterministic PDA

- The advantage of PDA over FA is that PDA has a memory and hence PDA accepts large class of languages than FA hence PDA has more power than FA.

3. The class of two stack or n-stack PDA has more power than one stack DPDA or NPDA. Hence two-stack / n-stack PDAs are more powerful.



(136)  
4. Turing machines can be programmed. The TM accepts very very large class of languages. Therefore is the most powerful computational model.

$TM > PDA > FM$ .

TM accepts regular and non-regular languages.

### Halting Problem

The halting problem we will consider the given configuration of a Turing machine. The output of TM can be

(i) Halt: The machine starting at this configuration will halt after a finite number of states.

(ii) No halt: The machine starting at this configuration never reaches a halt state, no matter how long it runs.

- Given any functional matrix, input data tape and initial configuration, then is it possible to determine whether the process will ever halt?

That means we are asking for a procedure which enable us to solve the halting problem for every pair (machine, tape).

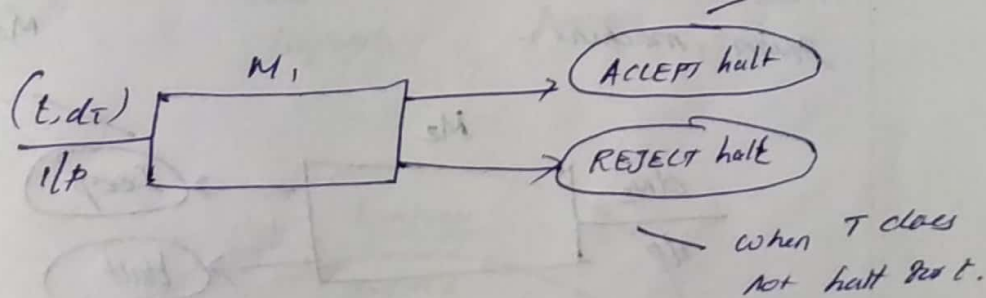
The answer is "no". That is the halting problem is unsolvable.

We will move why it is unsolvable. <sup>(137)</sup>

Let, there exists a TM  $M$ , which decides whether or not any computation by a TM  $T$  will ever halt when a description  $d_T$  of  $T$  and tape  $t$  of  $T$  is given [that means the input to machine  $M$ , will be pair].

Then for every input  $(t, d_T)$  to  $M$ , if  $T$  halt for i/p  $t$ ,  $M$ , also halts which is called accept halt.

Similarly if  $T$  does not halt for i/p  $t$  then  $M$ , will halt which is called reject halt.



considers another example.

- Turing Machine  $M_2$  which takes as i/p  $d_T$ .

It first copies  $d_T$  and duplicates  $d_T$  on its tape and then this duplicated tape information is given as i/p to machine  $M_1$ .

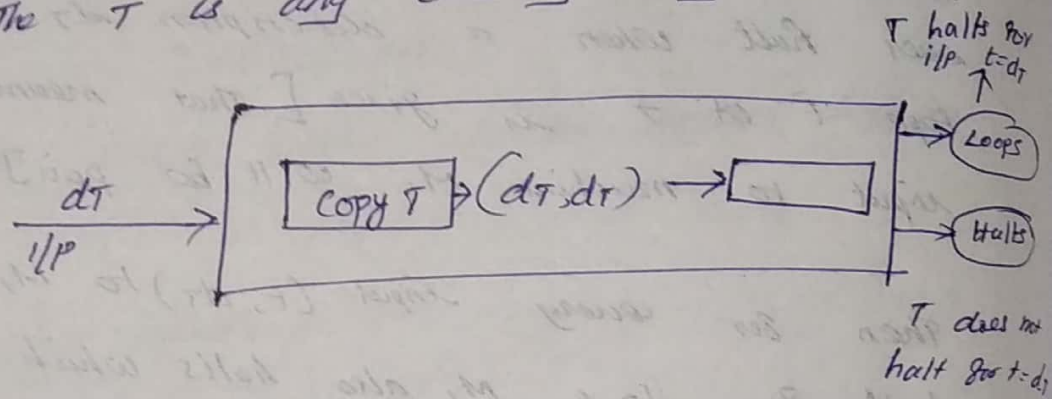
- But machine  $M_1$  is a modified machine with the modification that whenever  $M_1$  is supposed to reach an accept halt,  $M_2$  loops forever.

-  ~~$M_2$  loops~~



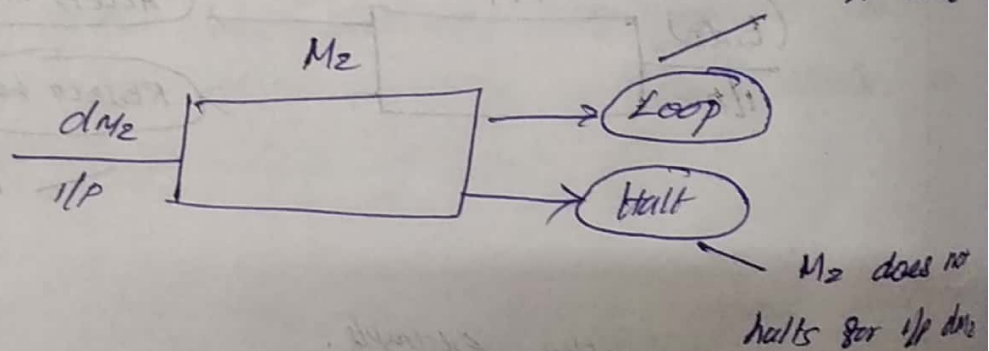
It loops if  $T$  halts for input  $t = dT$  and halts if  $T$  does not halt for  $T = dT$ .

The  $T$  is any arbitrary Turing machine.



As  $M_2$  itself is one Turing machine we will take  $M_2 = T$ .

That means we will replace  $T$  by  $M_2$  from given machine.



→ Thus machine  $M_2$  halts for i/p  $dM_2$  if  $M_2$  does not halt for input  $dM_2$ .

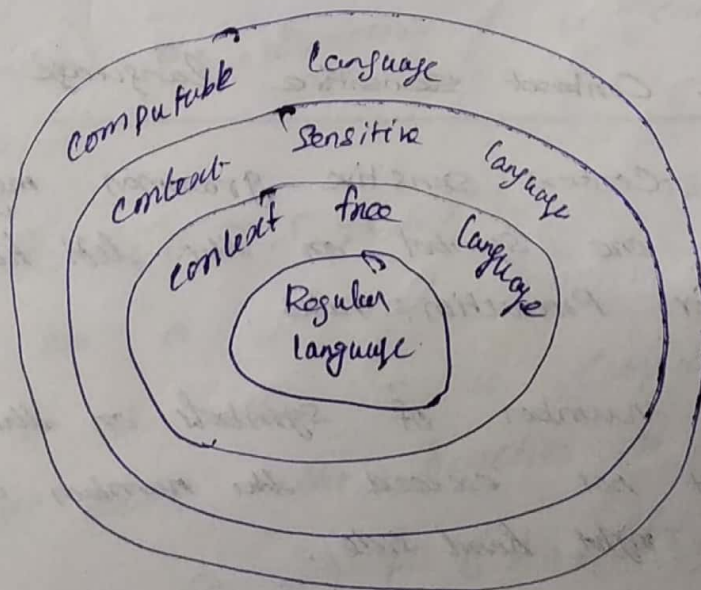
- This is a contradiction. That means a machine  $M_1$  which can tell whether any other TM will halt on particular i/p does not exist.

Hence halting problem is unsolvable.

# Chomsky Hierarchy of Languages

- The Chomsky's hierarchy represents the class of languages that are accepted by different machine.

Language class	Language	Grammar	Machine	One Examp
Type 3	Regular	Regular Grammar	FSM ie NFA/DFA	$a^*b^+$
Type 2	Context free	Context free Grammar	PDA	$a^n b^n$
Type 1	Decidable languages	Context Sensitive Grammar	Linear bounded automata	$a^n b^n c^n$
Type 0	Computable Language	Unrestricted Grammar	Turing machine	$n!$



## Chomsky hierarchy

Type 3: Regular language

These language which can be described using regular expressions. These languages can be modelled by NFA or DFA.



## Type 2 - context free languages

- It can be represented by context free Grammar (CFG). The production rule is of the form

$$A \rightarrow \alpha$$

Where  $A$  is any single non-terminal

$\alpha$  is any combination of terminals and non-terminals.

A NFA or DFA cannot recognize strings of this language because these automata are not having "stack" to memorize.

- Instead of it the Push Down Automata can be used to represent these languages.

## Type 1 - Context sensitive language

1. The Context sensitive grammar may have more than one symbol on the left hand side of their production rules.
2. The number of symbols on the left hand side must not exceed the number of symbols on the right hand side.
3. The rule of the form  $A \rightarrow \epsilon$  is not allowed unless  $A$  is a start symbol. It does not occur on the right hand side of any rule.

- The automation which recognizes context sensitive language is called linear bounded automaton. While deriving using context sensitive grammar the sentential form must always increase in length.

every time a production rule is applied.

thus the size of a sentential form is bounded by a length of the sentence we are deriving.

### Type 0 - Unrestricted languages

- There is no restriction on the grammar rules of this type of language. These language can be effectively modeled by Turing machines.

### PARTIAL SOLVABILITY

A partial function  $f$  on  $\Sigma^*$  may be undefined at certain points (i.e. the points not in the domain of  $f$ )

If the function is defined everywhere on  $\Sigma^*$ , then the function  $f$  is called as a total function.

Let  $T = (Q, \Sigma, \Gamma, q_0, \delta)$  be a Turing machine, let  $f$  be a partial function on  $\Sigma^*$  with values in  $\Gamma^*$ , then  $T$  is computable if for every  $x \in \Sigma^*$  and the function is defined as

$$(q_0, x) \xrightarrow{T}^* (h, f(x))$$

and no other  $x \in \Sigma^*$  is accepted by  $T$ .

If  $f$  is a partial function defined on  $(\Sigma^*)^k$  with values in  $\Gamma^*$ ,  $T$  computes  $f$  if for every  $k$ -tuple  $(x_1, x_2, \dots, x_k)$  at which  $f$  is defined.



$$(q_0, x_1, x_2, \dots, x_k) \xrightarrow{T}^* (q_n, f(x_1, x_2, \dots, x_k))$$

and no other input which is  $k$ -tuple of strings which is accepted by  $T$ .

A partial function  $f: (\Sigma_1^*)^k \rightarrow \Sigma_2^*$  is Turing Computable, for alphabets  $\Sigma_1$  and  $\Sigma_2$  and a positive integer  $k$ , if there is a Turing machine computing  $f$ .

It can be written as

a function  $f(x_1, x_2, \dots, x_m)$  is Turing Computable for arguments  $a_1, a_2, \dots, a_m$  if there exists a Turing machine for which

$$(q_0, x_1, x_2, \dots, x_m) \xrightarrow{T}^* (q_n, f(x_1, x_2, \dots, x_m))$$

Construct a Turing machine to compute zero.

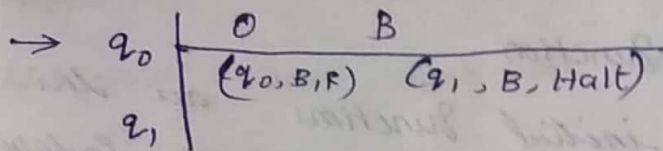
$$f(x) = 0 \quad \text{for all } x \geq 0$$

Solution:

$$\text{Let } x=3, \quad W=000$$

$$\boxed{B \mid 0 \mid 0 \mid 0 \mid B \dots} \Rightarrow \boxed{B \mid B \mid B \mid B \mid B \dots}$$

- (i) Whenever  $T$  reads 0, change it into blank symbol and move right
- (ii) if  $T$  reads the end blank symbol, it halts and accepts.



## UNIT-5

### Unsolvable Problems and Computational Functions

#### UNSOLVABILITY

- The TOL we often come across such problems that are answered either yes or no
- The class of problems which can be answered as yes or no is called solvable
- Otherwise the class of problems is said to be unsolvable (or) undecidable.

#### Primitive Recursive Functions

- Recursive function is class of functions
- The Recursive function theory begins with some very elementary functions that are intuitively effective.
- Then it provides a few methods for building more complicated functions from simpler functions.
- That means the computability of given function can be proved using the initial function.



## Initial Function

The initial functions are the elementary functions whose values are independent of their smaller arguments.

- The zero function :  $z(x) = 0$

- The successor function :  $s(x) = \text{Successor of } x$   
(roughly, " $x+1$ ")

- The identity function :  $id(x) = x$

- The zero function returns zero regardless of its arguments.

The zero and successor functions take only one argument each.

\* When it takes one argument, it returns its argument as its value.

\* When it takes more than one argument, it returns on of them.

\*

Building Operations : We will build more complex functions from the initial set by using only three methods

(i) Composition

(ii) Primitive recursion

(i) Composition

(145)

We will start with the successor functions

$$S(x) = x + 1$$

Then we may replace its argument,  $x$ , with a function. If we replace the argument,  $x$  with the zero function.

$$Z(x)$$

then the result is the successor of zero

$$S(Z(x)) = 1$$

$$S(S(Z(x))) = 2 \quad \text{and so on.}$$

(ii) Primitive recursion

- The second building operation is called primitive recursion.
- Primitive recursion is a method of defining new functions from old functions.
- The function  $h$  is defined through functions  $f$  and  $g$  by primitive recursion.

$$\text{When } h(x, 0) = f(x)$$

$$h(x, S(y)) = g(x, h(x, y))$$

- When  $f$  and  $g$  are known computable functions.
- When  $h$ 's second argument is zero, the first equation applies.
- When it is not zero, we use the second.



(14)

$$n! = n * (n-1)$$

consider  $n=5$

$$f(5) = 5 * f(4)$$

$$f(4) = 4 * f(3)$$

$$f(3) = 3 * f(2)$$

$$f(2) = 2 * f(1)$$

By putting the value of equation for calculating  $f(2)$  we will get.

$$f(2) = 2 * 1 = 2$$

$$f(3) = 3 * f(2)$$

$$= 3 * 2$$

$$= 6$$

$$f(4) = 4 * f(3)$$

$$= 4 * 6$$

$$= 24$$

$$f(5) = 5 * f(4)$$

$$= 5 * 24$$

$$= 120$$

(iii) Minimization

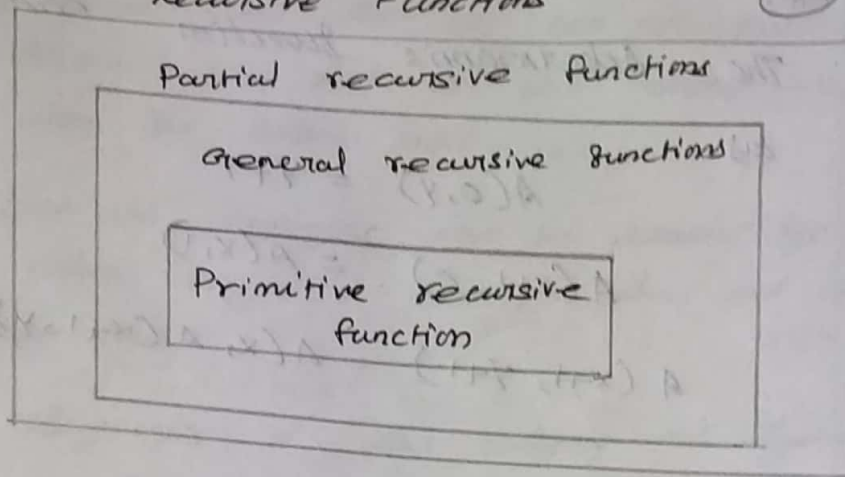
- If  $g(x)$  is a function that computes the least  $x$  such that  $f(x)=0$ , then we know that  $g$  is computable.

- then we can say that  $g$  is produced from  $f$  by minimization.

- we can build  $g$  by minimization only if  $f(x)$  is already known to be computable.

## class of Recursive Functions

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### 1. Partial Recursive Function

The function is called partial recursive function if it can be obtained by applying composition, primitive recursion and minimization as building operation.

### 2. General Recursive Function

- It is obtained by applying composition, primitive recursion and an unbounded minimization that happen to terminate.
- The general recursive function is a larger class than partial recursive functions.

### 3. Primitive Recursive Function

- It is obtained by applying composition, primitive recursion and unbounded minimization that does not terminate.

Ex:

- General recursive function is an Ackermann's function.



The Ackermann's function can be defined as

as

$$A(0, y) = y + 1$$

$$A(x+1, 0) = A(x, 1)$$

$$A(x+1, y+1) = A(x, A(x+1, y))$$

Recursive and Recursively Enumerable Language

- It has two categories
- The first category consists of all the languages that has some algorithm and an algorithm for language  $L$  can be modeled by a Turing machine.
- Naturally such Turing machine always halts on valid input and enters in accept state.
- But on invalid input not accepted & it halts without entering in halt state.
- Thus languages of this category possess a property of definiteness.
- The languages of this category are particularly called as recursive language.

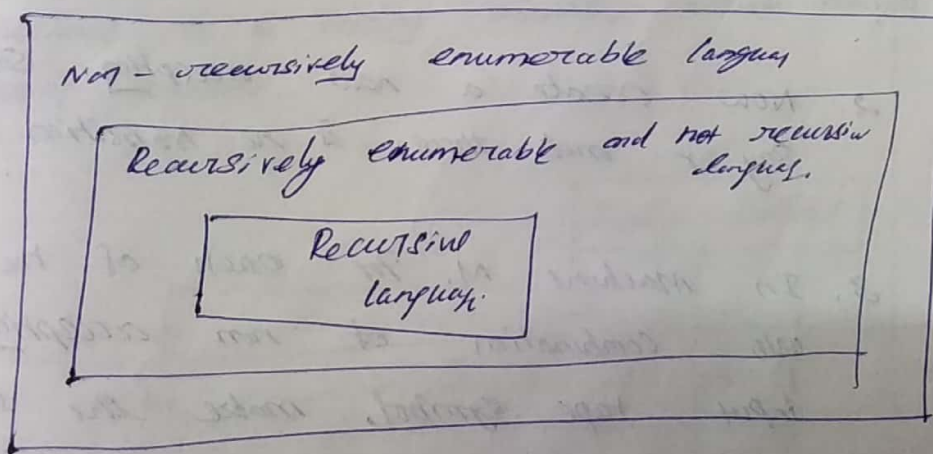
\* Second category

The languages can be modeled by Turing machines but there is no guarantee that the TM will eventually halt.

- In the sense that the we can not predict that Turing machine will halt or will enter in an infinite loop for certain input.
- Such type of languages can be denoted by pair  $(M, w)$  where  $M$  is a Turing machine and  $w$  is an input string.
- These languages of this category are typically called as recursively Enumerable languages.

There are three categories of the language.

1. Recursive language for which the algorithm exists
2. Recursively enumerable language for which it is not sure that on which input the TM will ever halt.
3. The non recursively enumerable languages for which there is no Turing Machine at all.



Properties of Recursive and Recursively Enumerable Language.

Decidable & undecidable Languages

- If a language is recursive then it is called decidable language.
- If the language is not recursive then such a language is called undecidable language.



(10)

### Theorem:

If  $L$  is recursive language then  $L'$  is also a recursive language.

Proof: Let there will be some  $L$  that can be accepted by Turing machine  $M$ . Hence we can denote language  $L$  by  $L(M)$ . as acceptance of  $L(M)$  the machine  $M$  always halts.

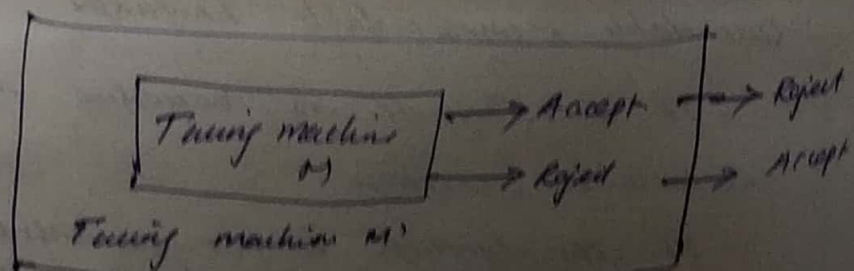
- We construct a TM  $M'$  such that  $L' = L(M')$ .  
Construct of  $M'$  following steps.

1. The accepting steps of  $M$  are made non-accepting states of  $M'$  and there is no transition from  $M'$ .

This means we have created the states such that  $M'$  will halt without accepting.

2. Now create a new accepting state for  $M'$  say  $r$  and there is no transition from  $r$ .

3. In machine  $M$ , for each of the transition with combination of non accepting state and input tape symbol, make the same transition having the combination of accepting state and input tape symbol for machine  $M'$ .



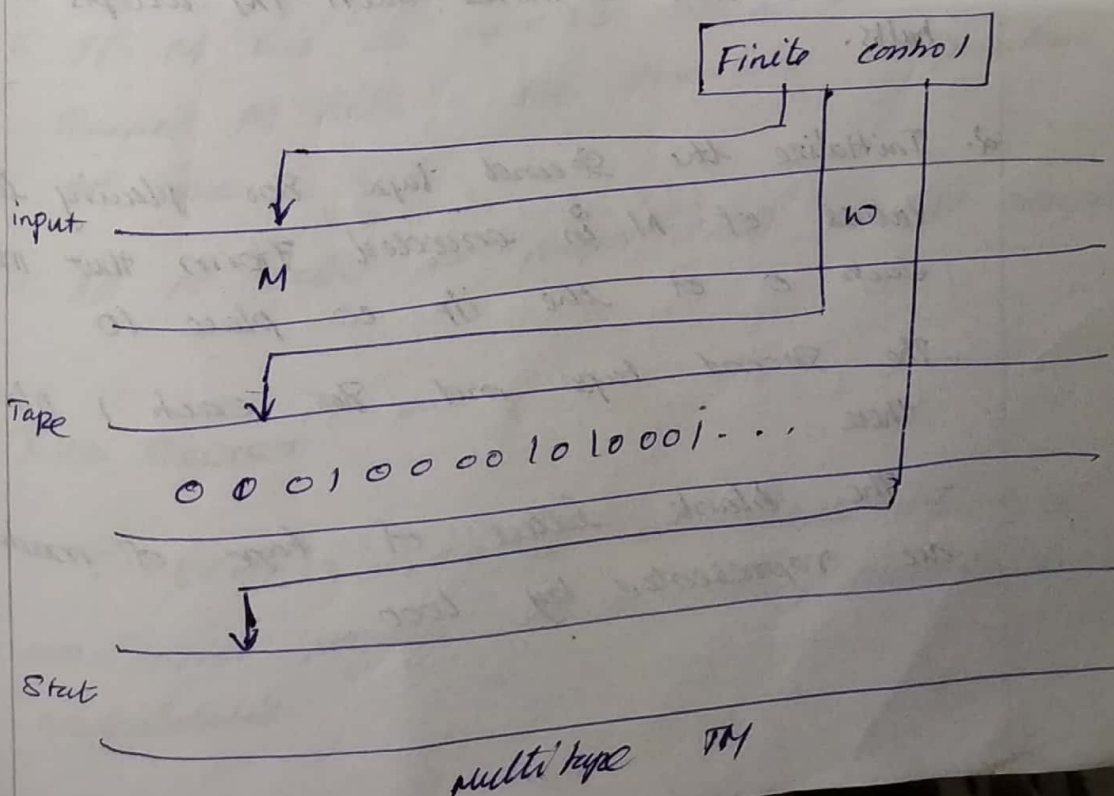
Since  $M$  is guaranteed to halt  $M'$  is also guaranteed to halt. (15)

In fact,  $M'$  accept exactly those strings that  $M$  does not accept.

Thus we can say that  $M'$  accepts  $L'$ .

### UNIVERSAL TURING MACHINE

- The universal language  $L_u$  is a set of binary strings which can be modeled by a Turing machine.
- The universal language can be represented by a pair  $(M, w)$  where  $M$  is a TM that accepts this language and  $w$  is a binary string  $(0+1)^*$  such that  $w$  belongs to  $L(M)$ .
- This binary string represents various codes of many Turing machines. Thus the universal Turing machine is a Turing machine which accepts many Turing machine.





- The universal Turing machine  $U$  accept the T.M.
- The transitions of  $M$  are stored initially on First tape along with the string  $w$ .
- On the second tape the simulated tape of  $M$  is placed.

Hence symbol  $x_i$  of  $M$  will be represented by  $0^i$  and tape symbols are separated by single 1's

- On the third tape various states of machine  $M$  are stored. The state  $q_i$  is represented by  $i$  0's.

### Operations on Turing Machine

1. The First tape is observed carefully whether the code for  $M$  is valid or not.  
If the code is not valid then  $U$  halt without accepting.

As invalid codes are assumed to represent the TM with no moves, such TM accepts no i/p's and halts.

2. Initialize the Second tape for placing the tape values of  $M$  in encoded form that means that each 0 of the i/p to place 10

- The Second tape and for each 1 place 100 there.

- The blank letters of tape of machine  $M$  are represented by 1000.

3. As the third tape store states of machine  $M$ , place  $0$  then as the start state of machine  $M$ .

The head of third tape will now position at start state and the head of ~~third~~ tape 2 will position at the first simulated cell of second tape.

4. Now the move of  $M$  can be simulated, as on the first tape transitions are stored  $U$  first searches the tape 1 to know the transitions which are always given in the form  $0^i 1^j 1^k 1^l 1^m$ .

$0^i$  means current state.

$1^j$  means current input tape symbol.

$1^k$  means changed state.

$1^l$  means changed input tape symbol.

$1^m$  means direction in which the head is to be moved.

5. If  $M$  has no transition then no transition is performed. Therefore  $M$  halts in the simulated configuration and hence  $U$  halts.

6. If  $M$  enters in accept state, the  $U$  accepts.

Rice Theorem:

$L = \{ \langle M \rangle \mid L(M) \in P \}$  is undecidable when  $P$ , a non-trivial property of the Turing machine, is undecidable.



If the following two properties hold, it is proved as undecidable.

Property 1: If  $M_1$  and  $M_2$  recognize the same language, then either  $\langle M_1 \rangle \langle M_2 \rangle \in L$  or  $\langle M_1 \rangle \langle M_2 \rangle \notin L$ .

Property 2: For some  $M_1$  and  $M_2$  such that  $\langle M_1 \rangle \in L$  and  $\langle M_2 \rangle \notin L$ .

Proof :-

Let there are two Turing machine  $x_1$  and  $x_2$ .

Let us assume  $\langle x_1 \rangle \in L$  such that

$$L(x_1) = \psi \text{ and } \langle x_2 \rangle \notin L.$$

For ~~all~~ input ' $w$ ' in a particular instant, perform the following steps :-

- If  $x$  accepts  $w$ , then simulate  $x_2$  on  $x$ .
- Run  $z$  on input  $\langle w \rangle$ .
- If  $z$  accepts  $\langle w \rangle$ , Reject it; and if  $z$  rejects  $\langle w \rangle$  accept it.

If  $x$  accepts  $w$ , then

$$L(w) = L(x_2) \text{ and } \langle w \rangle \notin P$$

if  $M$  does not accept  $w$ , then

$$L(w) = L(x_1) = \psi \text{ and } \langle w \rangle \in P$$

Here the contradiction arises, Hence it is undecidable.

## Tractable and Intractable problems

- The class of solvable problems is known as decidable problems.
  - That means decidable problems can be solved in measurable amount of time or space.
  - The tractable problems are the class of problems that can be solved within reasonable time and space.
  - The intractable problems are the class of problems that can be solved within polynomial time.
- This has lead to two classes of solving problems - P and NP class problems.

## TRACTABLE AND POSSIBLY INTRACTABLE PROBLEMS

P and NP

- There are two group of problems
- The first group consists of the problems that can be solved in polynomial time.  
ex: - Searching of an element from the list  $O(\log n)$   
- Sorting of elements  $O(\log n)$
- The second group consists of problems that can be solved in non-deterministic polynomial time.  
ex: Knapsack problem  $O(2^{n/2})$   
Travelling Salesperson problem  $O(n^2 2^n)$



- Any problem for which answer is either Yes (or) No is called as decision problem.

- Any problem that involves the identification of optimal cost (minimum or maximum) is called Optimization problem.

- P - problem that can be solved in polynomial time.

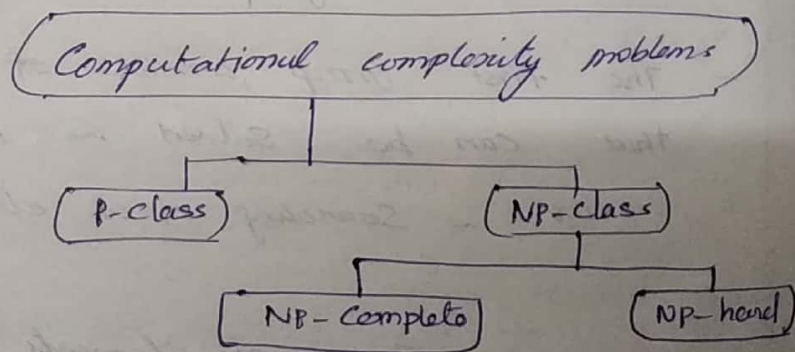
\* searching of ~~key~~ element, Sorting of elements \*, All pair Shortest path.

- NP - It stands for non-deterministic polynomial time."

\* Travelling Salesperson problem.

\* Graph coloring problem

\* Knapsack problem.



Example of P class problem

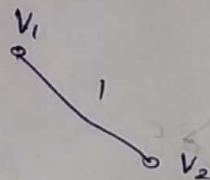
- Kruskal's Algorithm:

In Kruskal's algorithm the minimum weight is obtained. Each time the edge of minimum weight has to be selected, from the graph. It is not necessary in this algorithm to have edges of minimum weight.

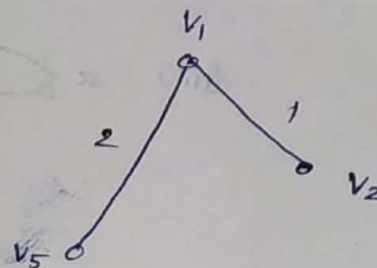
to be adjacent.

ex:

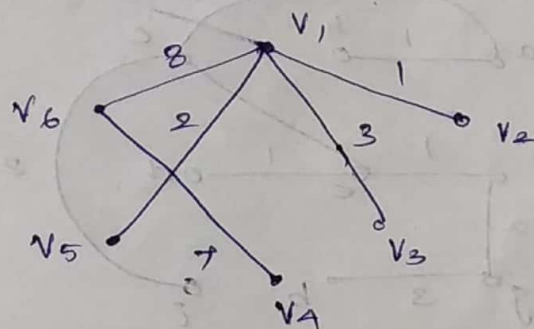
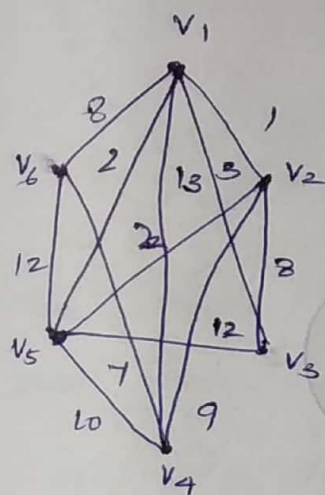
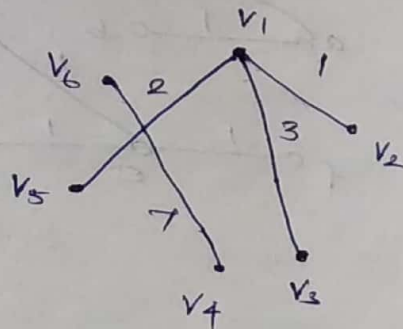
(1)



(2)



(3)

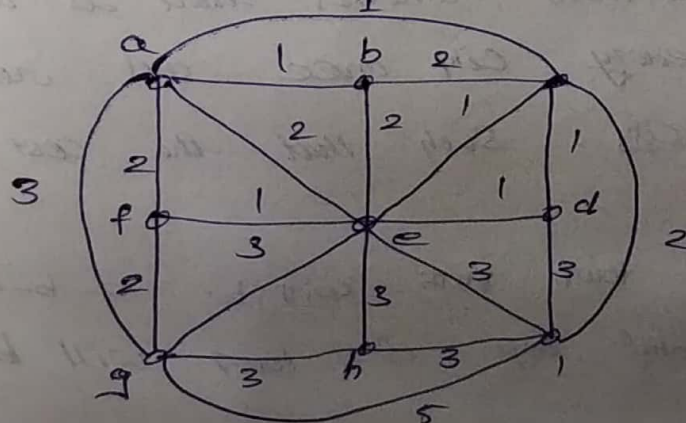


weight = 21

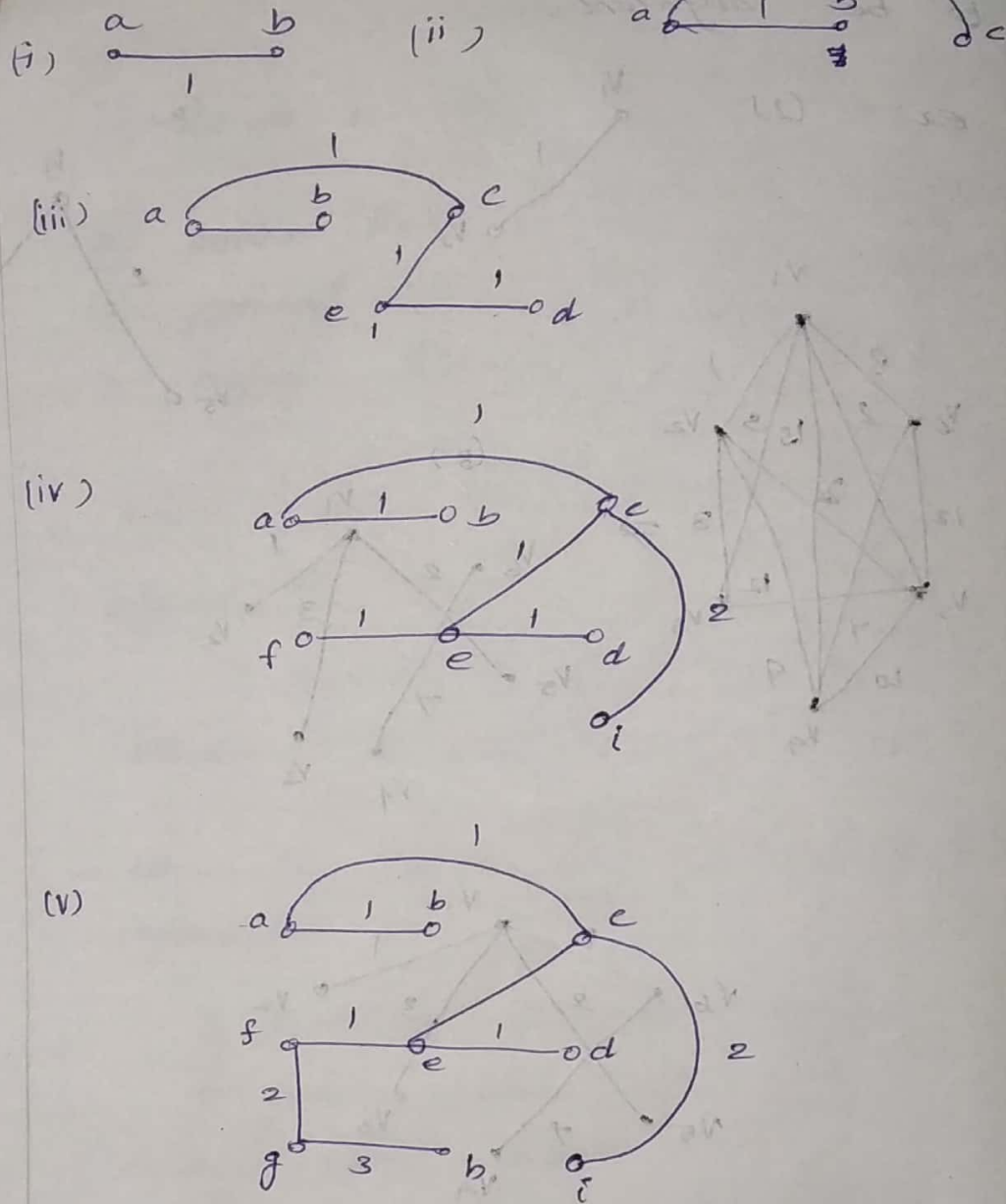
ex: 2

Find the minimum Spanning tree for the following

In Kruskal's algorithm, we will start with some vertex and will cover all the vertices with minimum weight. The vertices need not be adjacent.





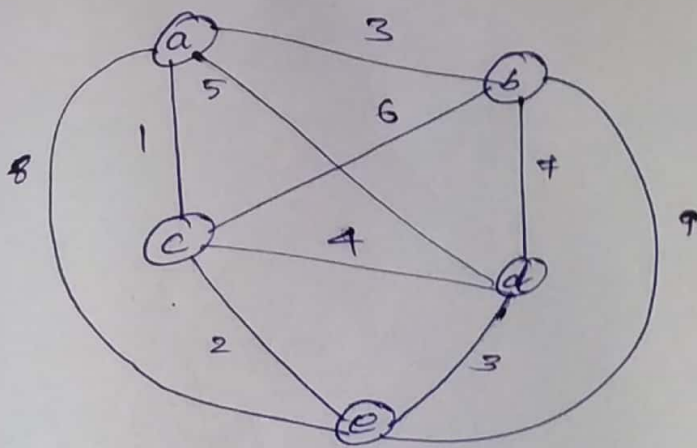


NP class problem

Travelling Salesman's Problem (TSP): This problem can be stated as "Given a set of cities and cost to travel between each pair of cities,

determine whether there is a path that visits every city once and return to the first city. Such that the cost travelled is less,

The tour path will be  $a-b-d-e-c-a$  and total cost of tour will be 16.



This problem is NP problem as there may exist some paths with shortest distance b/w the cities.

If you get the solution by applying certain algorithm then travelling salesman problem is NP complete problem.

If we get no solution at all by applying an algorithm then the travelling salesman problem belongs to NP hard class.